

Solar Neutrinos and Atmospheric Neutrinos

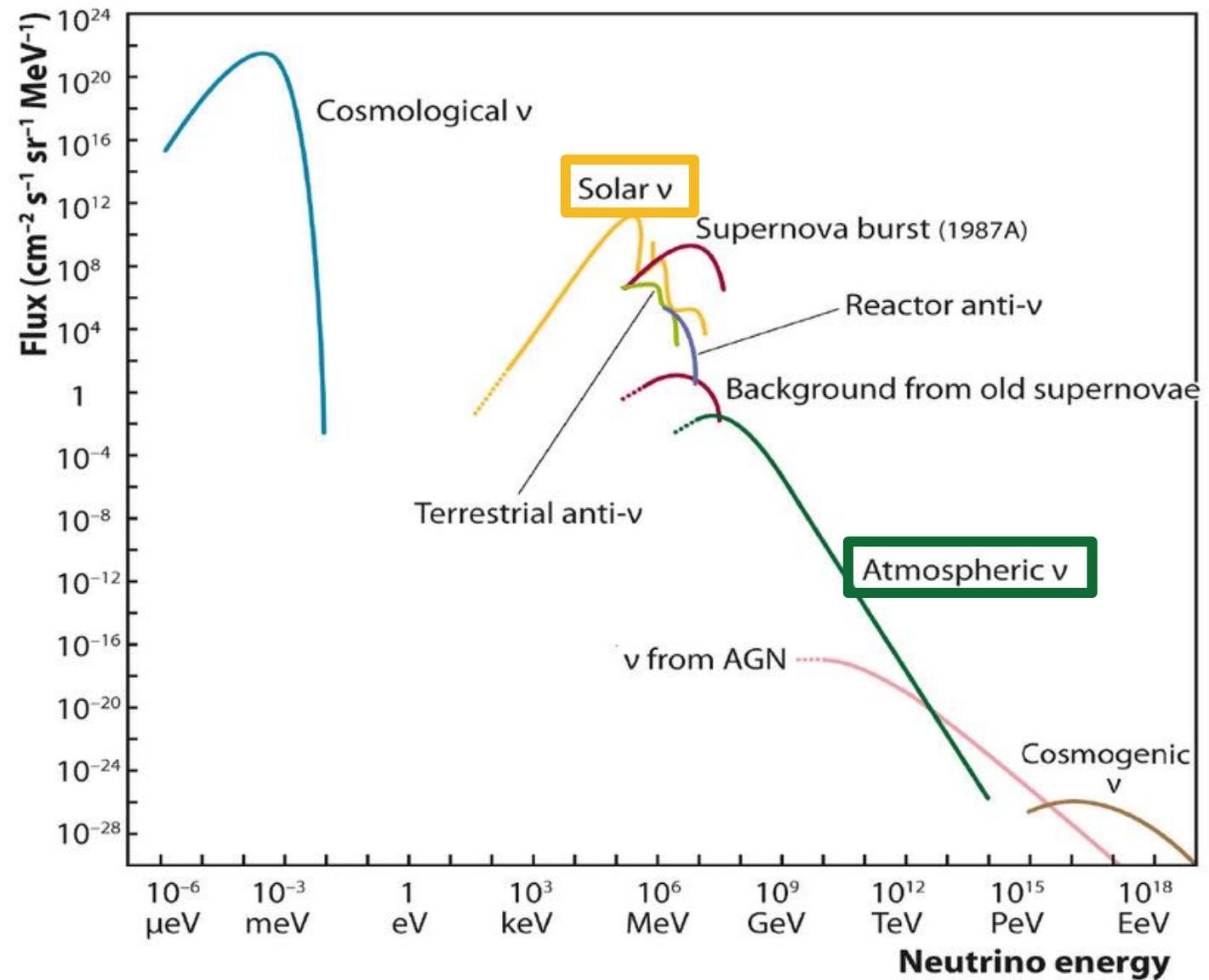
Linyan Wan, Fermilab

August 9, 2023

@Neutrino University

Neutrino Sources

- Solar neutrinos
- Atmospheric neutrinos

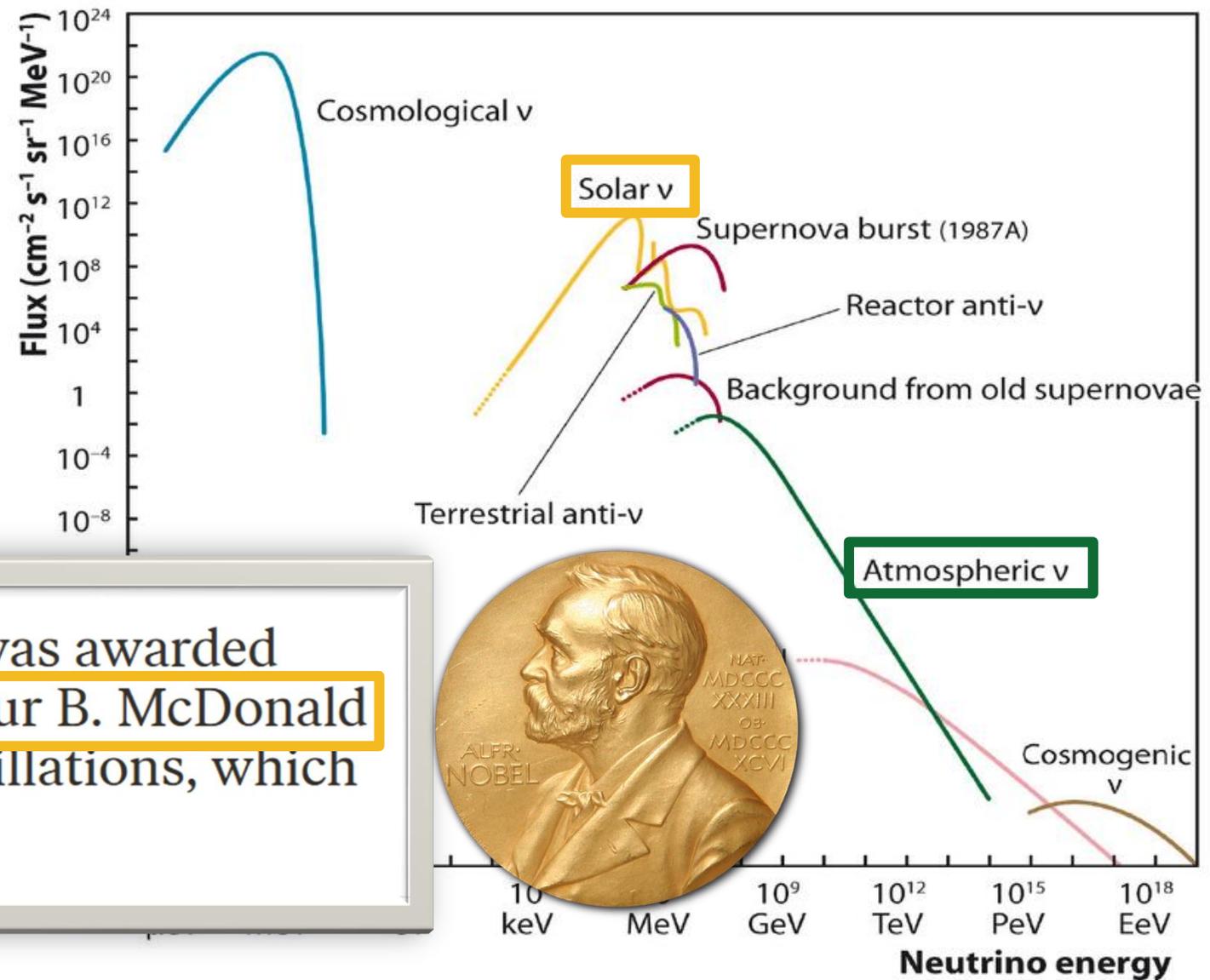


Michel Cribier, *et al.*, La lumière des neutrinos Seuil (1995)

Neutrino Sources

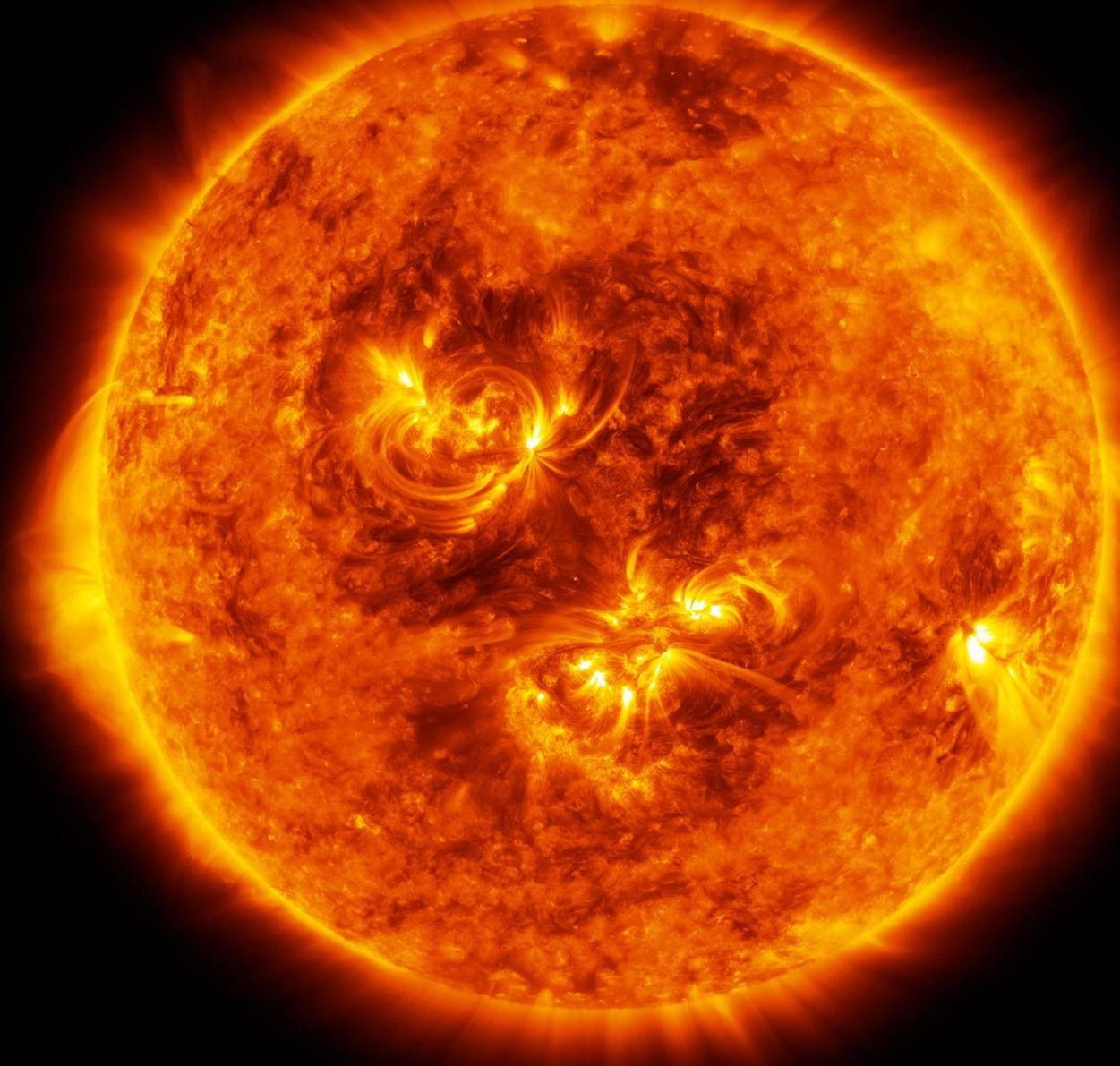
- Solar neutrinos
- Atmospheric neutrinos

The Nobel Prize in Physics 2015 was awarded jointly to **Takaaki Kajita** and **Arthur B. McDonald** "for the discovery of neutrino oscillations, which shows that neutrinos have mass"

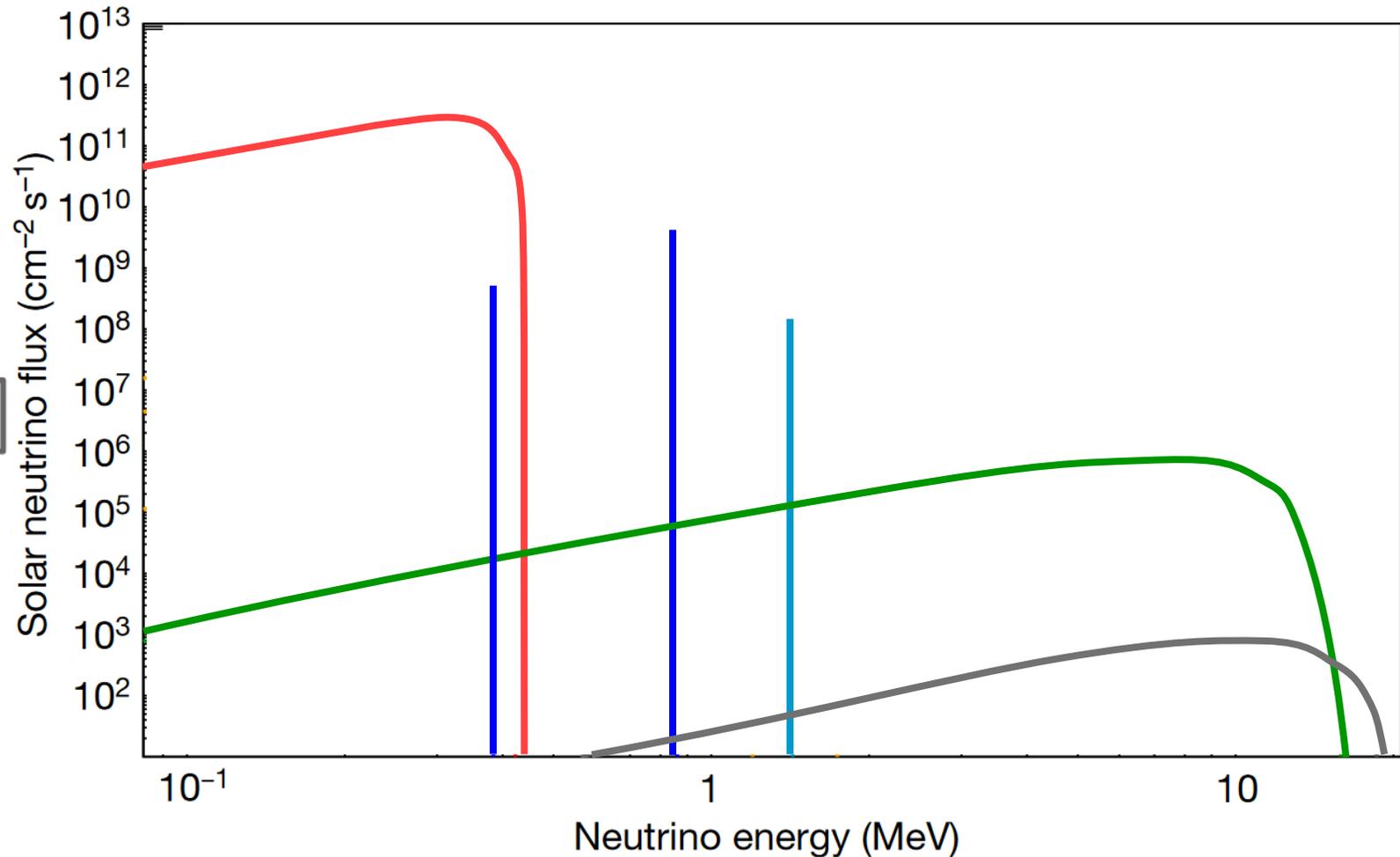
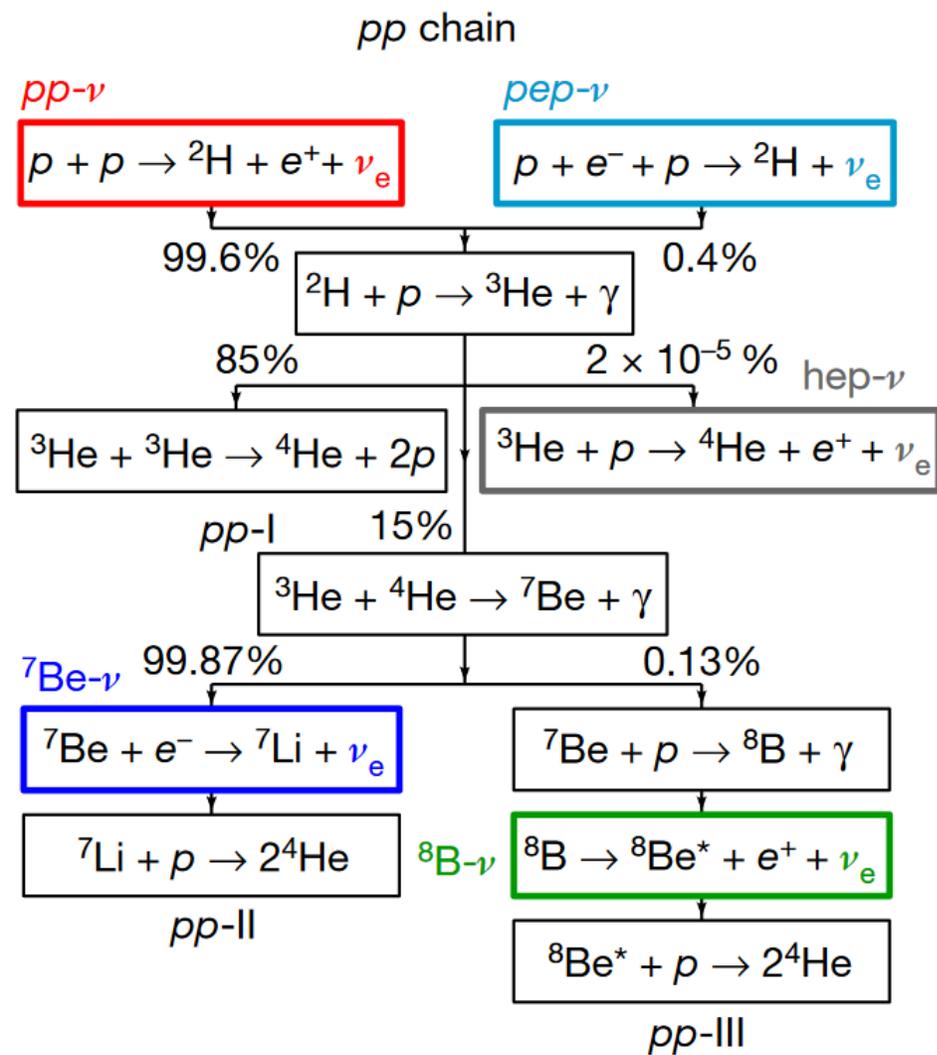


Michel Cribier, *et al.*, La lumière des neutrinos Seuil (1995)

Solar Neutrinos

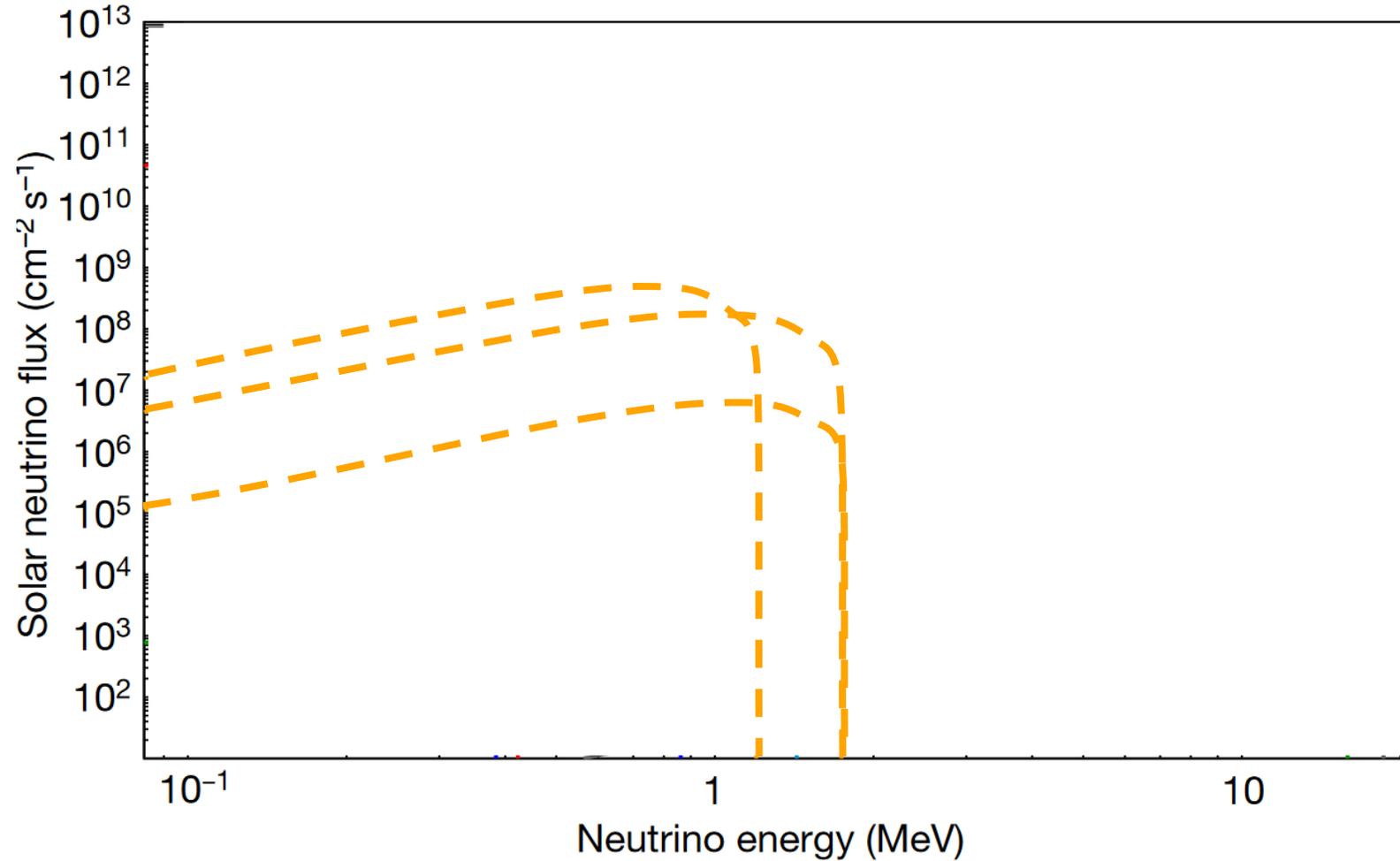
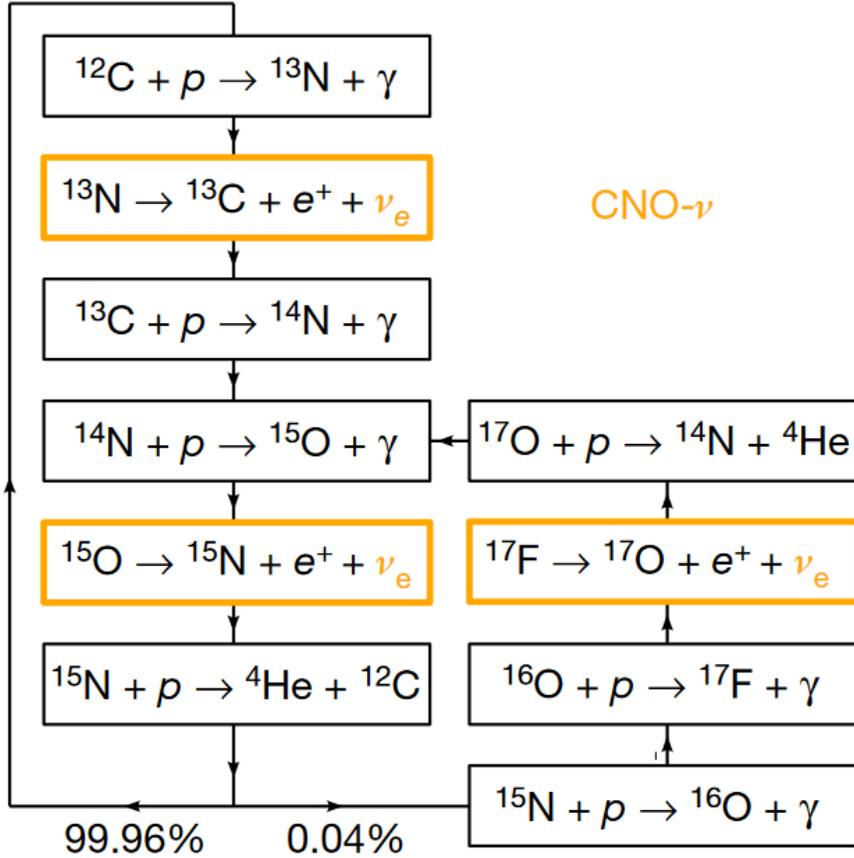


Production: pp Chain



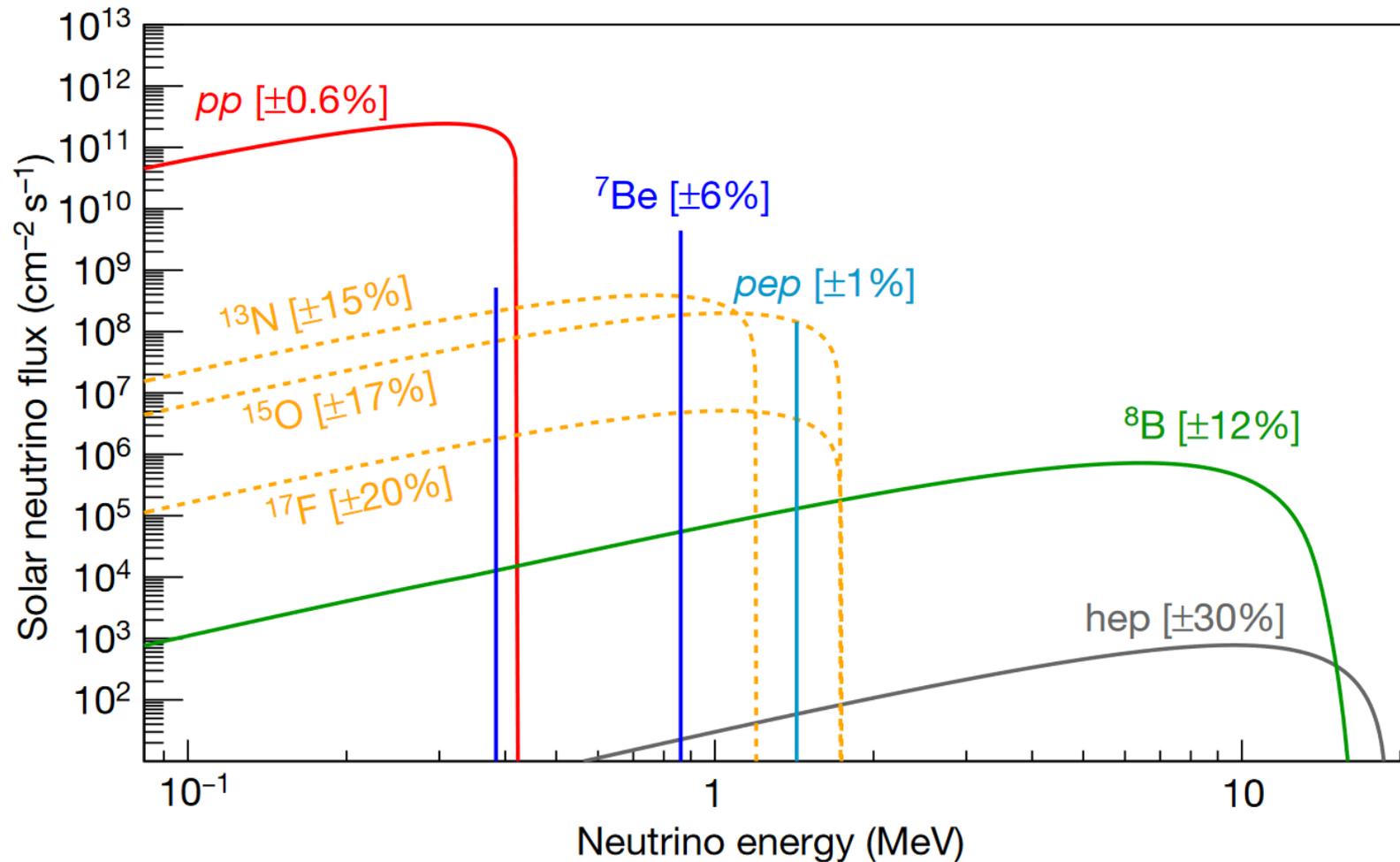
Production: CNO Cycle

CNO cycle



Solar Neutrino Spectrum at Production

- All solar neutrinos are ν_e 's
- Flux is predicted by the **Standard Solar Model**



<http://www.sns.ias.edu/~jnb/>

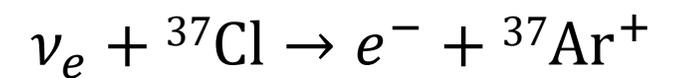
Vinyoles, N. et al. *Astrophys. J.* 835,202 (2017).

Borexino, *Nature* 562, 505–510 (2018)

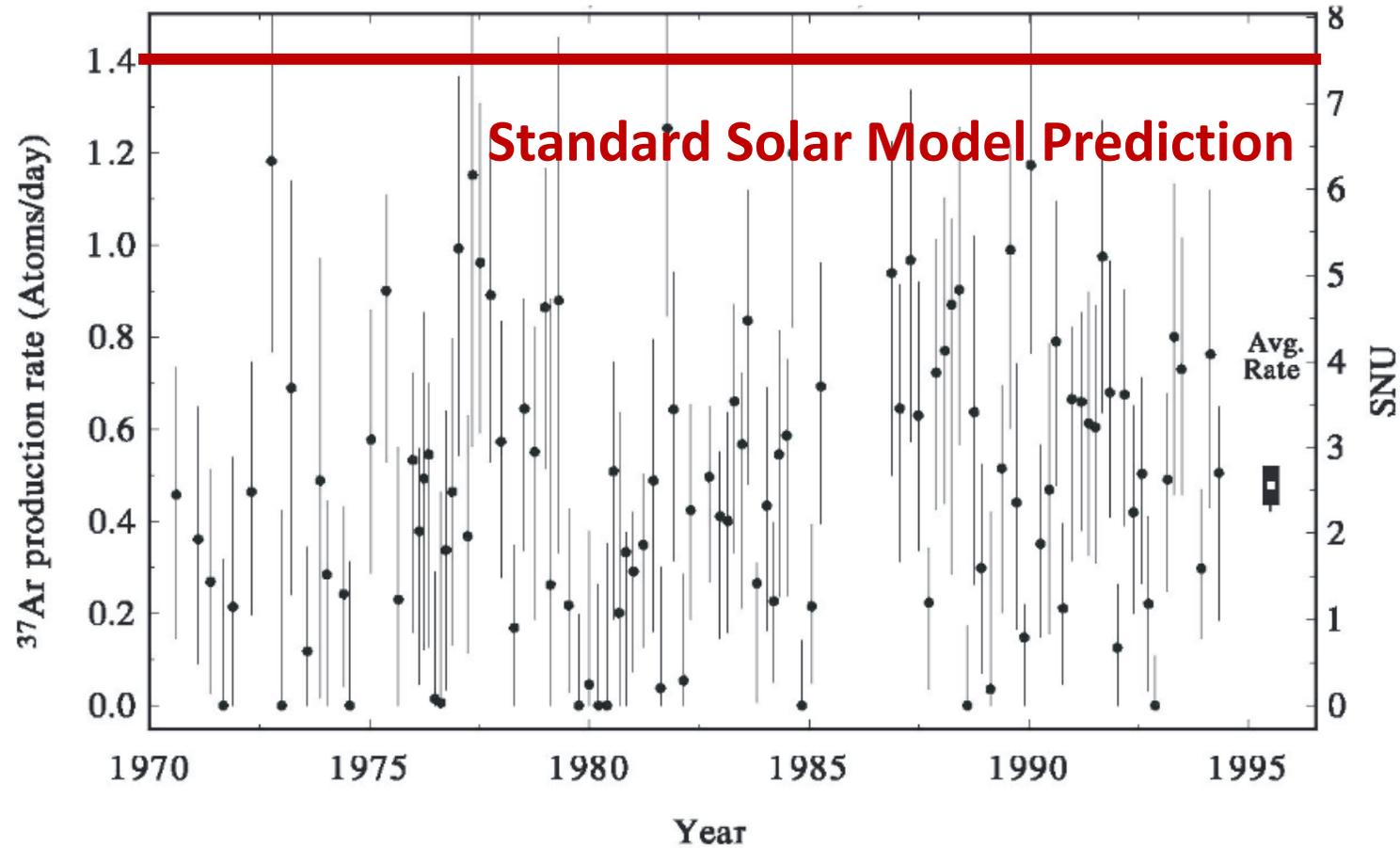
First Detection



The Davis experiment,
or the Homestake experiment



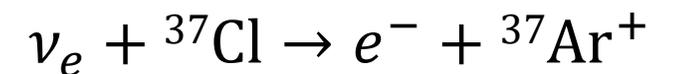
Solar Neutrino Deficit at Davis



B.T. Cleveland et al., *Astrophys. J.* 496, 505 (1998)

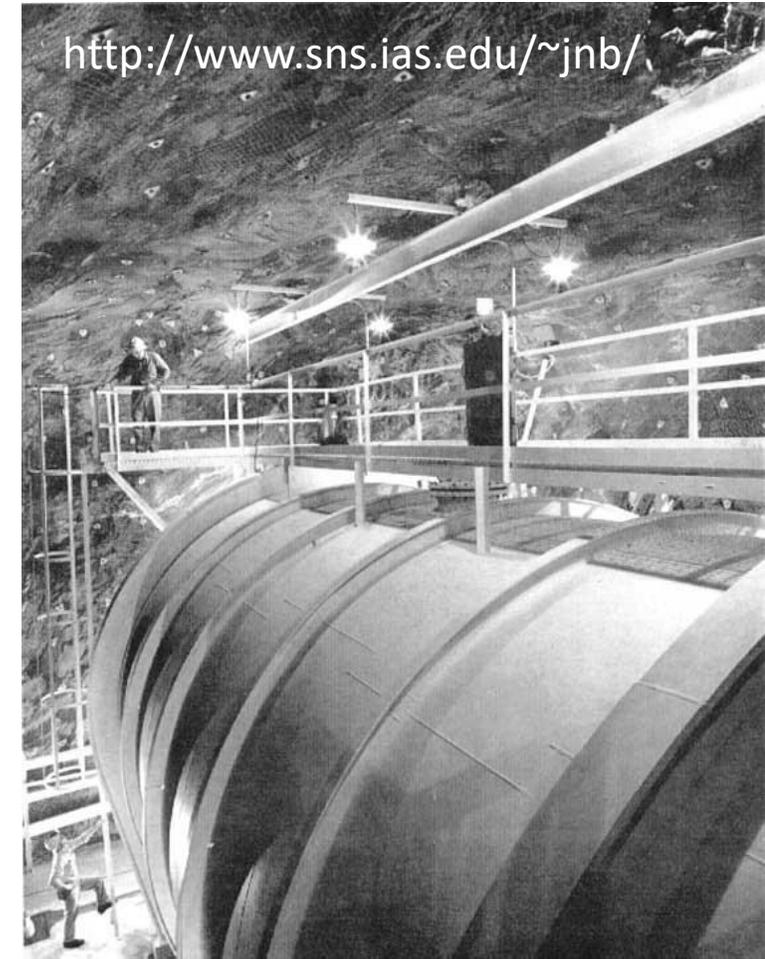


The Davis experiment,
or the Homestake experiment

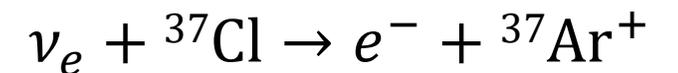


Radiochemical Detection

	Homestake, SAGE, GALLEX...
Target	Ga or Cl
Interaction	$\nu_e + N \rightarrow e^- + N'$
Detection	Radioactivity from the product nucleus



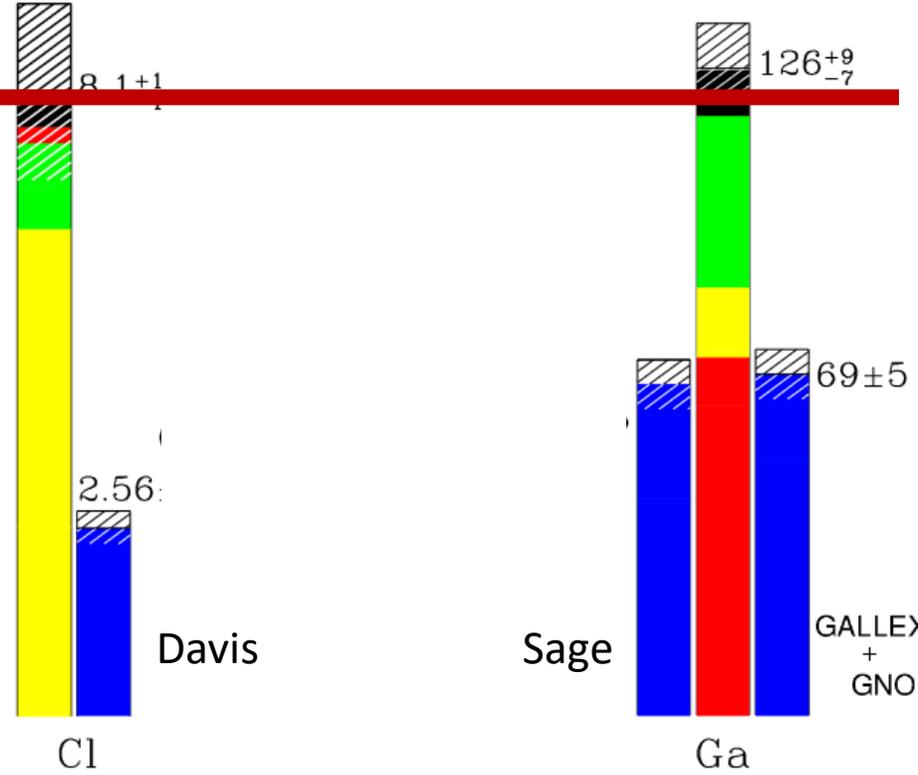
The Davis experiment,
or the Homestake experiment



The Deficit

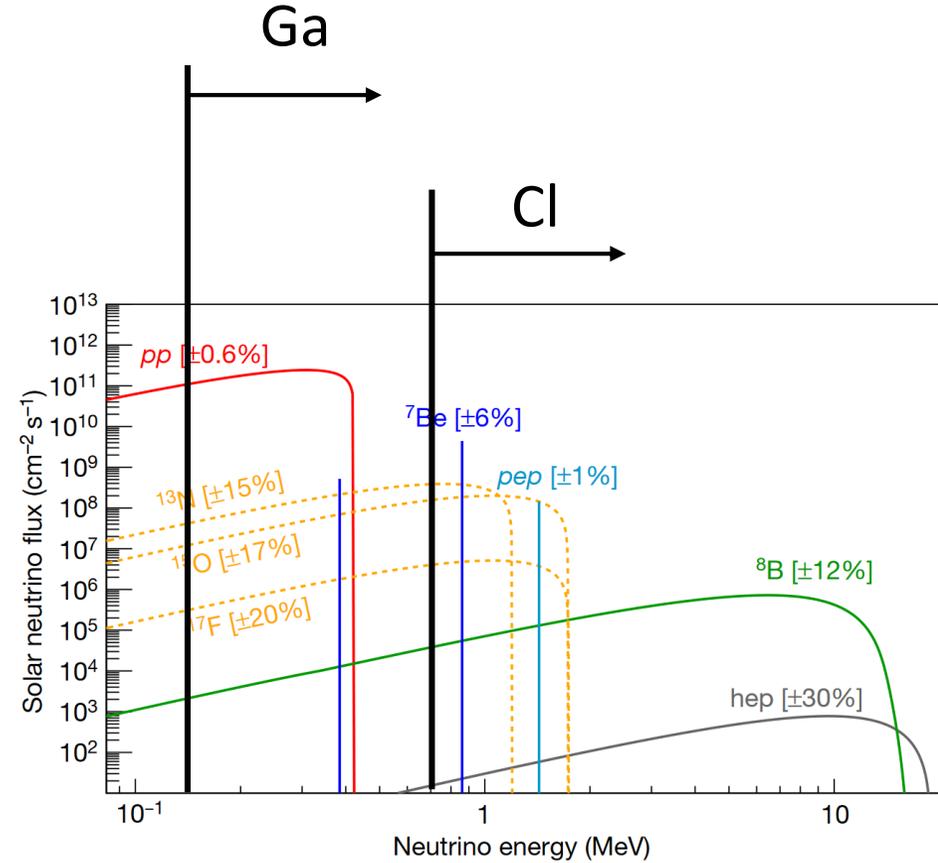
Standard Solar Model Prediction

Experimental results



Theory

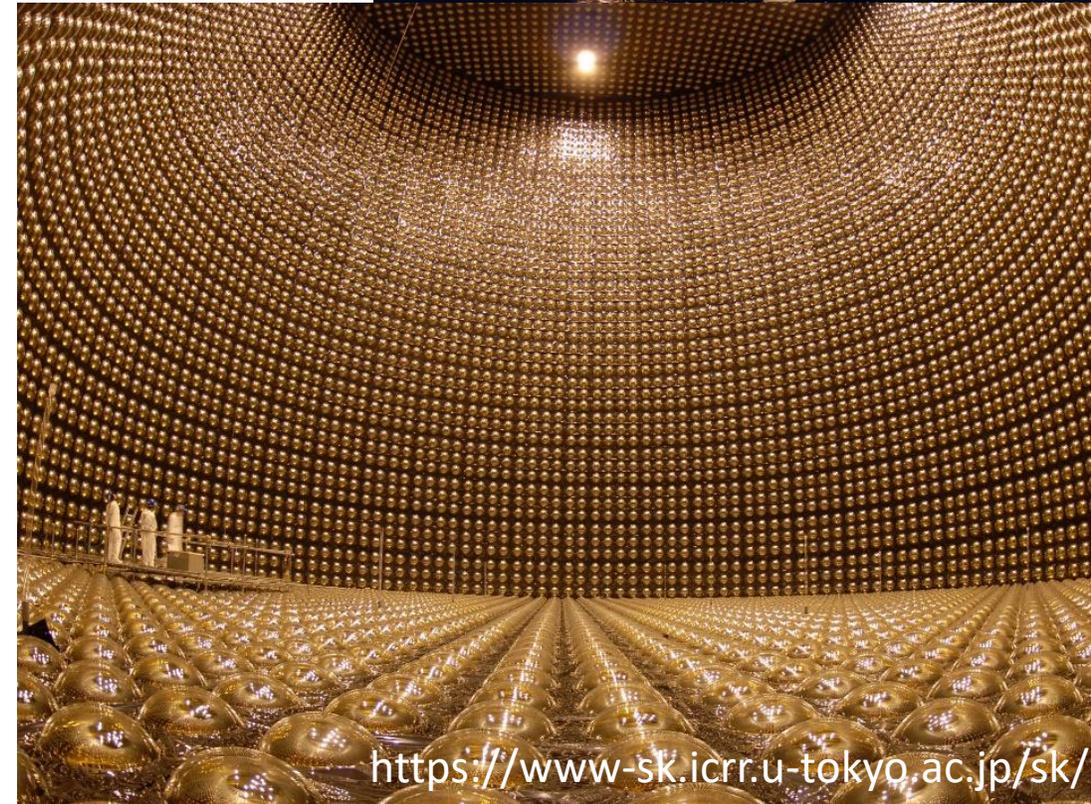
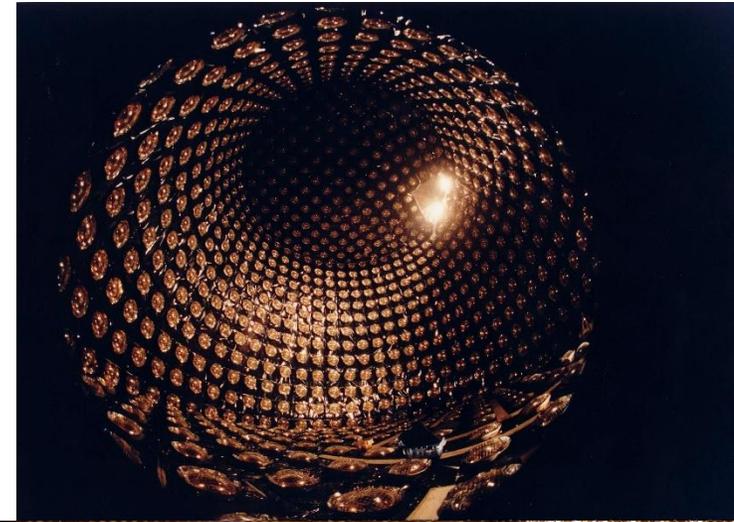
- ^7Be
- p-p, pep
- ^8B
- CNO



Detection at Kamioka

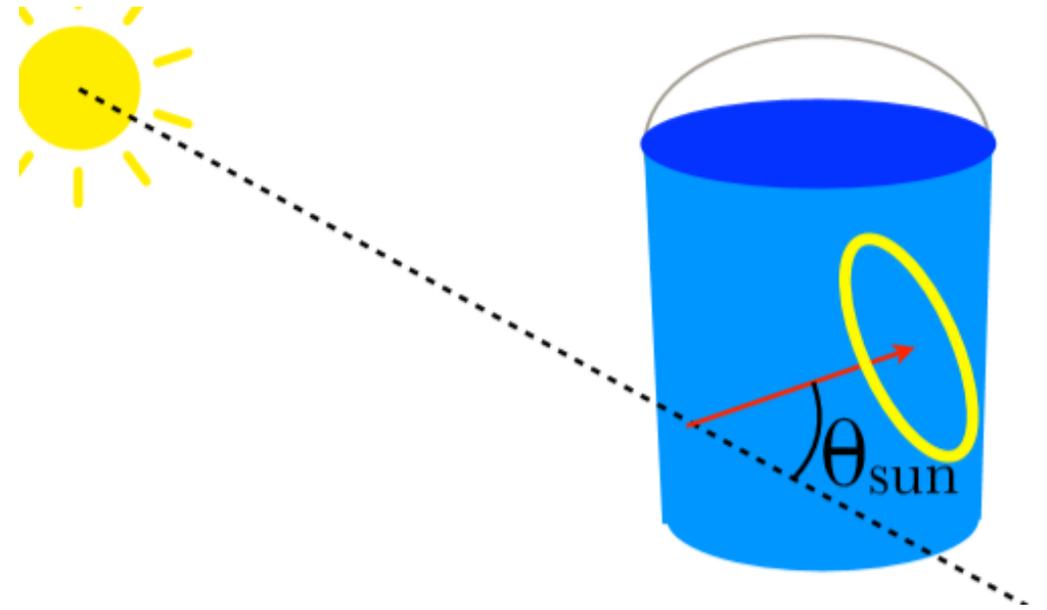
	Kamiokande-II, Super-Kamiokande
Target	H ₂ O
Interaction	$\nu + e^- \rightarrow \nu + e^-$
Detection	Cherenkov

Kamiokande-II
(1985-1990)
↓
Super-Kamiokande
(1996-now)



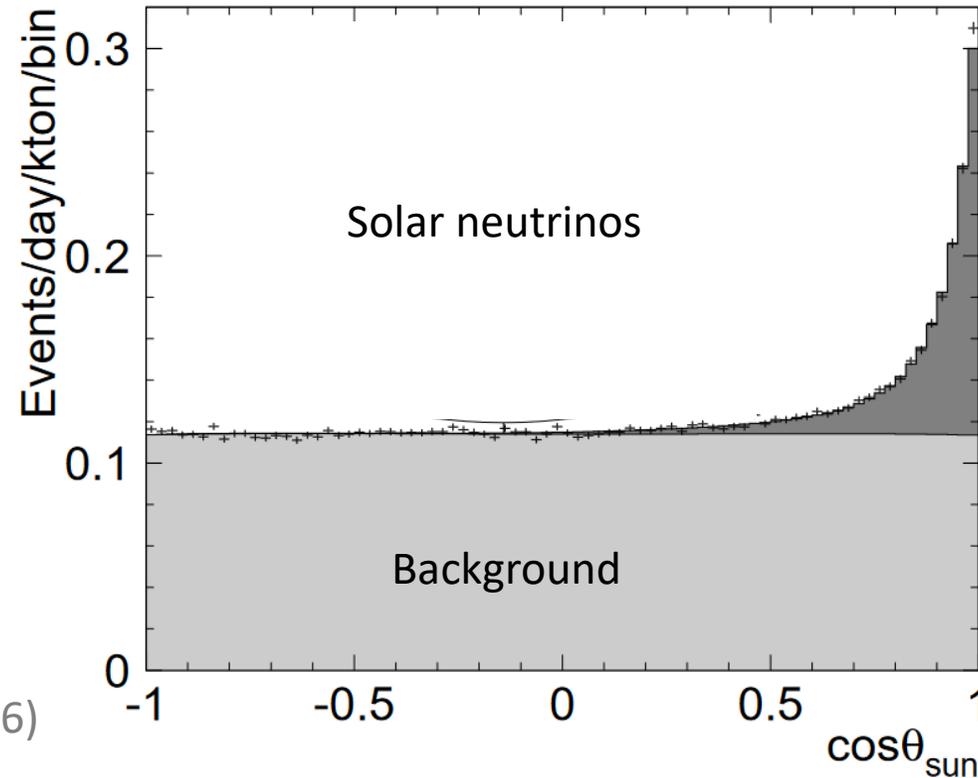
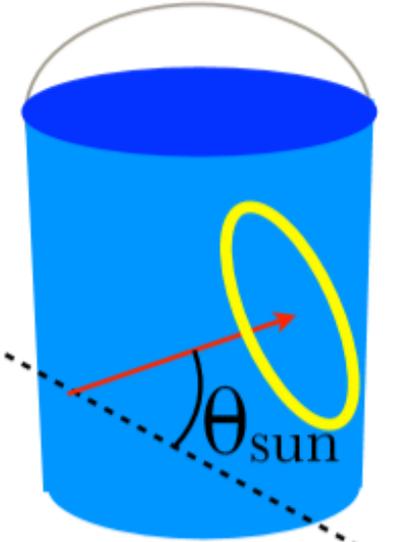
Cherenkov Detectors

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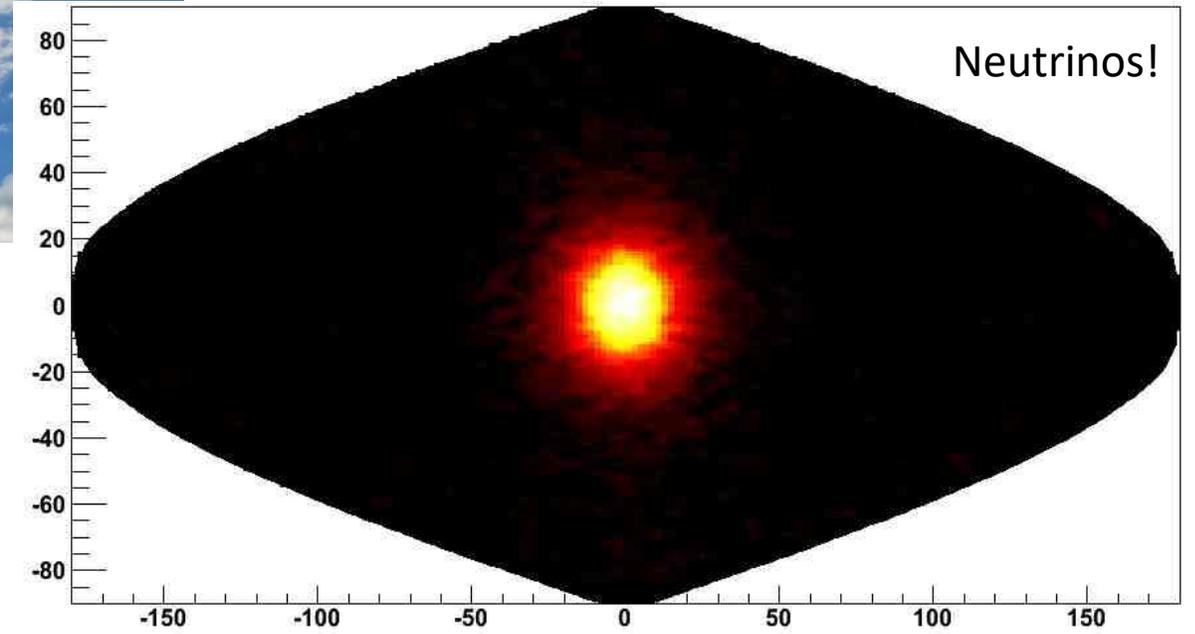
Cherenkov Detectors

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Super-Kamiokande, Phys. Rev. D 94, 052010 (2016)

An Image of the Sun

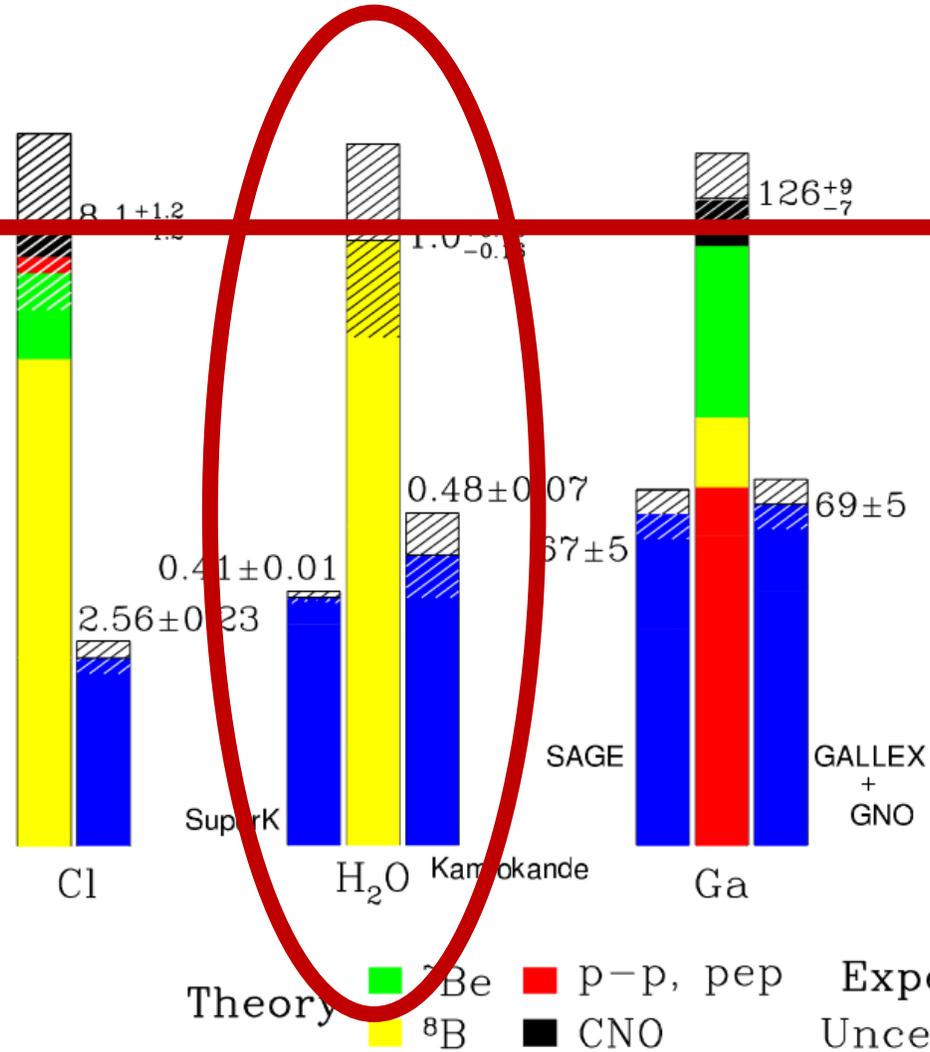


<https://www-sk.icrr.u-tokyo.ac.jp/sk/>

The Solar Neutrino Problem

Standard Solar Model Prediction

Experimental results



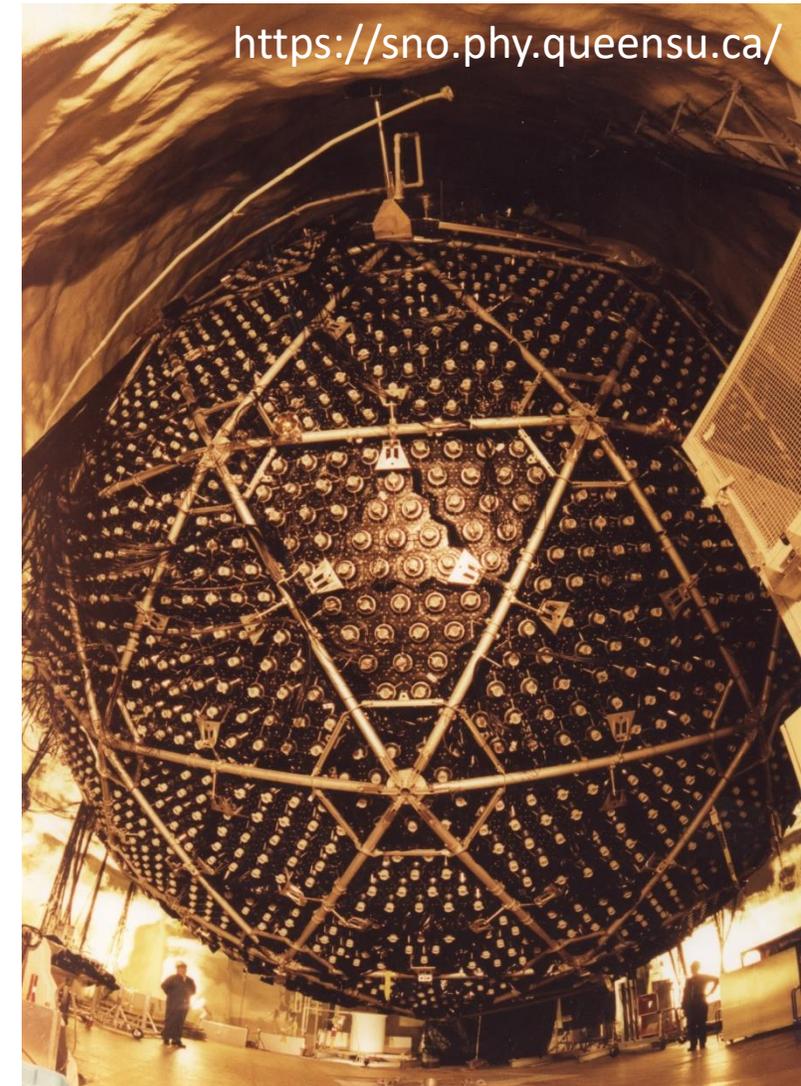
<http://www.sns.ias.edu/~jnb/>

SNO: A Special Cherenkov Detector

SNO (1999-2006)

	Kamiokande-II, Super-Kamiokande	SNO
Target	H2O	D2O
Interaction	$\nu + e^- \rightarrow \nu + e^-$	ES + CC + NC
Detection	Cherenkov	Cherenkov

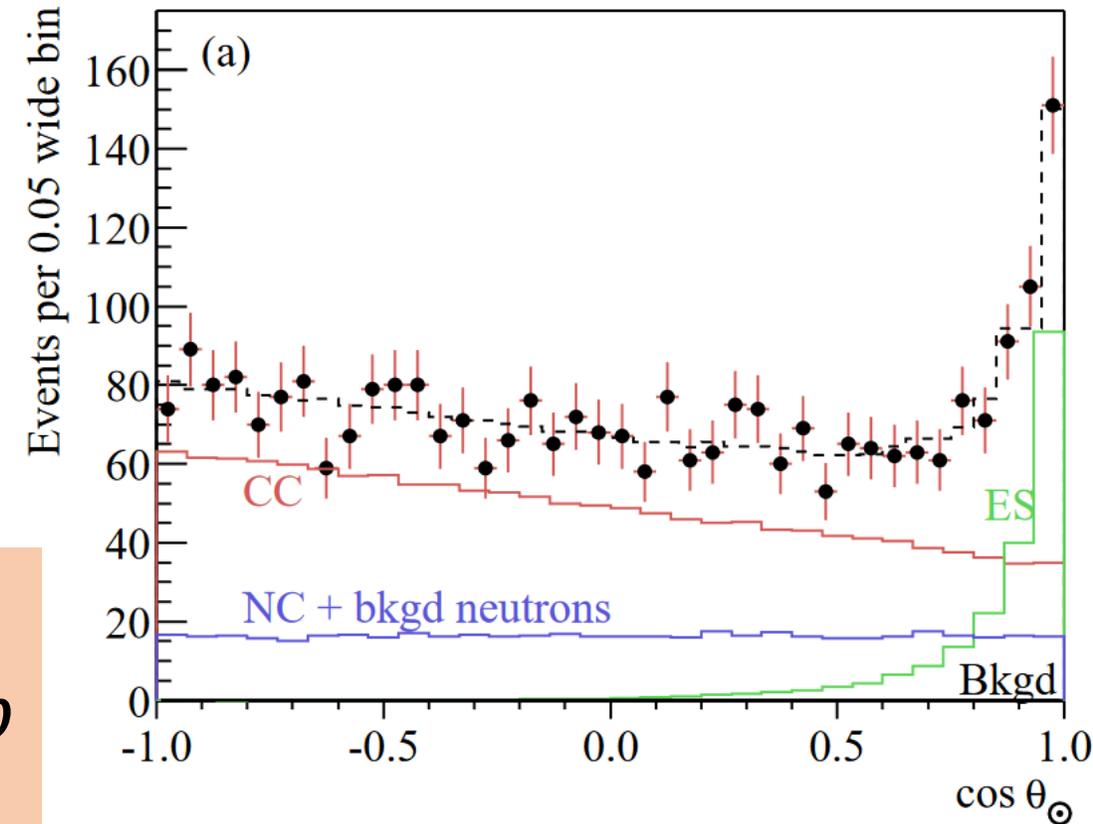
- Elastic scattering: $\nu + e^- \rightarrow \nu + e^-$
- Charge current: $\nu_e + d \rightarrow e^- + p + p$
- Neutral current: $\nu + d \rightarrow \nu + p + n$



SNO: A Special Cherenkov Detector

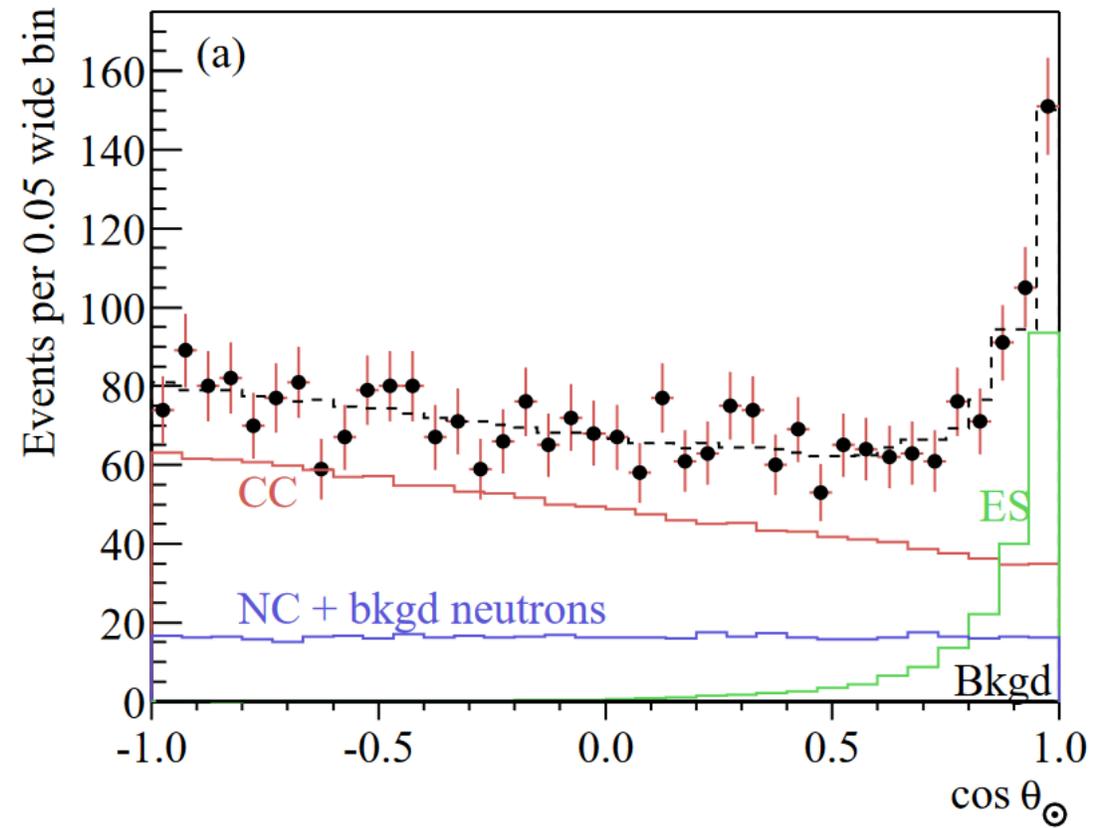
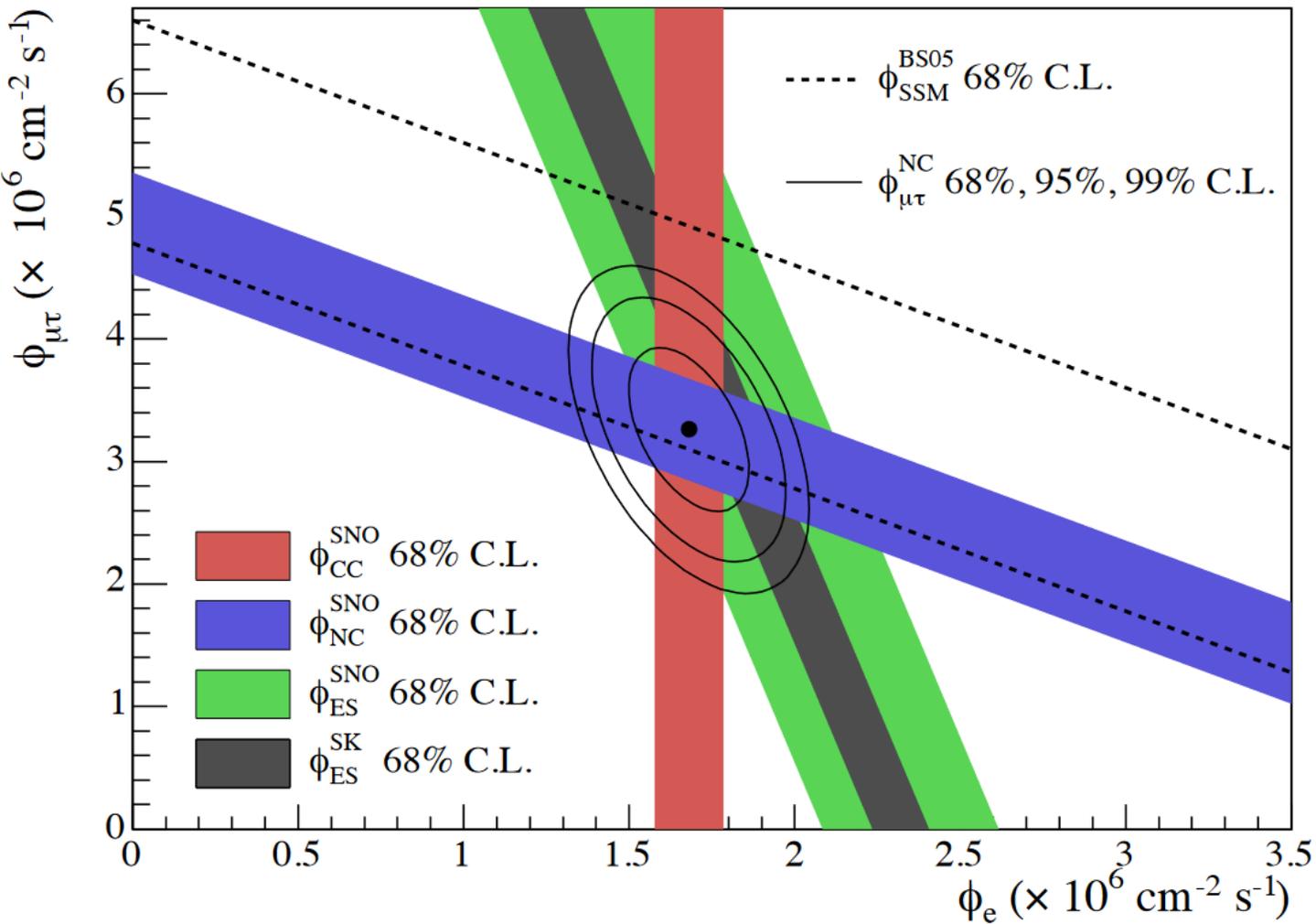
	Kamiokande-II, Super-Kamiokande	SNO
Target	H2O	D2O
Interaction	$\nu + e^- \rightarrow \nu + e^-$	ES + CC + NC
Detection	Cherenkov	Cherenkov

- Elastic scattering: $\nu + e^- \rightarrow \nu + e^-$
- Charge current: $\nu_e + d \rightarrow e^- + p + p$
- Neutral current: $\nu + d \rightarrow \nu + p + n$



SNO, Phys.Rev.Lett.89:011301 (2002)

SNO: Flavor Measurement

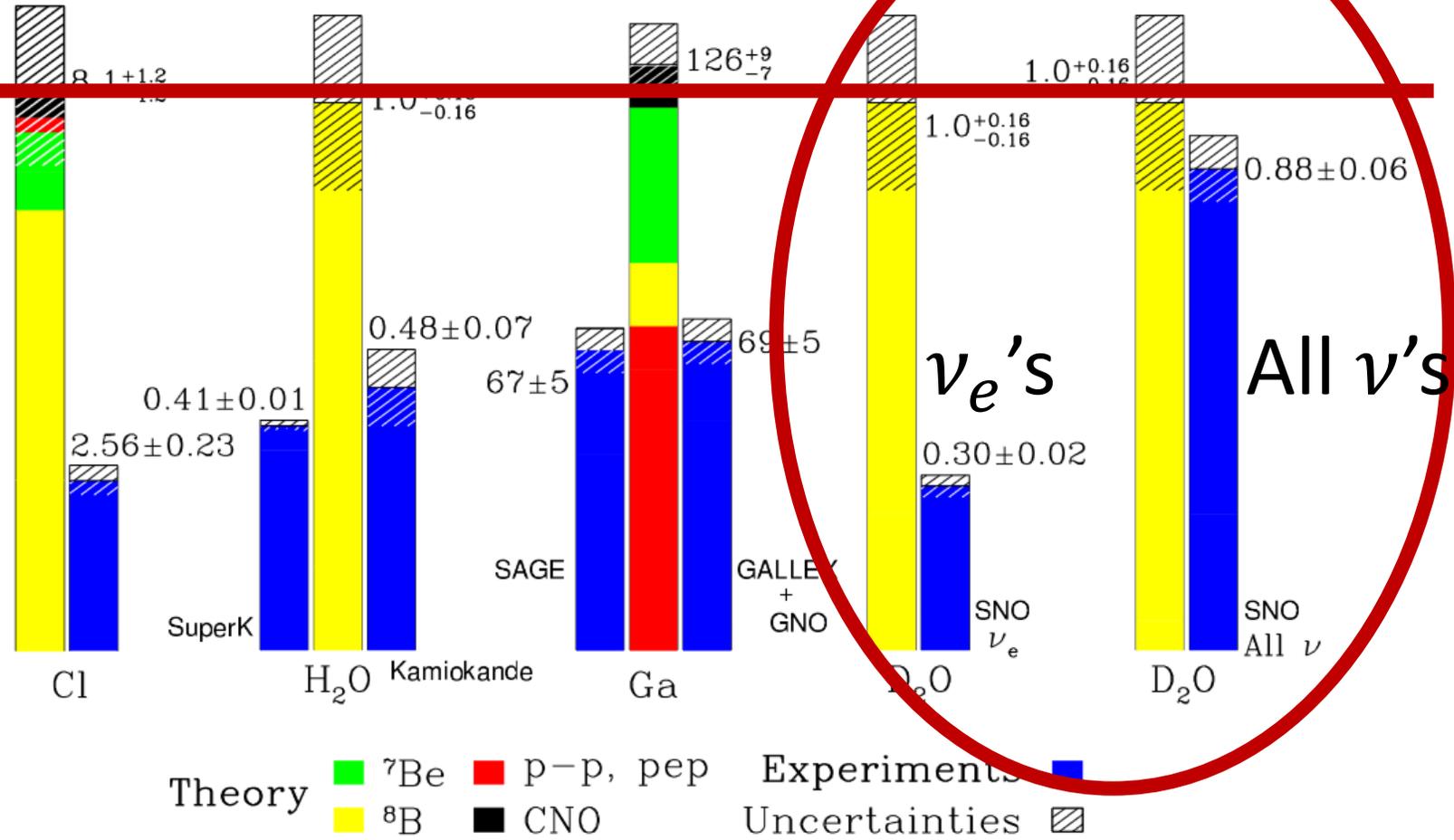


SNO, Phys.Rev.Lett.89:011301 (2002)

The Solar Neutrino Problem

Standard Solar Model Prediction

Experimental results



<http://www.sns.ias.edu/~jnb/>

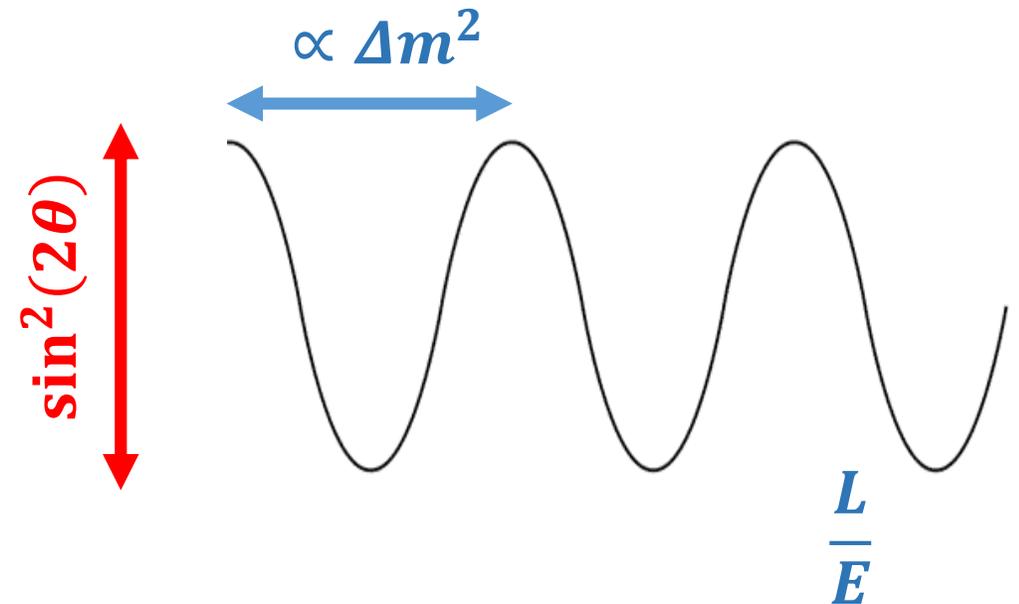
Neutrino Oscillation: Simplified 2-Flavor Model

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Flavor
eigenstate

Mass
eigenstate

$$P_{\nu_e \rightarrow \nu_e} = 1 - \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$



Neutrino Mixing

Pontecorvo–Maki–Nakagawa–Sakata matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Flavor eigenstate Mass eigenstate

Δm_{21}^2
 Δm_{32}^2

Neutrino Oscillation

Pontecorvo–Maki–Nakagawa–Sakata matrix

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Flavor
eigenstate

Mass
eigenstate

$$\begin{aligned}
 P_{ee} = & 1 - \cos^4(\theta_{13}) \sin^2(2\theta_{12}) \sin^2\left(\frac{\Delta m_{21}^2 L}{4\bar{p}}\right) \\
 & - \cos^2(\theta_{12}) \sin^2(2\theta_{13}) \sin^2\left(\frac{\Delta m_{31}^2 L}{4\bar{p}}\right) \\
 & - \sin^2(\theta_{12}) \sin^2(2\theta_{13}) \sin^2\left(\frac{\Delta m_{32}^2 L}{4\bar{p}}\right)
 \end{aligned}$$

Neutrino Oscillation

Pontecorvo–Maki–Nakagawa–Sakata matrix

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Neutrino Oscillation

Pontecorvo–Maki–Nakagawa–Sakata matrix

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Flavor
eigenstate

Solar

Mass
eigenstate

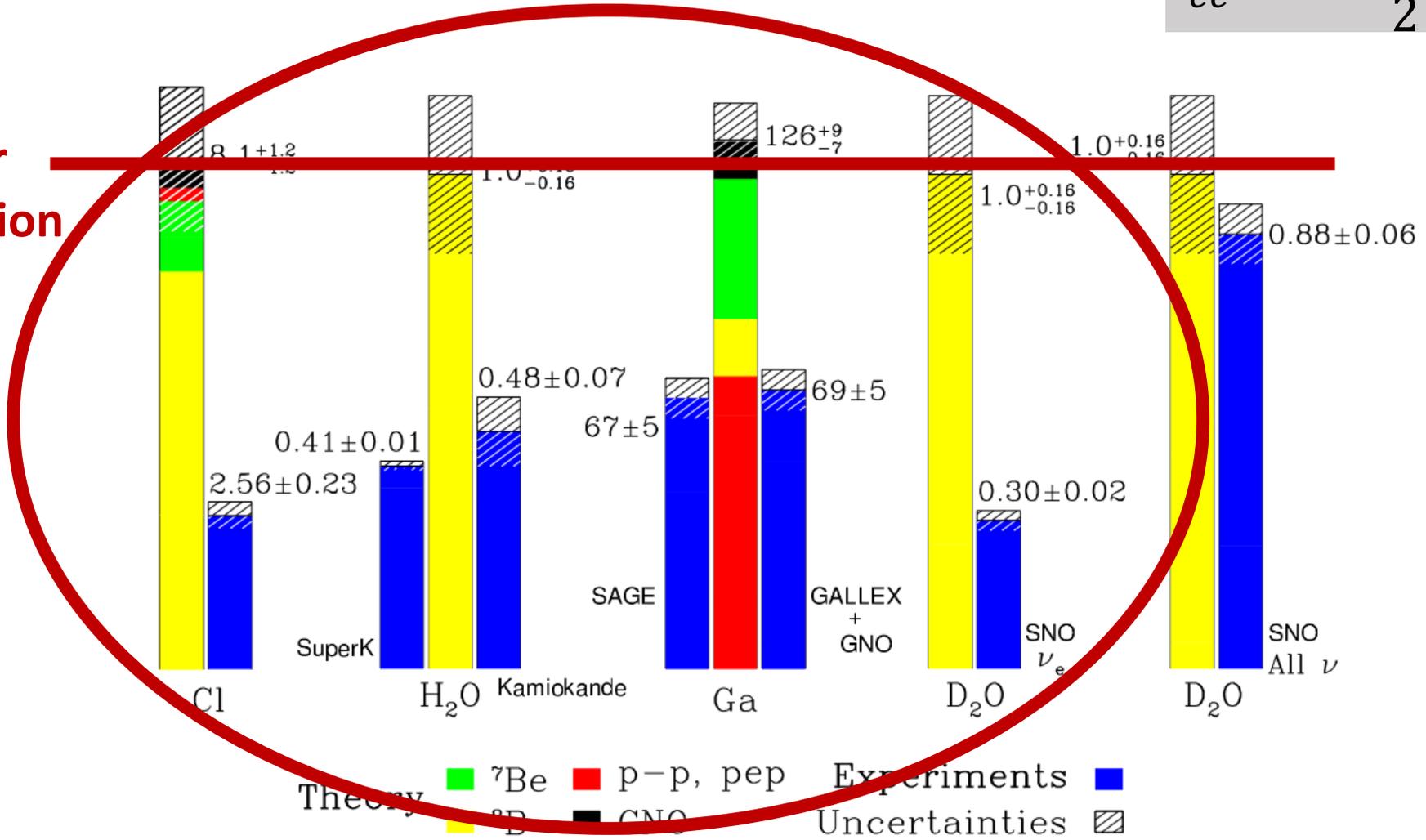
$$\begin{aligned}
 P_{ee} = & 1 - \cancel{\cos^4(\theta_{13})} \sin^2(2\theta_{12}) \sin^2\left(\frac{\Delta m_{21}^2 L}{4\bar{p}}\right) \\
 & - \cancel{\cos^2(\theta_{12}) \sin^2(2\theta_{13})} \sin^2\left(\frac{\Delta m_{31}^2 L}{4\bar{p}}\right) \\
 & - \cancel{\sin^2(\theta_{12}) \sin^2(2\theta_{13})} \sin^2\left(\frac{\Delta m_{32}^2 L}{4\bar{p}}\right) \\
 \approx & 1 - \frac{1}{2} \sin^2(2\theta_{12})
 \end{aligned}$$

The Solar Neutrino Problem

$$P_{ee} \approx 1 - \frac{1}{2} \sin^2(2\theta_{12})$$

Standard Solar Model Prediction

Experimental results



<http://www.sns.ias.edu/~jnb/>

Matter Effect

$$H_V = \begin{pmatrix} \frac{m_1^2}{2E} & \\ & \frac{m_2^2}{2E} \end{pmatrix},$$

Matter Effect

$$H_V = \begin{pmatrix} \frac{m_1^2}{2E} & \\ & \frac{m_2^2}{2E} \end{pmatrix},$$

$$H_M = H_V + U^\dagger \begin{pmatrix} \sqrt{2}G_F N_e & \\ & 0 \end{pmatrix} U$$

Matter Effect

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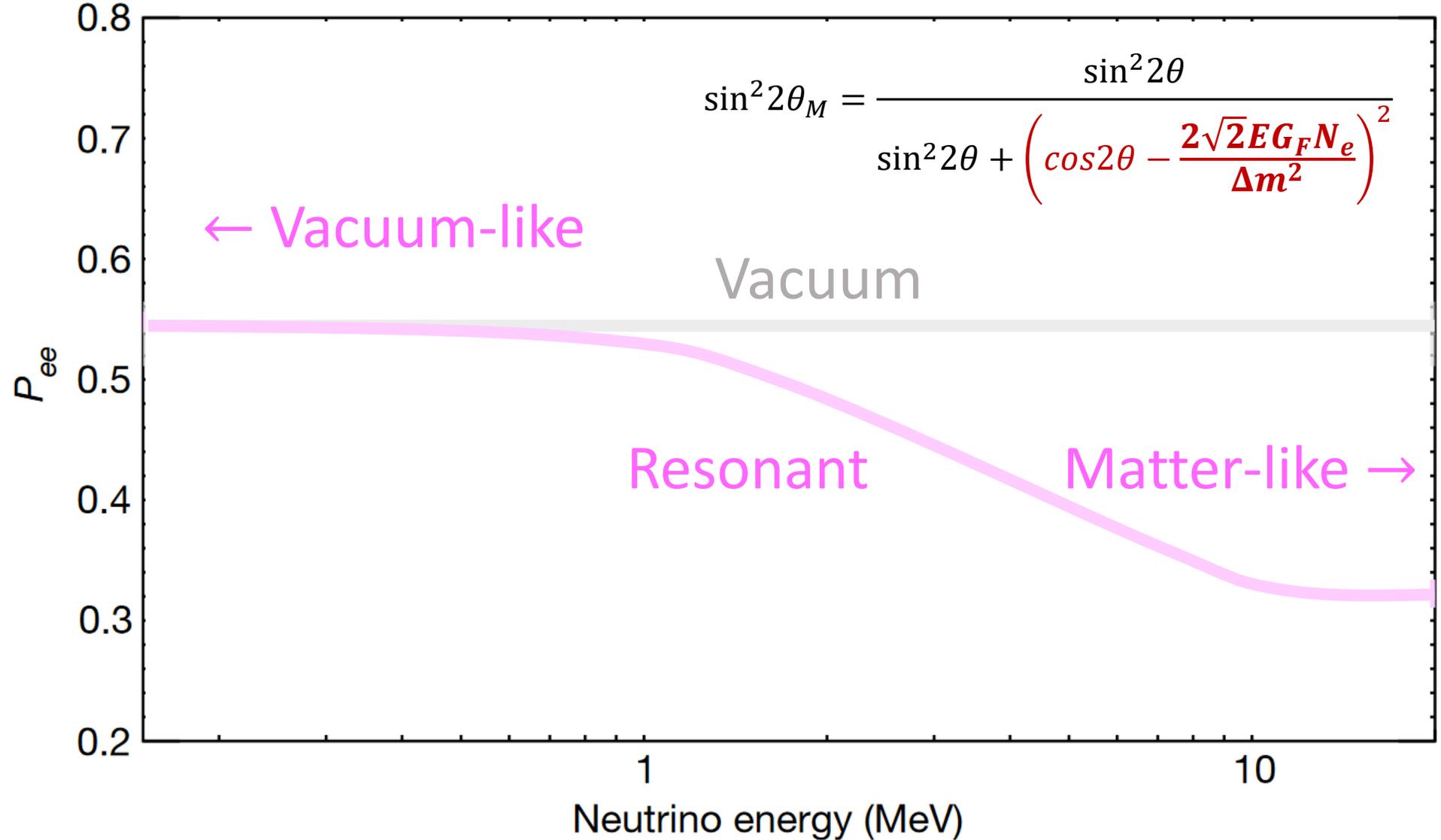
$$H_M = H_V + U^\dagger \begin{pmatrix} \sqrt{2}G_F N_e & \\ & 0 \end{pmatrix} U \quad \rightarrow$$

Effective mixing parameters

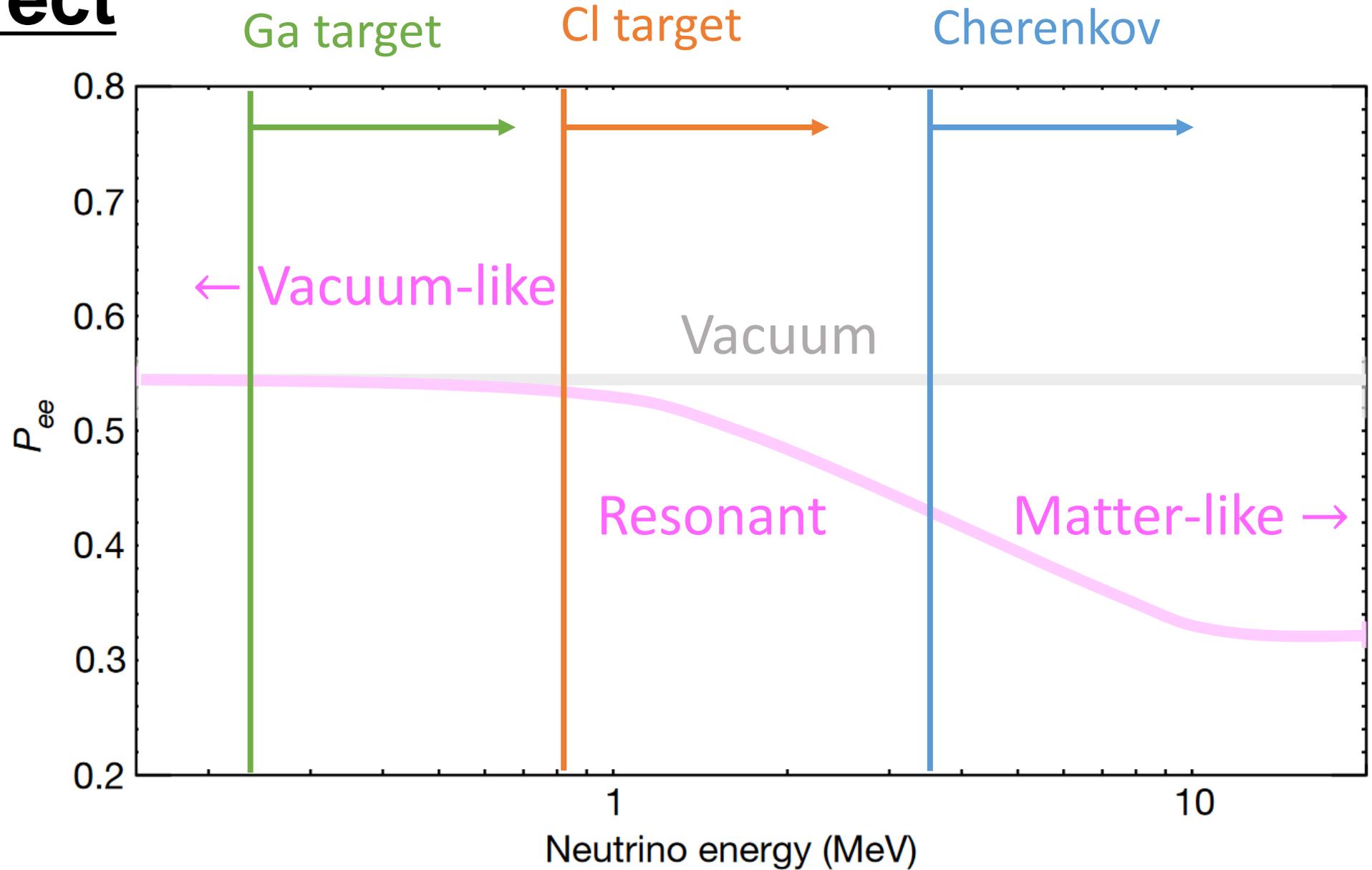
$$\left\{ \begin{array}{l} \Delta m_M^2 = \sqrt{(\Delta m^2 \cos 2\theta - 2\sqrt{2}EG_F N_e)^2 + (\Delta m^2 \sin 2\theta)^2} \\ \sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + \left(\cos 2\theta - \frac{2\sqrt{2}EG_F N_e}{\Delta m^2} \right)^2} \end{array} \right.$$

Energy dependent mixing!

MSW Effect



MSW Effect

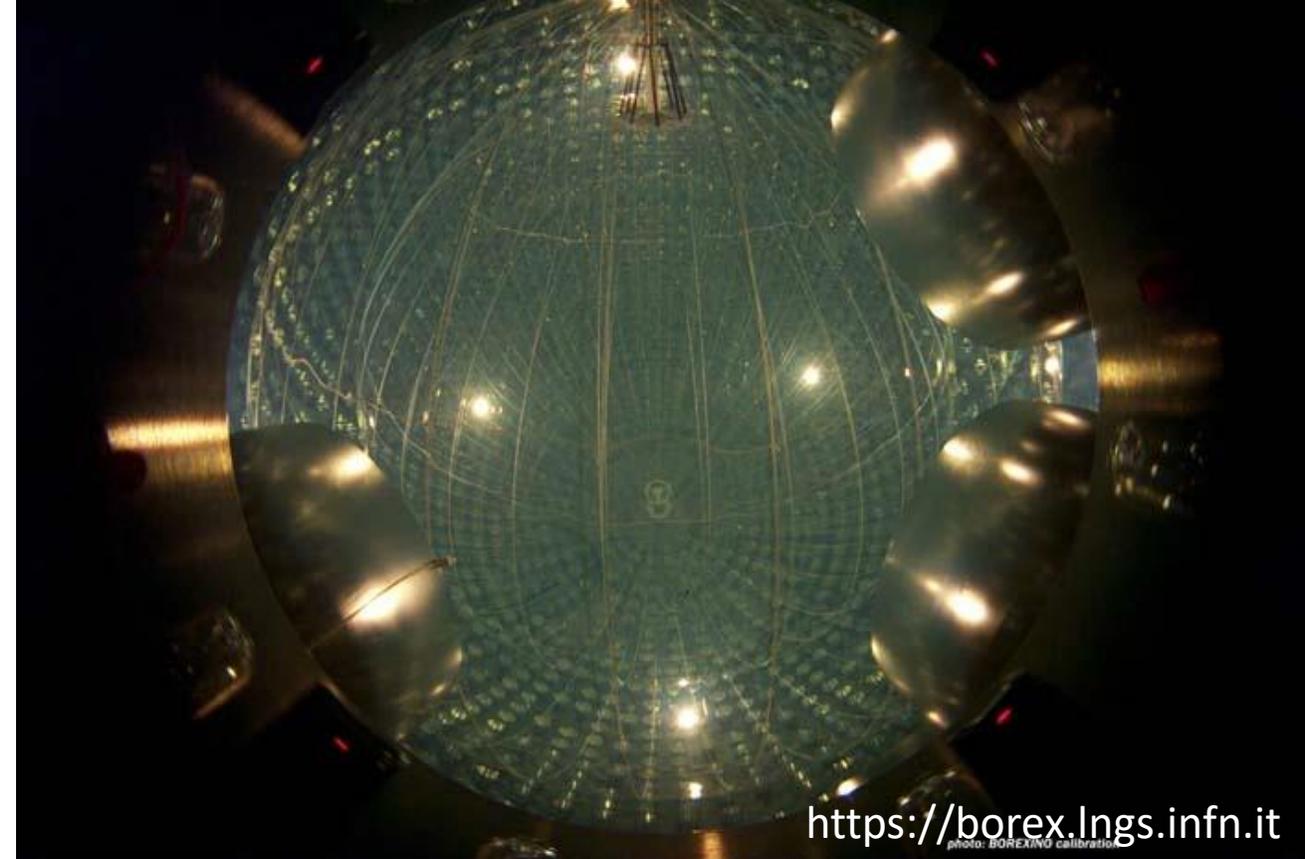


Scintillation Detection

	KamLAND, Borexino...
Target	Liquid scintillator
Interaction	$\nu + e^- \rightarrow \nu + e^-$
Detection	Scintillation

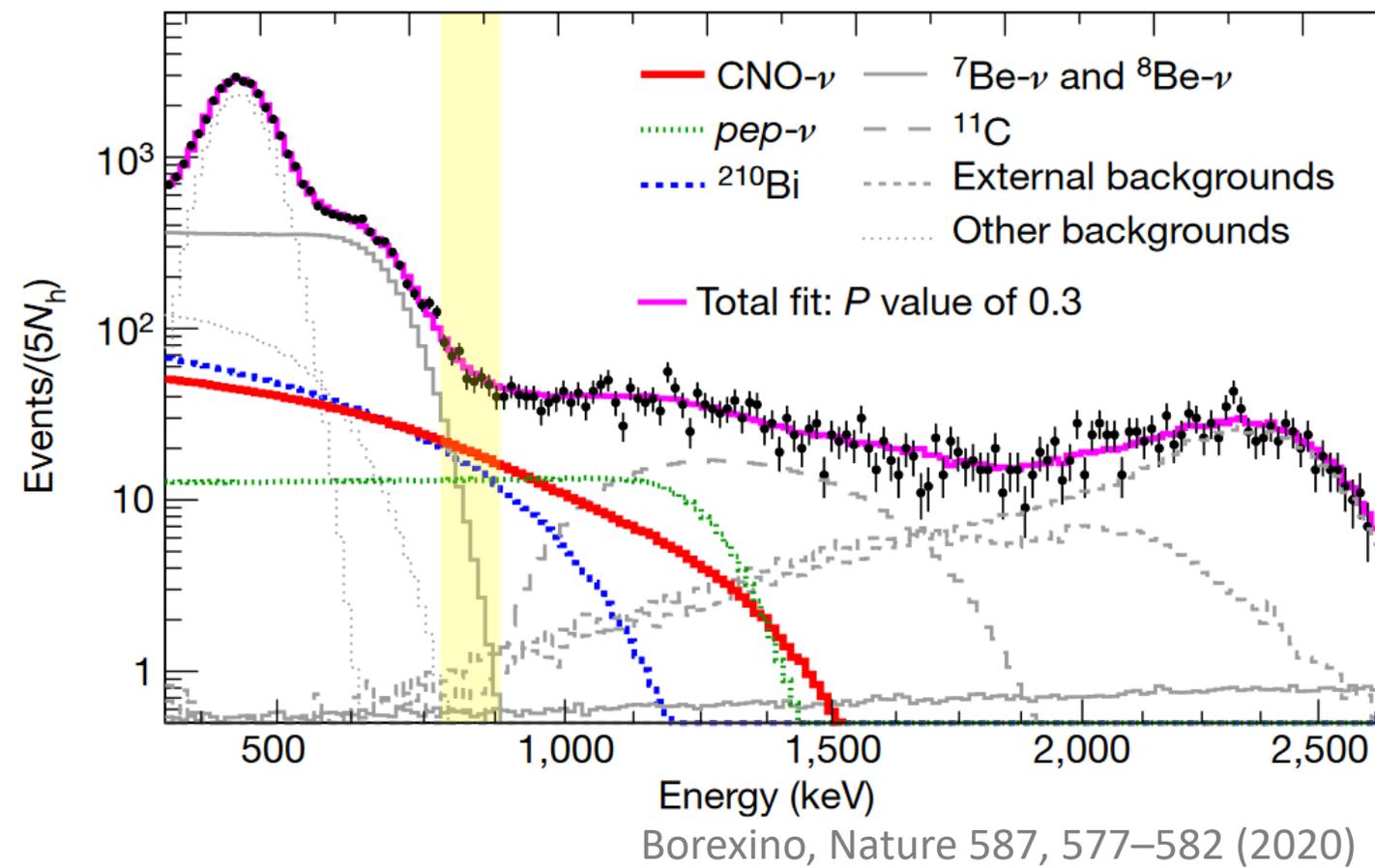


KamLAND (2002-)



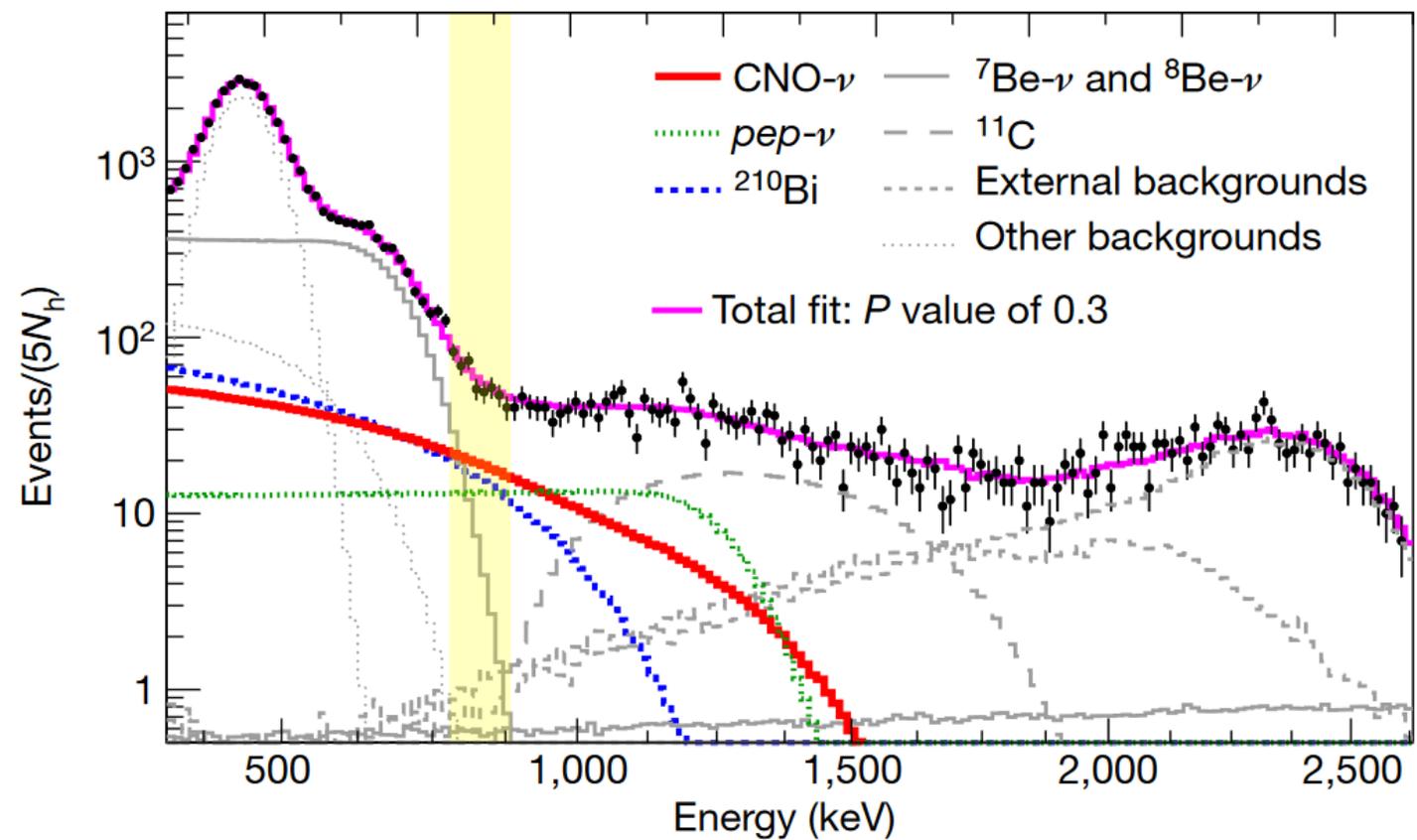
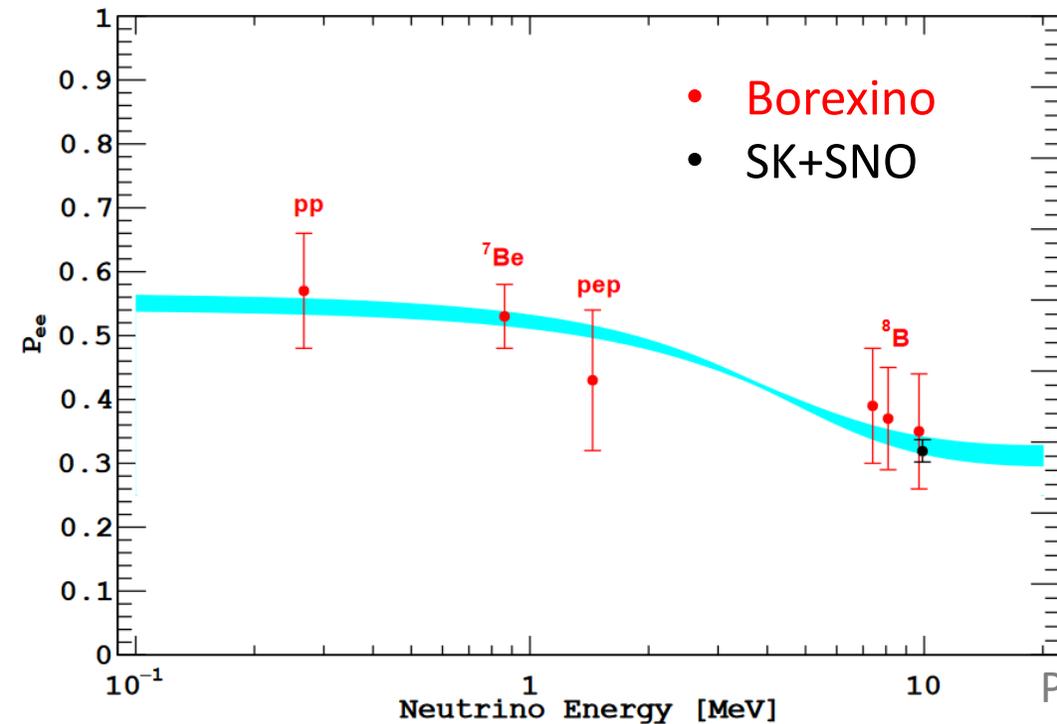
Borexino (2007-2021)

Borexino Results



- Discovery of CNO neutrinos in 2020

Borexino Results



Borexino, Nature 587, 577–582 (2020)

- Discovery of CNO neutrinos in 2020

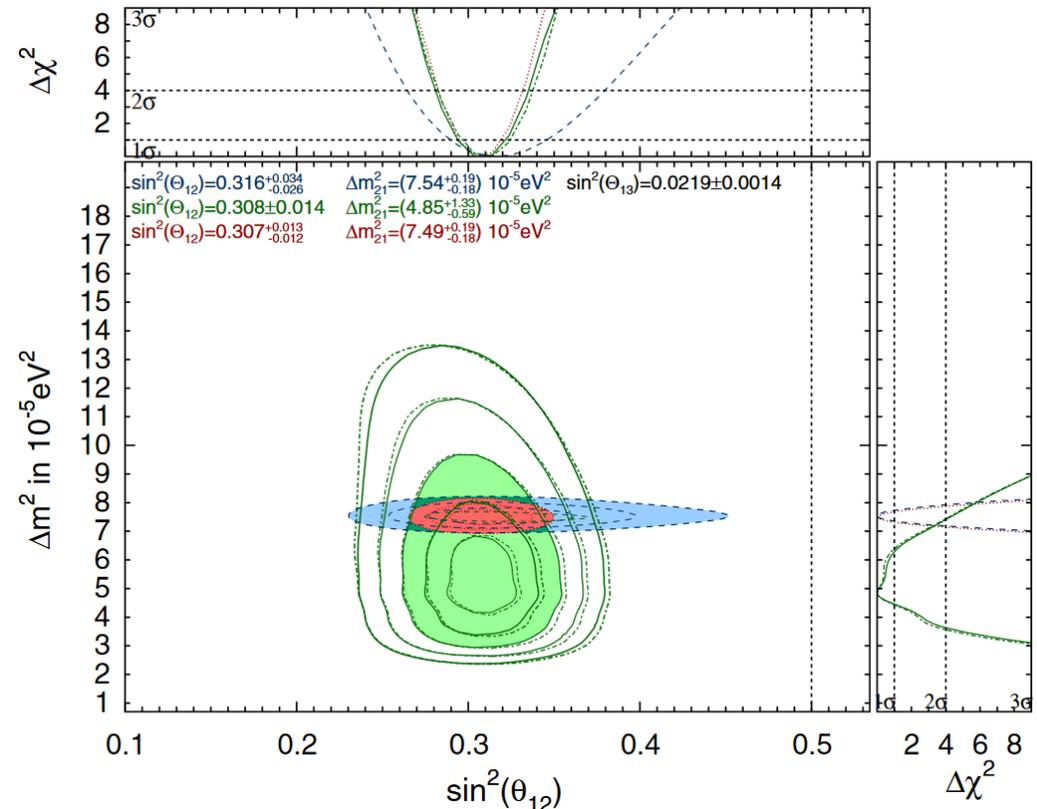
Prog. Theor. Exp. Phys. 2022, 083C01 (2022)

Looking Forward

- Neutrino oscillation
- Probing the Sun
- ...

Looking Forward

- Neutrino oscillation
 - Precise measurement of $\sin^2\theta_{12}$ and Δm_{21}^2 Solar neutrinos (SNO+SK)



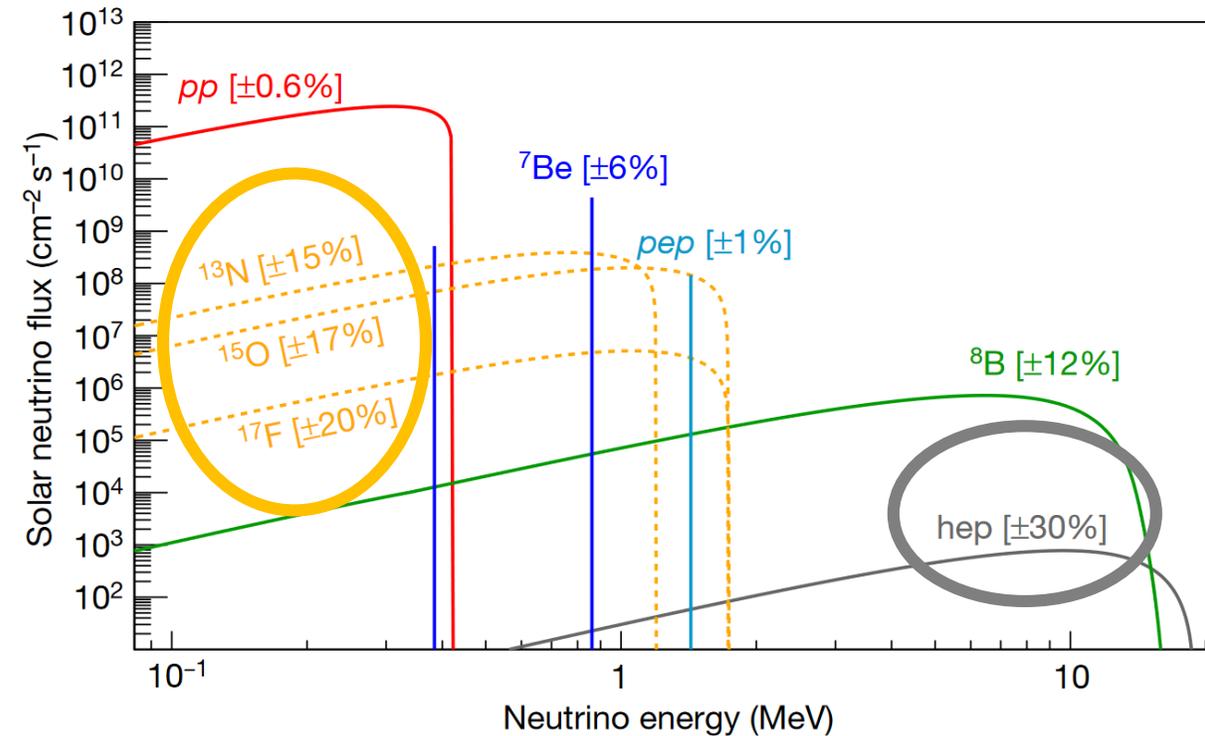
Super-Kamiokande, Phys. Rev. D 94, 052010 (2016)

Looking Forward

- Neutrino oscillation
 - Precise measurement of $\sin^2 \theta_{12}$ and Δm_{21}^2

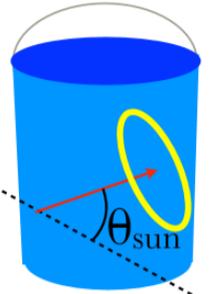
- Probing the Sun
 - Metallicity
 - Discover hep neutrinos

- ...



Future Detectors

Directional

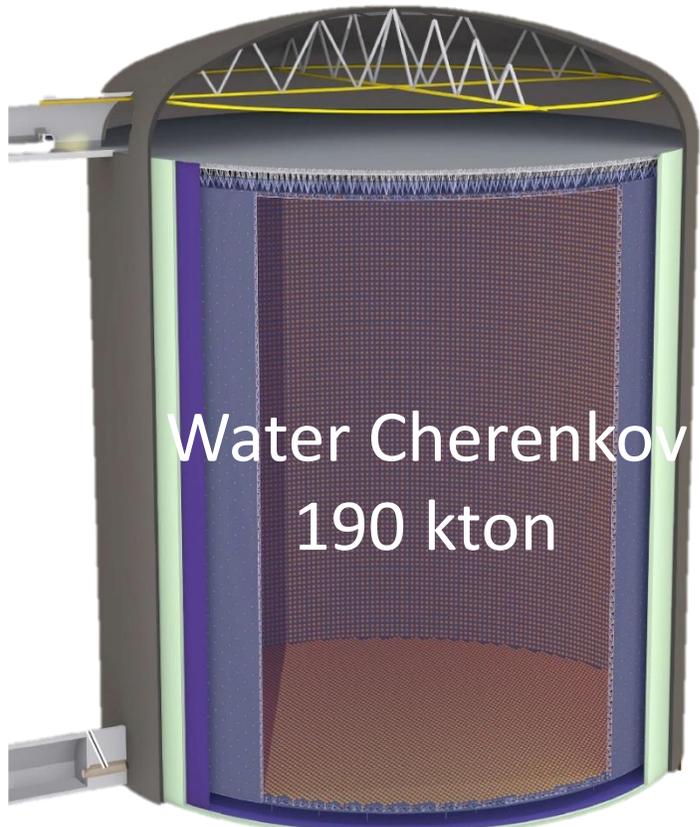
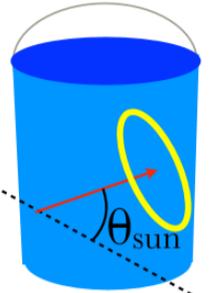


Water Cherenkov
190 kton

Hyper-Kamiokande

Future Detectors

Directional



Water Cherenkov
190 kton

Hyper-Kamiokande

Energy resolution $\sim 3\%$

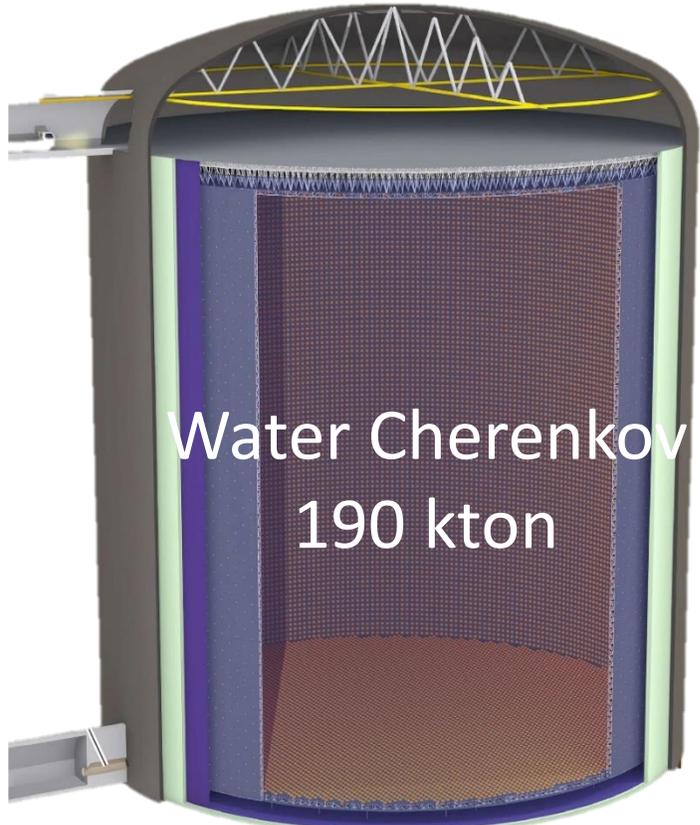
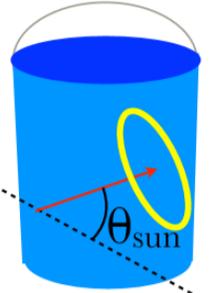


Liquid Scintillator
20 kton

JUNO

Future Detectors

Directional



Water Cherenkov
190 kton

Hyper-Kamiokande

THEIA
WbLS



JINPING
NEUTRINO
EXPERIMENT

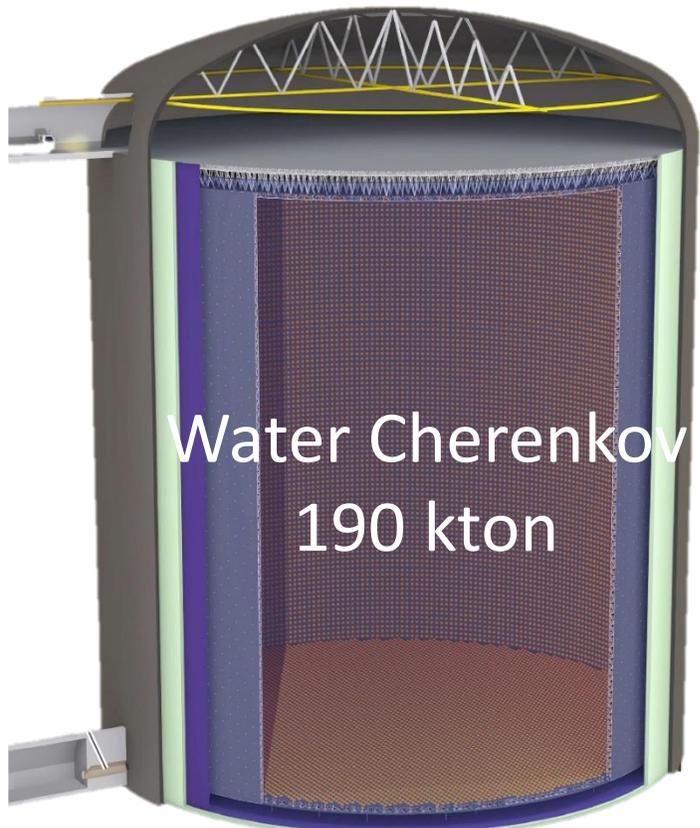
Energy resolution $\sim 3\%$



Liquid Scintillator
20 kton

JUNO

Future Detectors

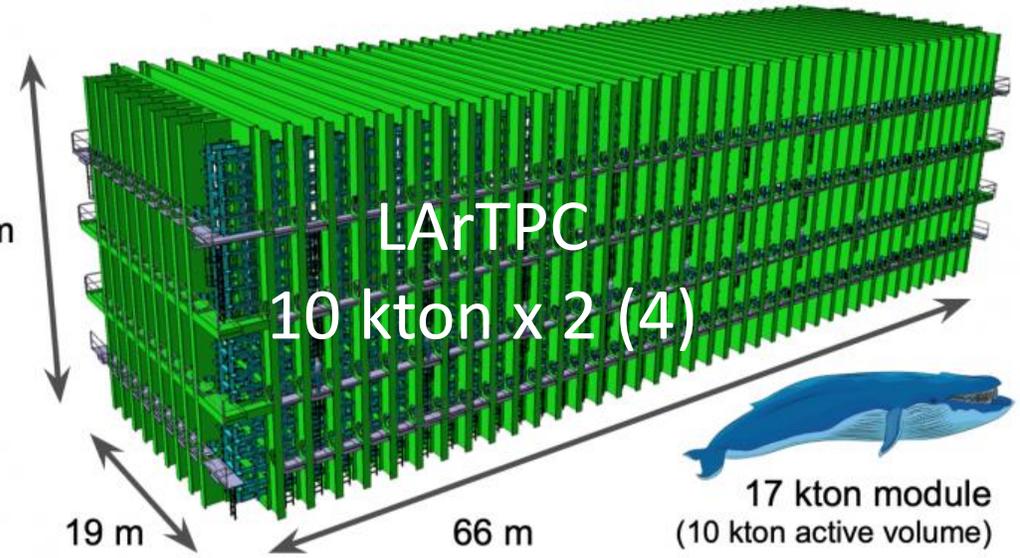


Water Cherenkov
190 kton

Hyper-Kamiokande

Charged current
+
Elastic scattering

18 m
DUNE



LArTPC
10 kton x 2 (4)

19 m

66 m

17 kton module
(10 kton active volume)

THEIA
WbLS



JINPING
NEUTRINO
EXPERIMENT



Liquid Scintillator
20 kton

JUNO

Atmospheric Neutrinos

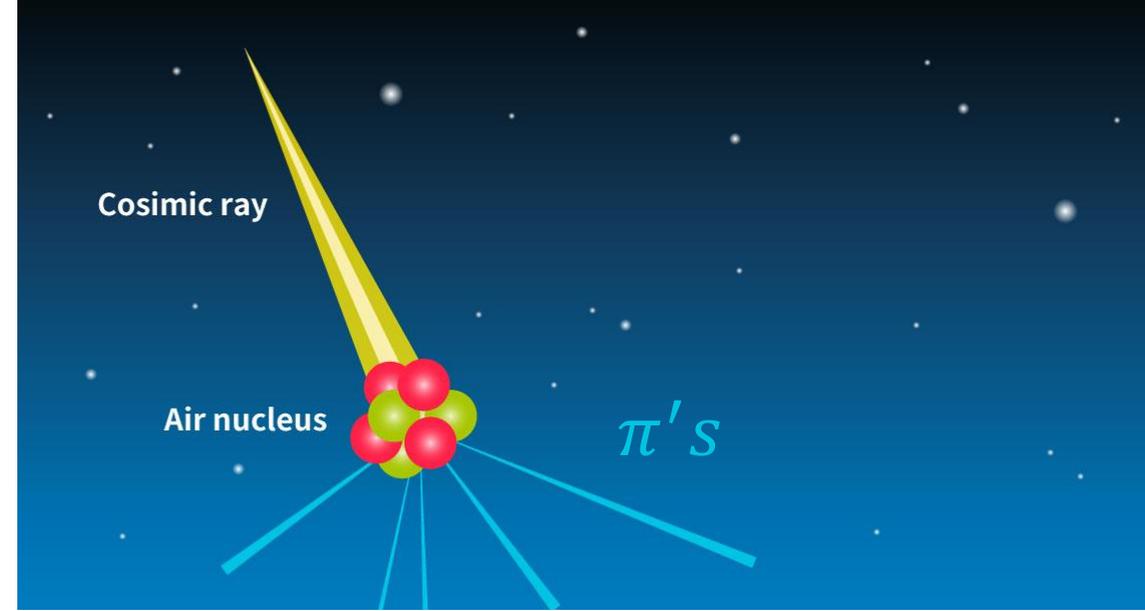


2023/08/09

Solar and Atmospheric Neutrinos, Linyan WAN

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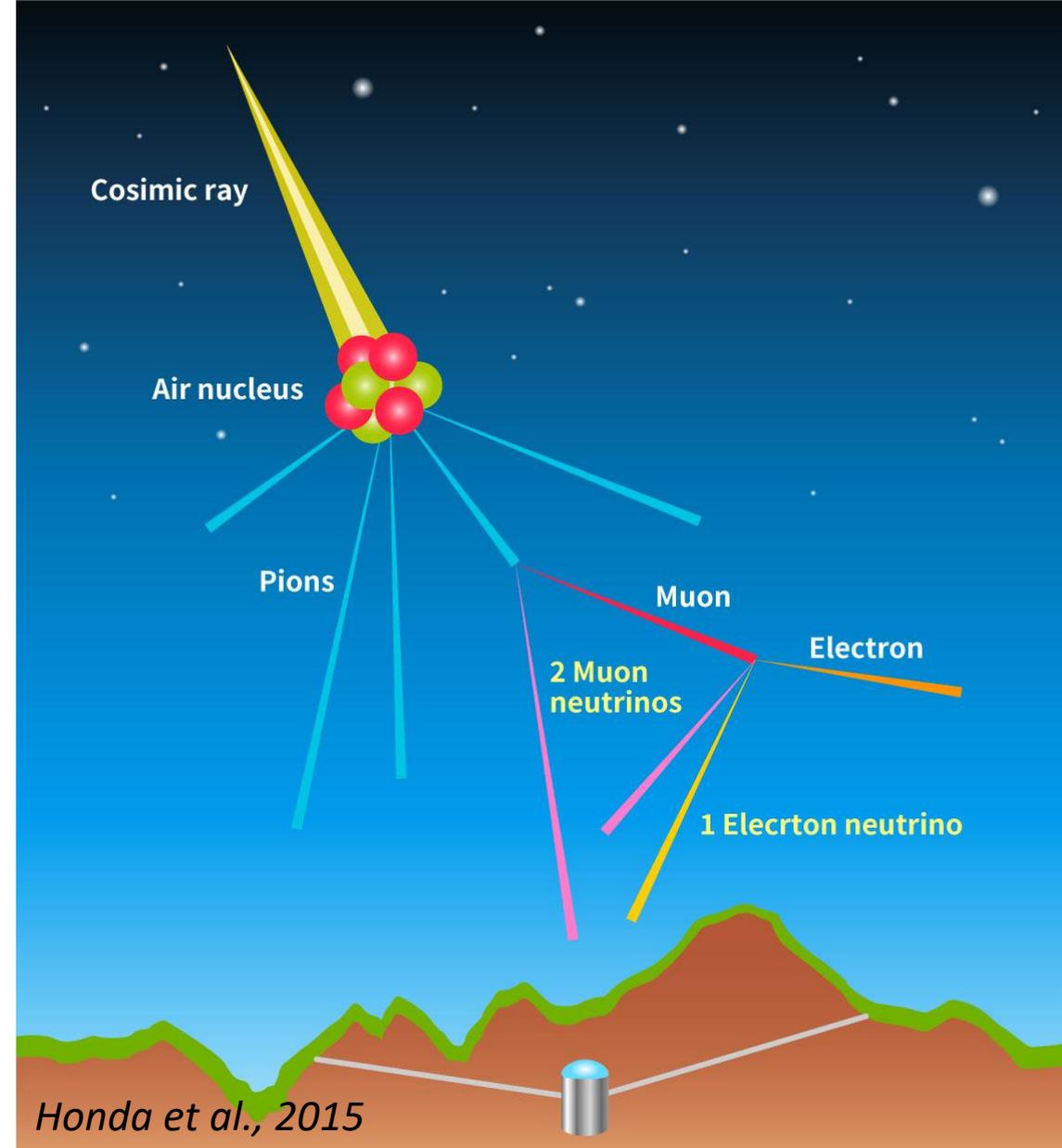
Atmospheric Neutrinos



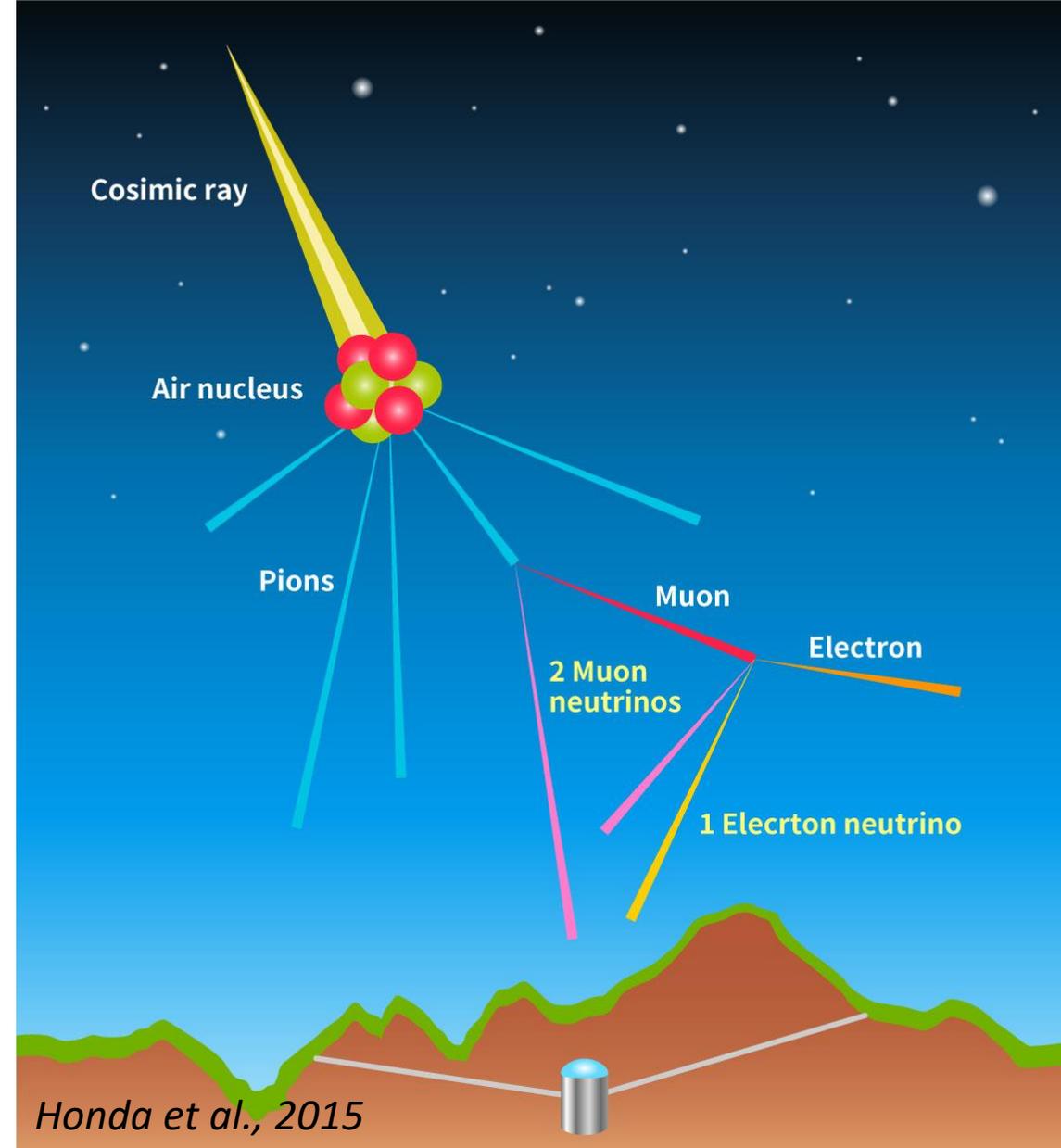
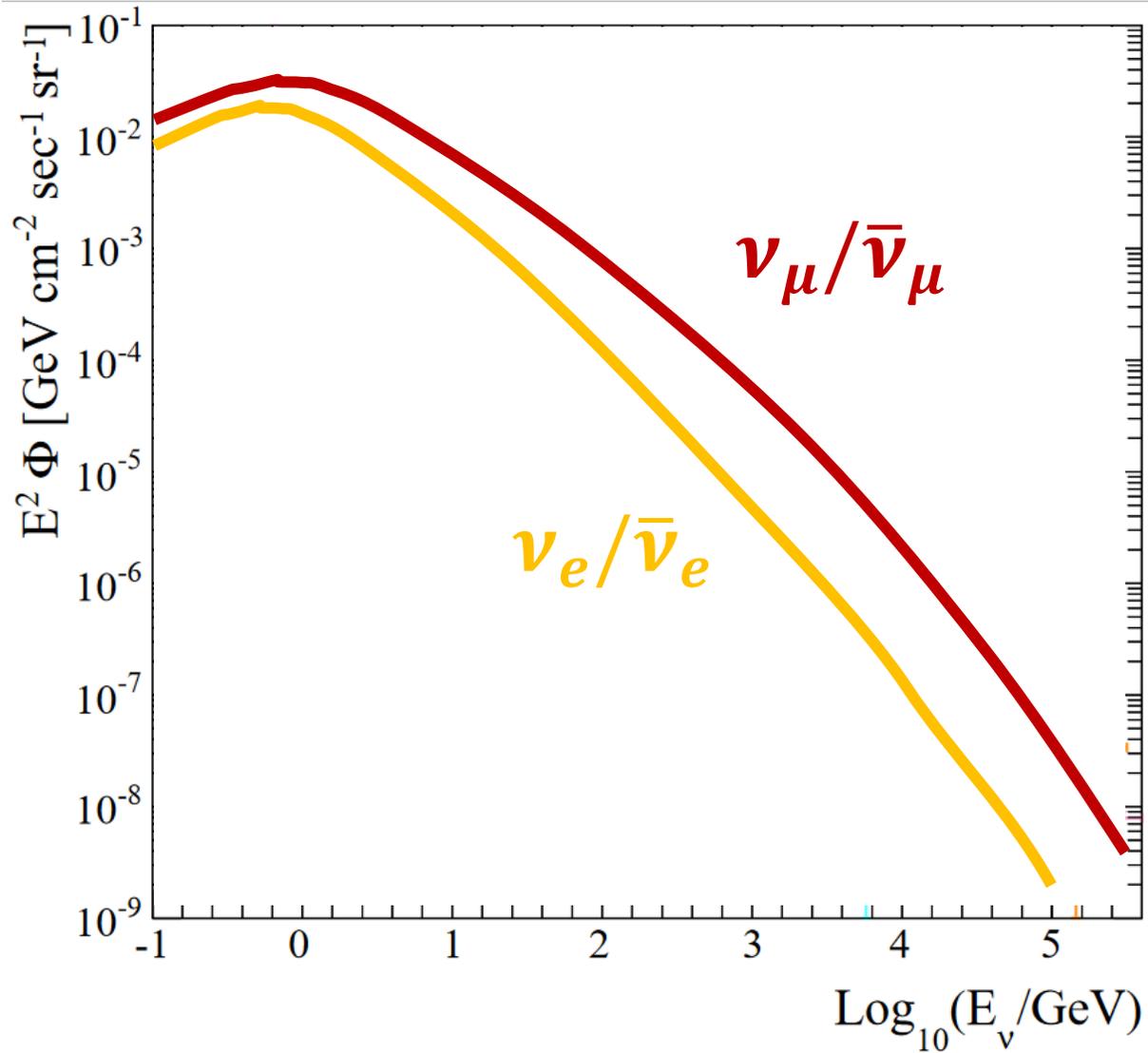
Atmospheric Neutrinos

- $\pi^- \rightarrow \bar{\nu}_\mu + \mu^-$
- $\mu^- \rightarrow \nu_\mu + \bar{\nu}_e + e^-$

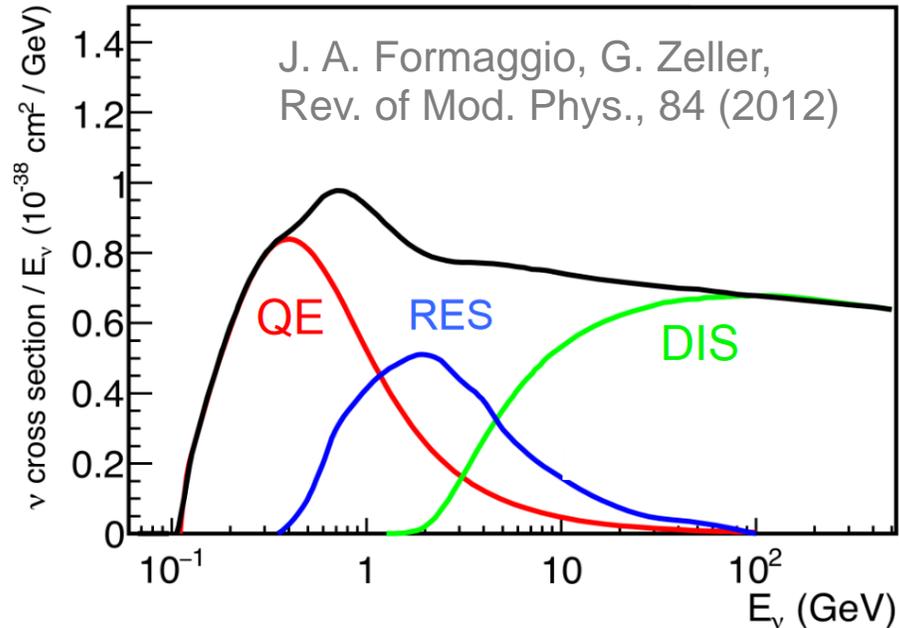
- $\pi^+ \rightarrow \nu_\mu + \mu^+$
- $\mu^+ \rightarrow \bar{\nu}_\mu + \nu_e + e^+$



Atmospheric Neutrinos

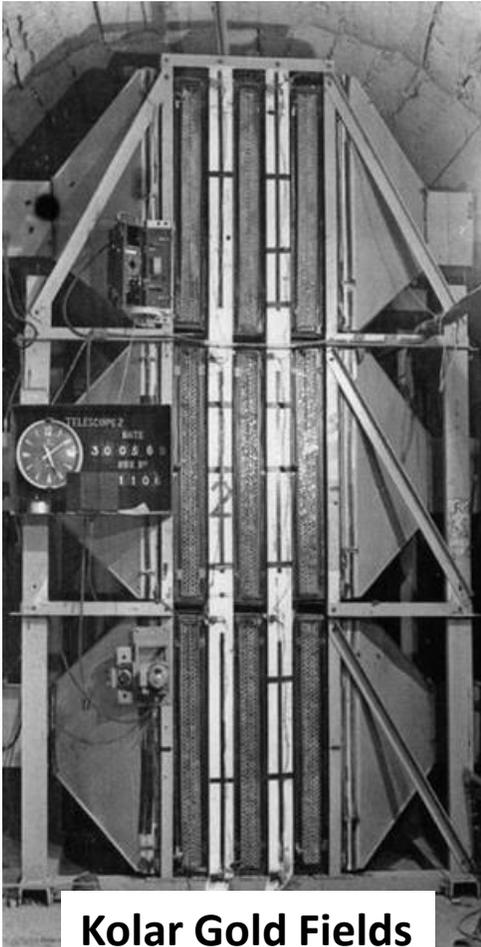


Neutrino Interaction at GeV



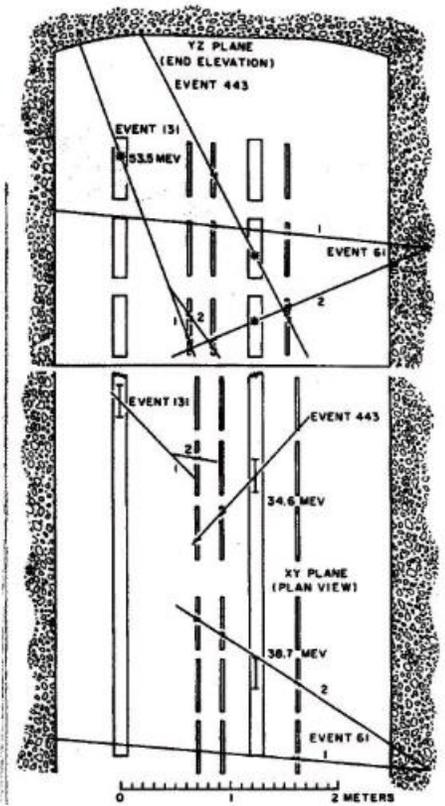
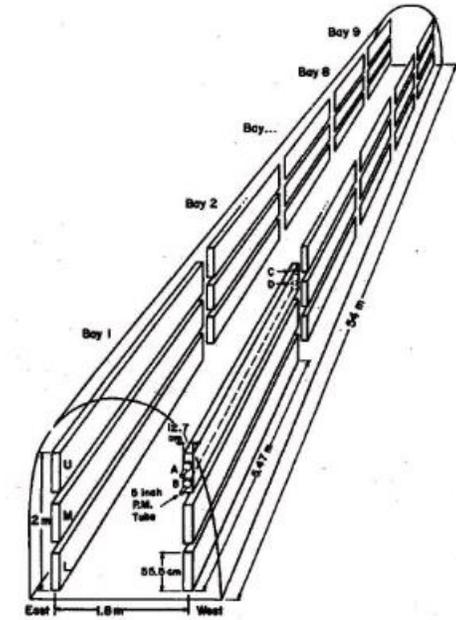
- Main interactions:
 - **(Quasi-)Elastic scattering**
 - $\nu_l + n \rightarrow l^- + p$ charged current
 - $\bar{\nu}_l + p \rightarrow l^+ + n$ charged current
 - $\nu + p \rightarrow \nu + p$ neutral current
 - **Resonant Meson Production**
 - **Deep inelastic scattering**

First Observations



Kolar Gold Fields

1965, in India
Bombay-Osaka-Durham experiment
Plastic scintillator counter

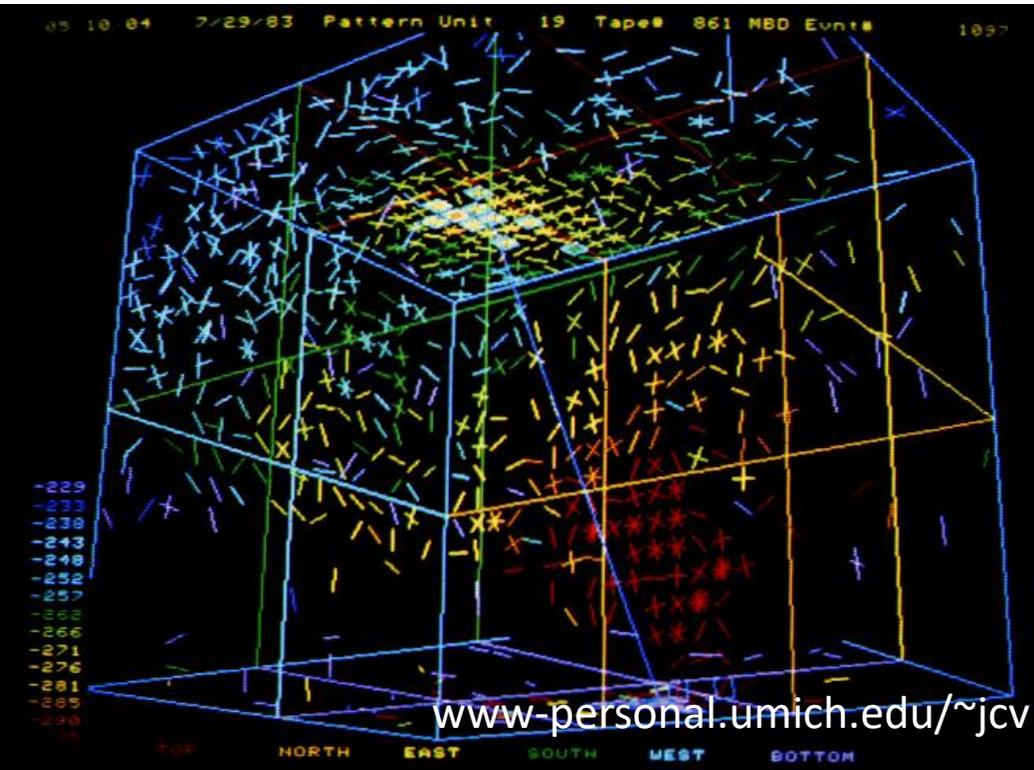


East Rand Proprietary Mines

1965, in South Africa
Case-Witwatersrand-Irvine experiment
Liquid scintillator paddles

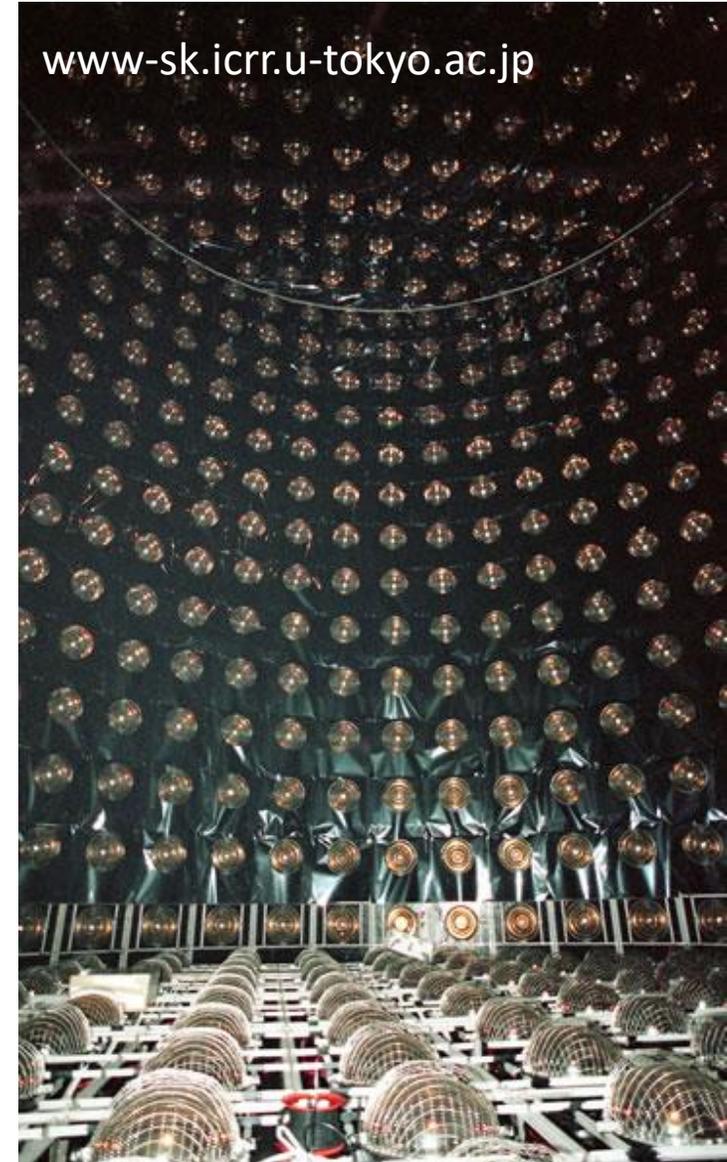
Proc Indian Natn Sci Acad, 70, A, No.1, January 2004, pp.11–25

Detection in Water Cherenkov Detectors



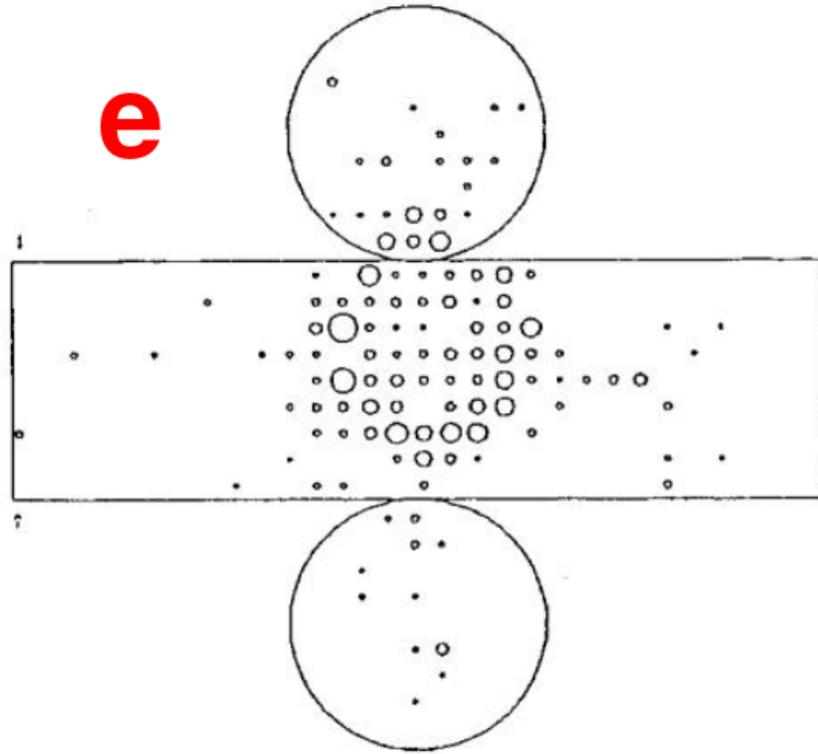
IMB

An event display of a upward-going ν_μ

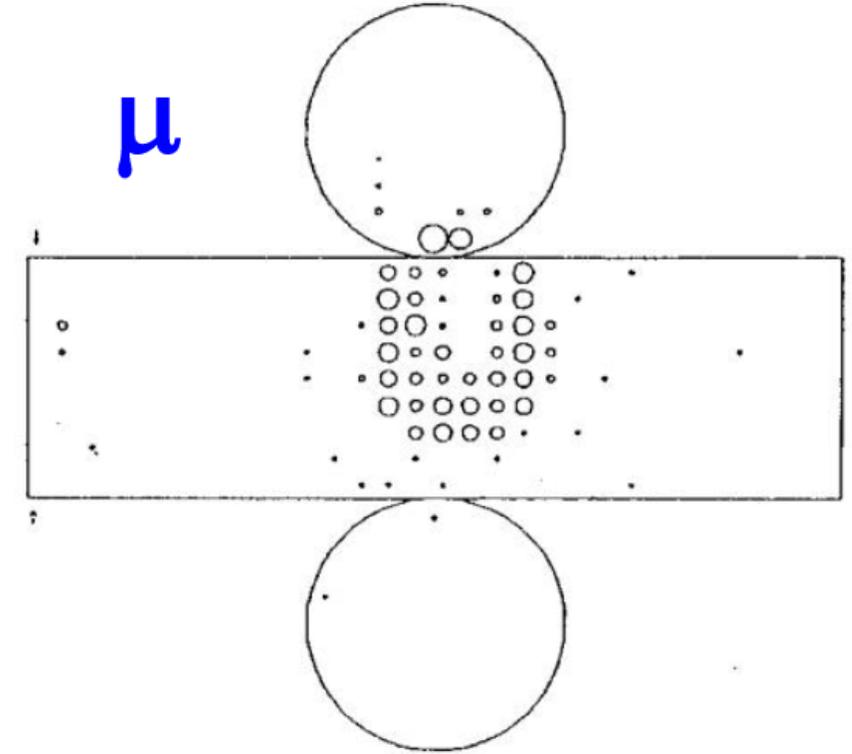


Kamiokande-II

Particle Identification for e/μ



Fuzzy ring

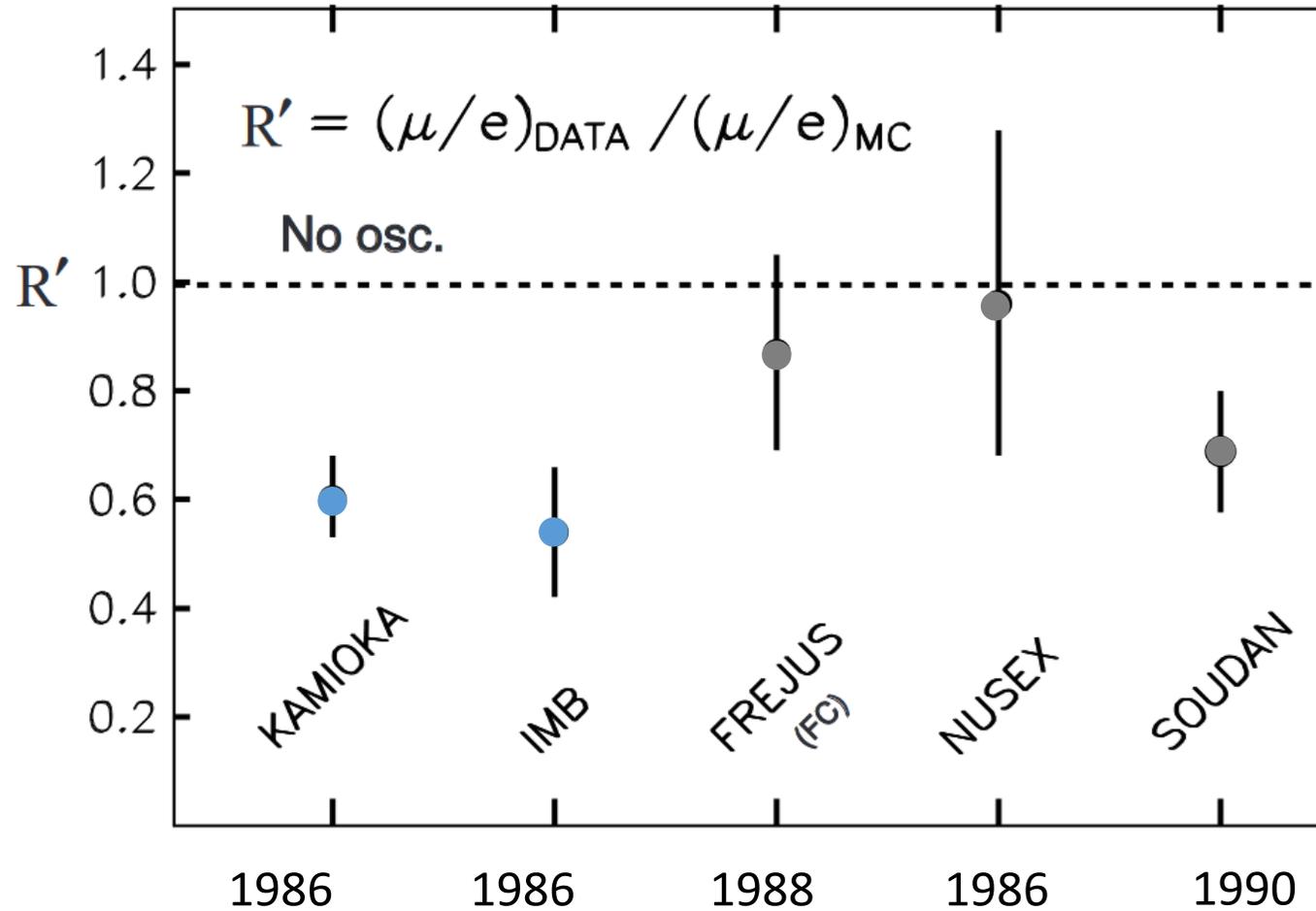


Sharp ring

Event display
in KamiokaNDE

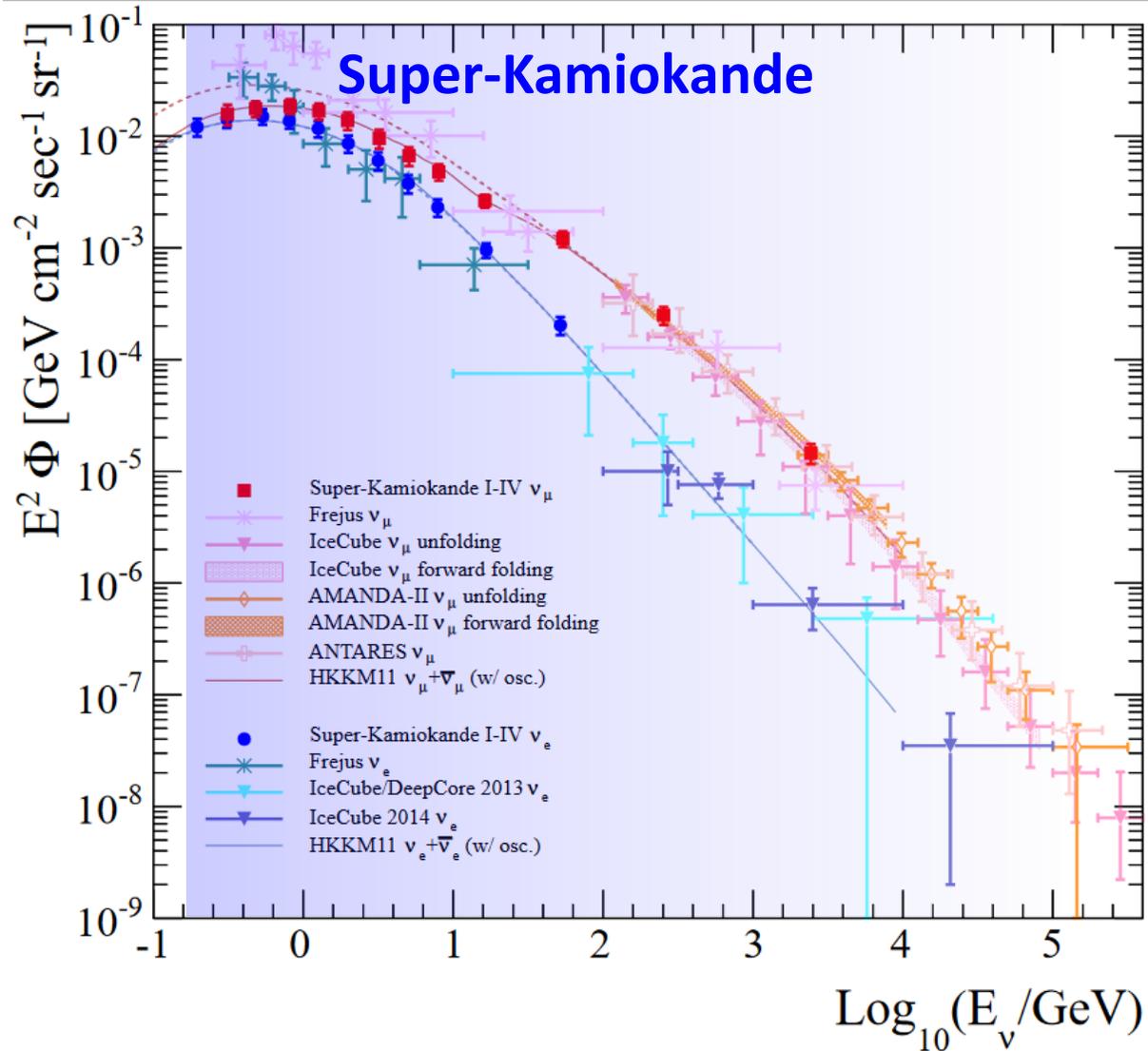
Y. Oyama XIV ICFA School On Instrumentation (2017)

Atmospheric Neutrino Anomaly

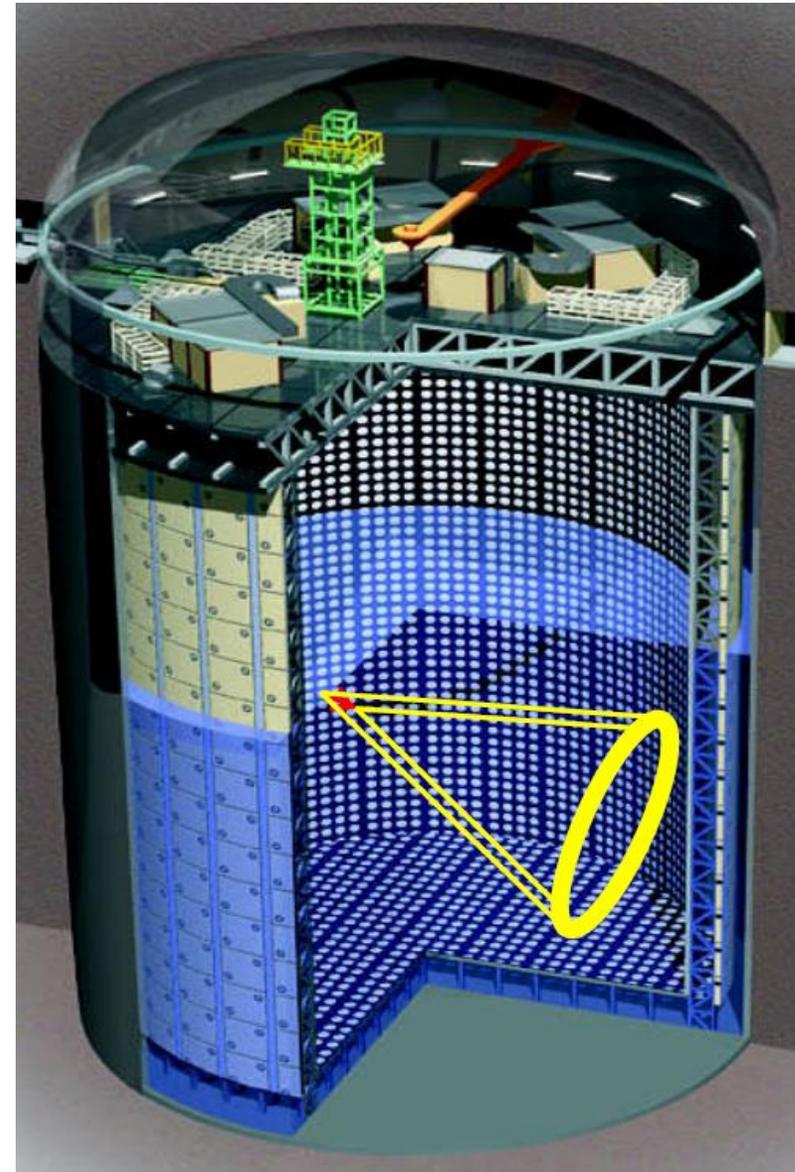


Int.J.Mod.Phys.A 15S1 (2000) 229-256, eConf C990809 (2000) 229-256

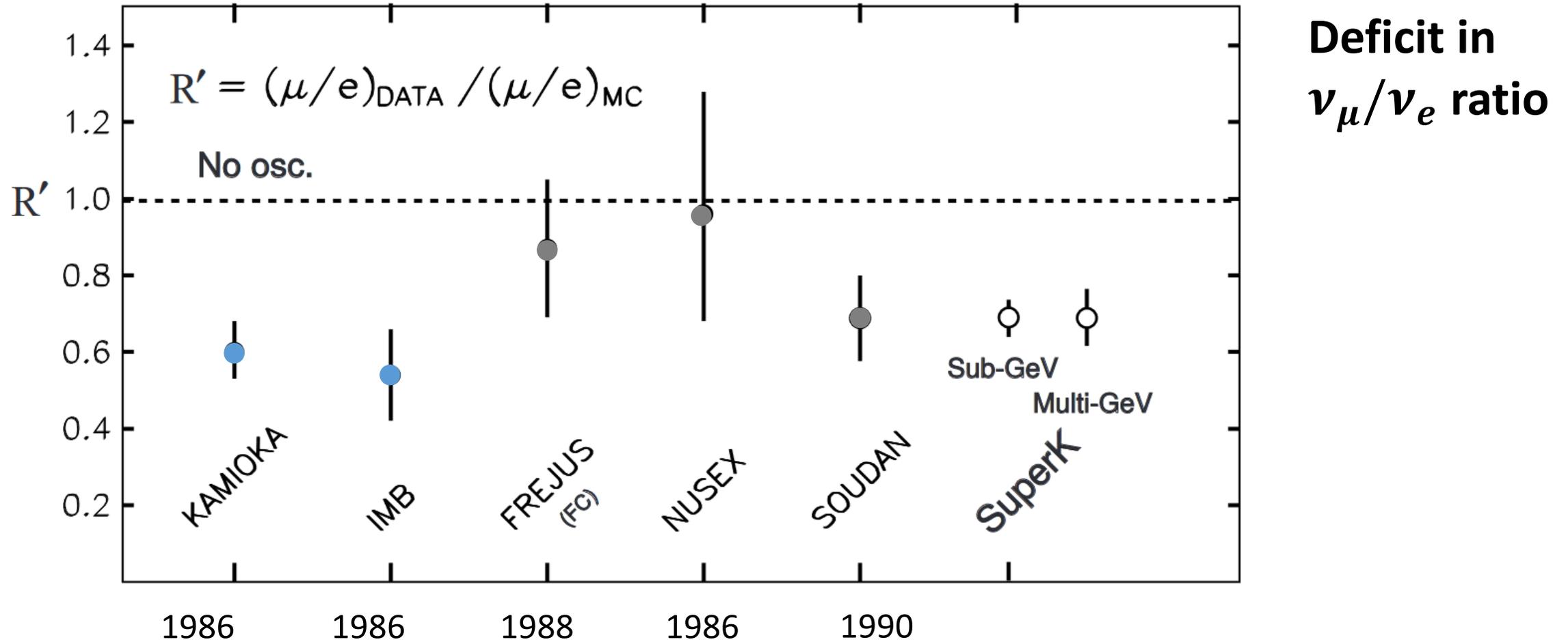
Detection at Super-Kamiokande



Super-Kamiokande, Phys. Rev. D 94, 052001 (2016)

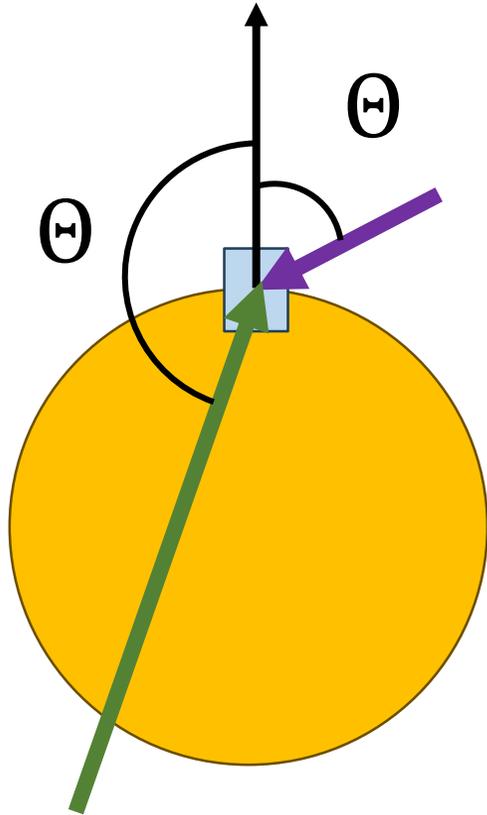


Atmospheric Neutrino Anomaly with SK

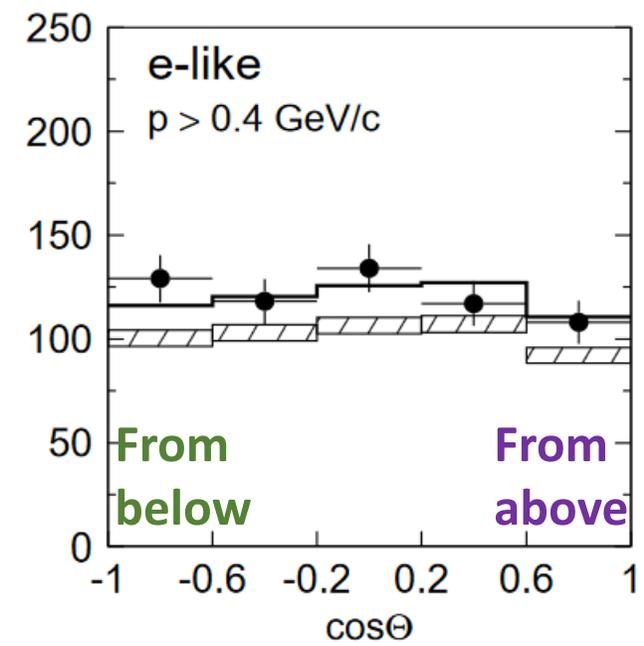


Int.J.Mod.Phys.A 15S1 (2000) 229-256, eConf C990809 (2000) 229-256

Zenith Angle Distribution

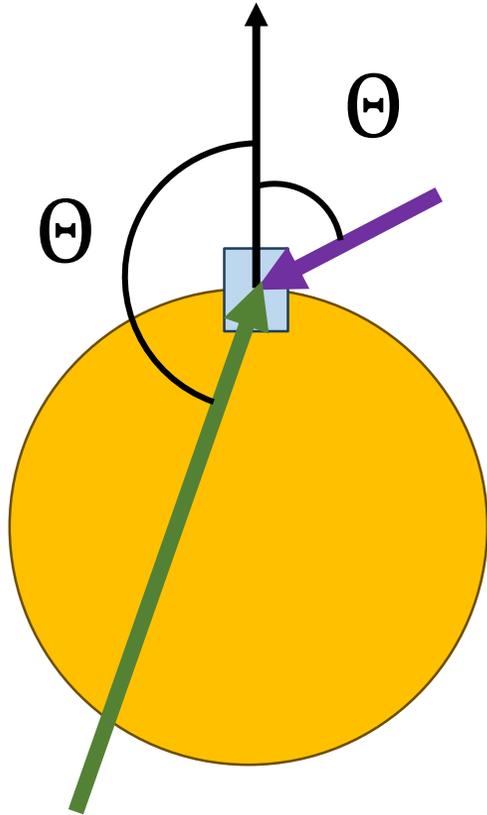


MC expectation



Super-Kamiokande,
Phys.Rev.Lett.81:1562-1567 (1998)

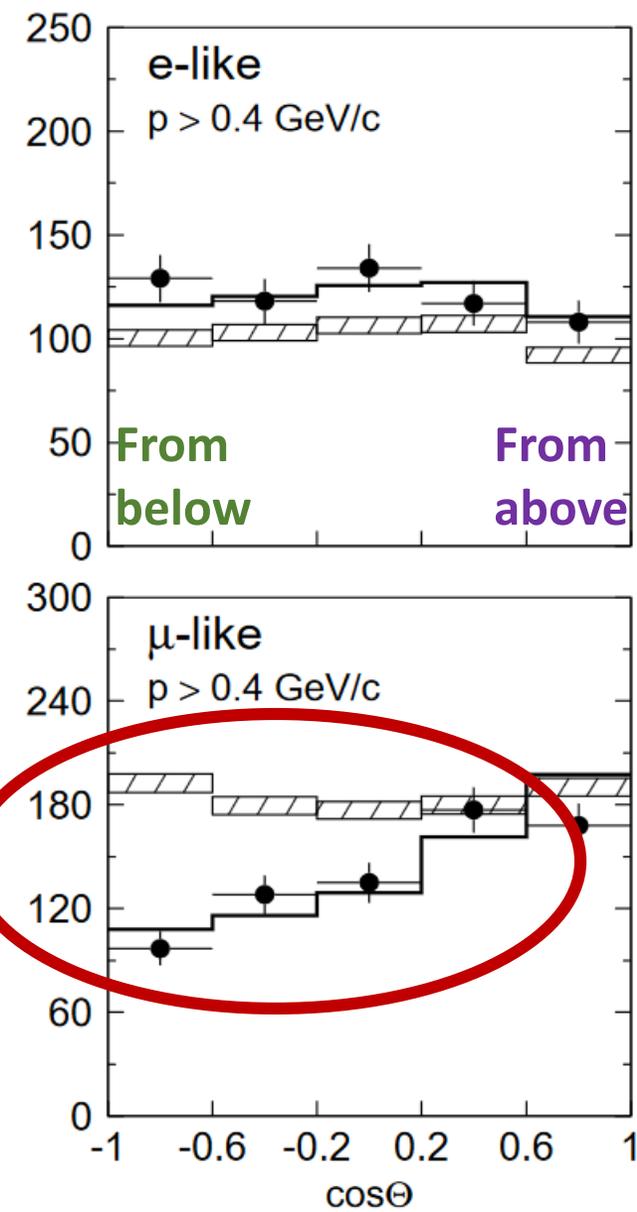
Zenith Angle Distribution



MC expectation

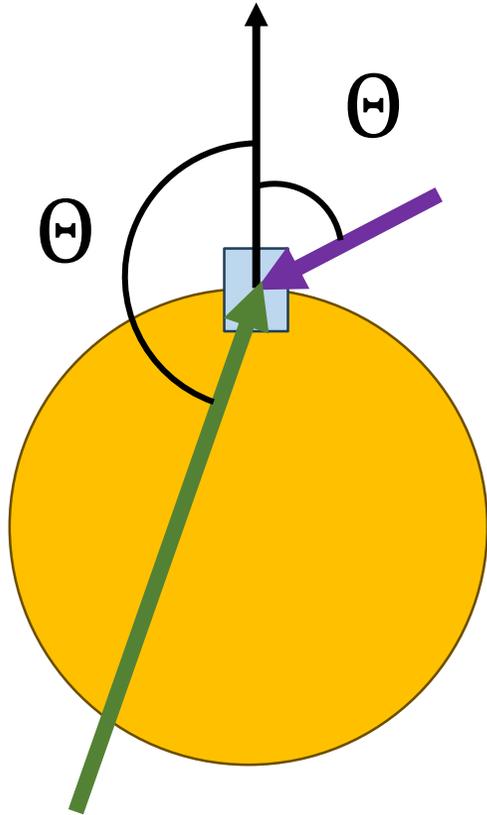


- Fewer ν_μ from below than from above



Super-Kamiokande,
Phys.Rev.Lett.81:1562-1567 (1998)

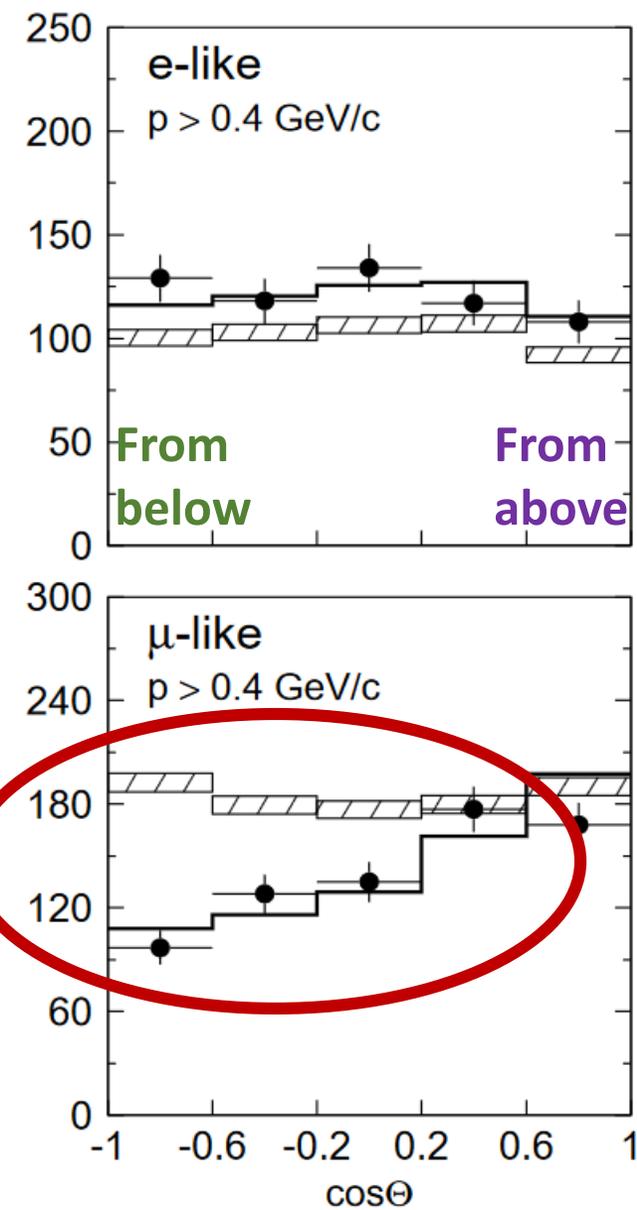
Zenith Angle Distribution



- Fewer ν_μ from below than from above

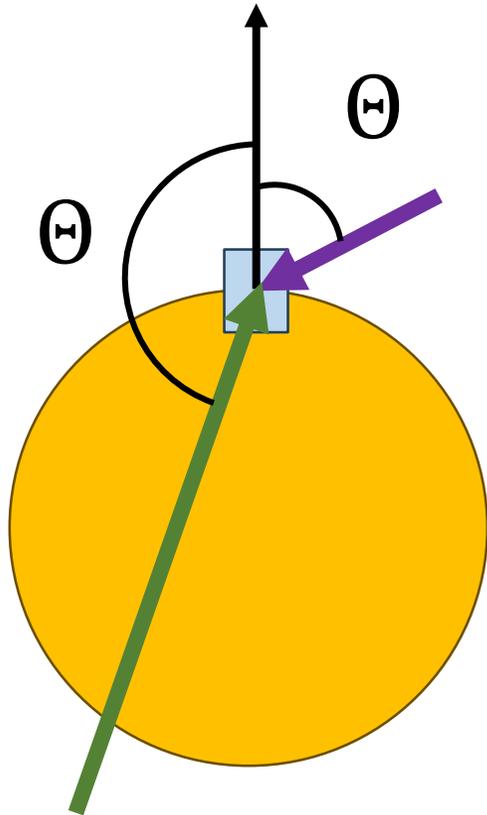
oscillation

No-oscillation
MC expectation



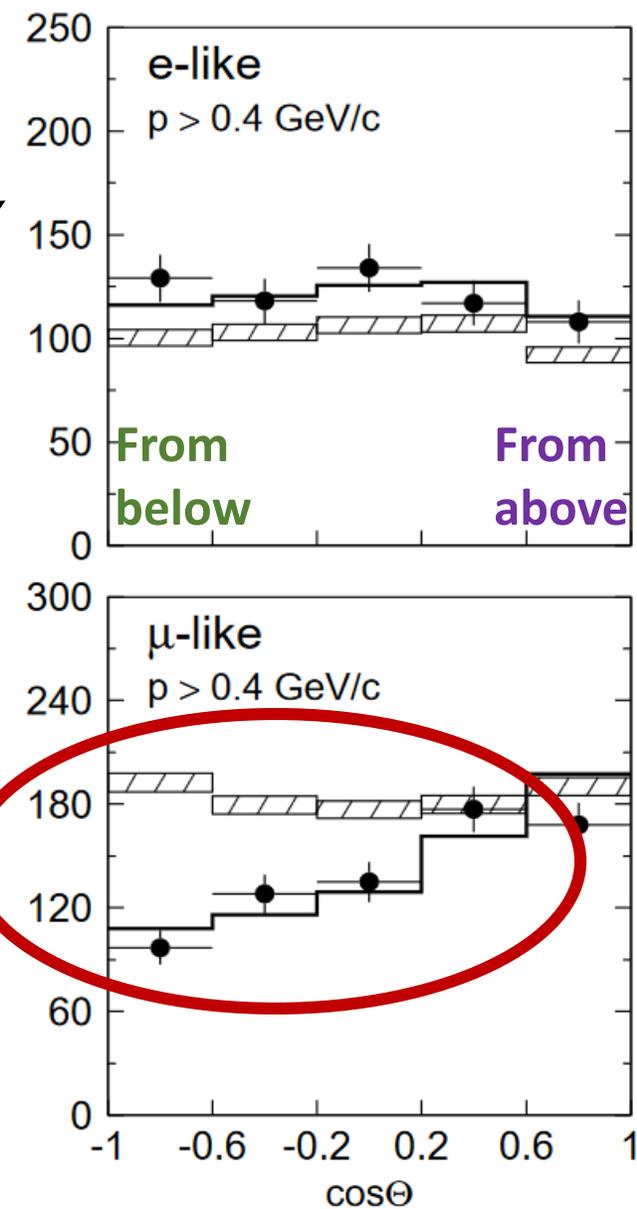
Super-Kamiokande,
Phys.Rev.Lett.81:1562-1567 (1998)

Zenith Angle Distribution



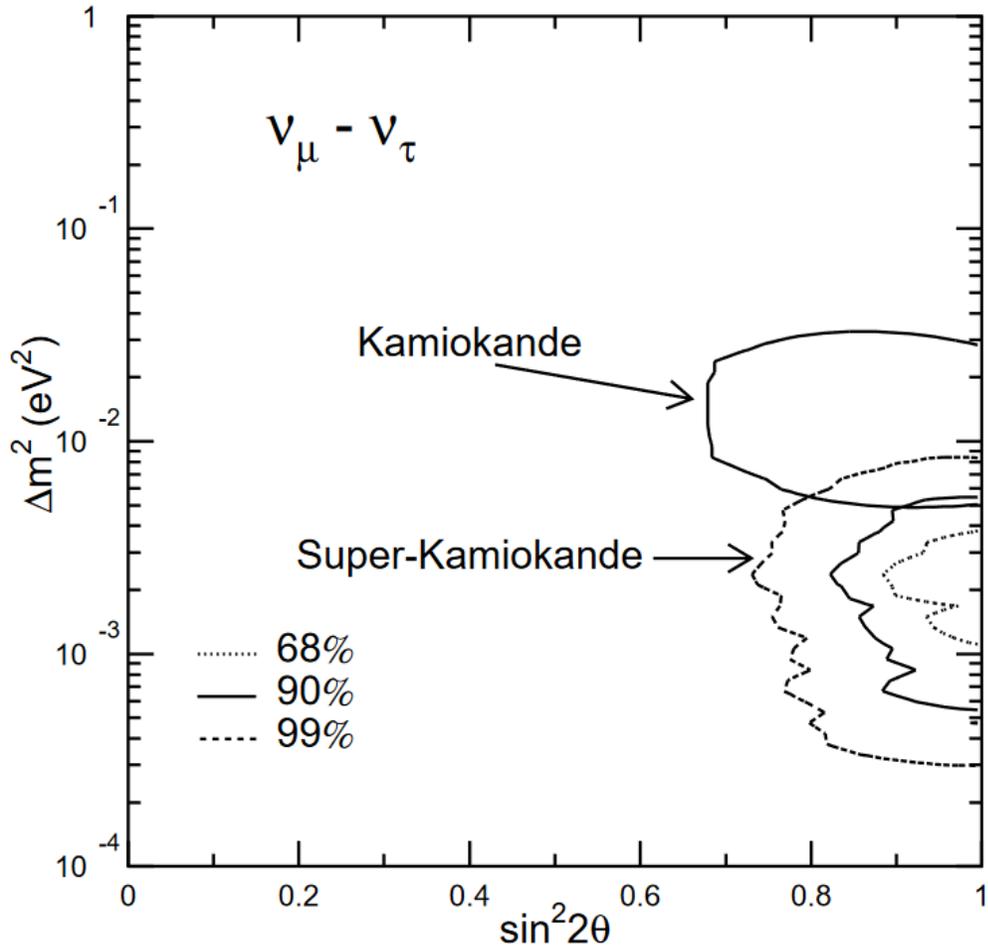
- Same ν_e from below as from above
- Fewer ν_μ from below than from above

$\nu_\mu \rightarrow \nu_\tau$ oscillation



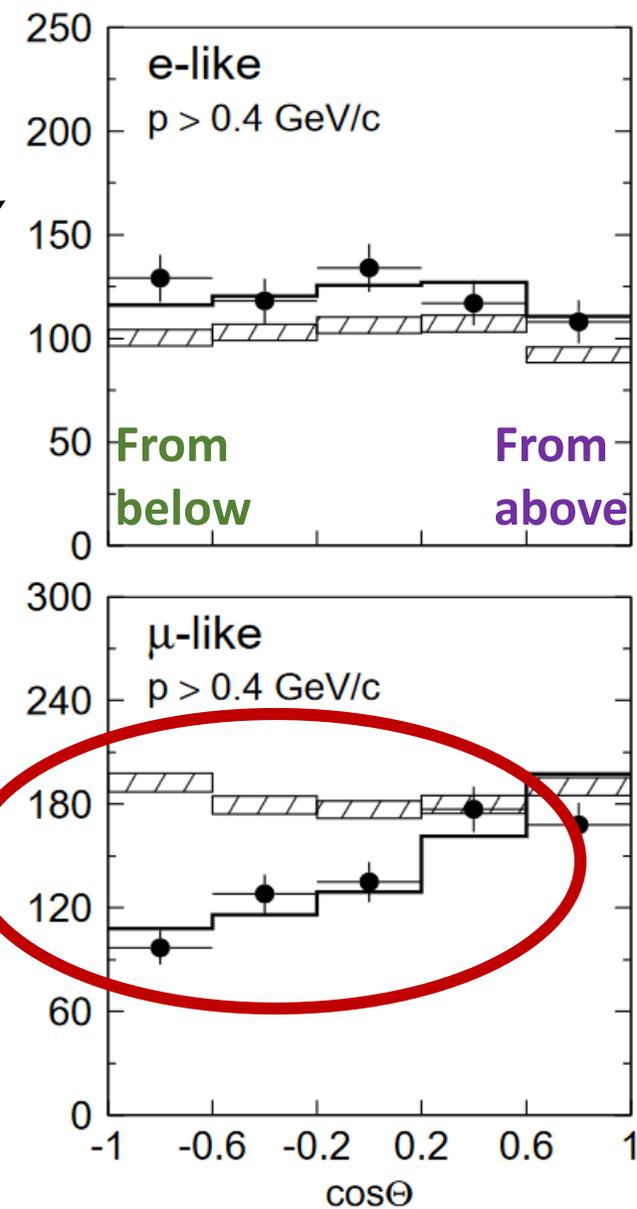
Super-Kamiokande,
Phys.Rev.Lett.81:1562-1567 (1998)

Atmospheric Mixing Parameters



- Same ν_e from below as from above
- Fewer ν_{μ} from below than from above

$\nu_{\mu} \rightarrow \nu_{\tau}$ oscillation



Super-Kamiokande, Phys.Rev.Lett.81:1562-1567 (1998)

Super-Kamiokande,
 Phys.Rev.Lett.81:1562-1567 (1998)

Neutrino Mixing

Pontecorvo–Maki–Nakagawa–Sakata matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix}}_{\text{Atmospheric } \checkmark} \underbrace{\begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix}}_{\text{Interference } \checkmark} \underbrace{\begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix}}_{\text{Solar } \checkmark} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Flavor eigenstate Mass eigenstate

Δm_{21}^2
 Δm_{32}^2

With reactor neutrinos
See Bryce Littlejohn's lecture

$$\Delta m_{32}^2 = m_3^2 - m_2^2$$

Missing Pieces in Neutrino Mixing

Pontecorvo–Maki–Nakagawa–Sakata matrix

CP violation

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix}}_{\text{Atmospheric } \checkmark} \underbrace{\begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix}}_{\text{Interference } \checkmark} \underbrace{\begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \end{pmatrix}}_{\text{Solar } \checkmark} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Flavor eigenstate

Mass eigenstate

Δm_{21}^2

Δm_{32}^2

Mass Ordering

Mass Ordering

Pontecorvo–Maki–Nakagawa–Sakata matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Flavor eigenstate Atmospheric \checkmark Interference \checkmark Solar \checkmark Mass eigenstate

Δm_{21}^2
 Δm_{32}^2

$$P_{\alpha \rightarrow \beta} = \delta_{\alpha\beta} - 4 \sum_{j>k} \mathcal{R}_e \left\{ U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \right\} \sin^2 \left(\frac{\Delta_{jk} m^2 L}{4E} \right) + 2 \sum_{j>k} \mathcal{I}_m \left\{ U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \right\} \sin \left(\frac{\Delta_{jk} m^2 L}{2E} \right)$$

Mass Ordering

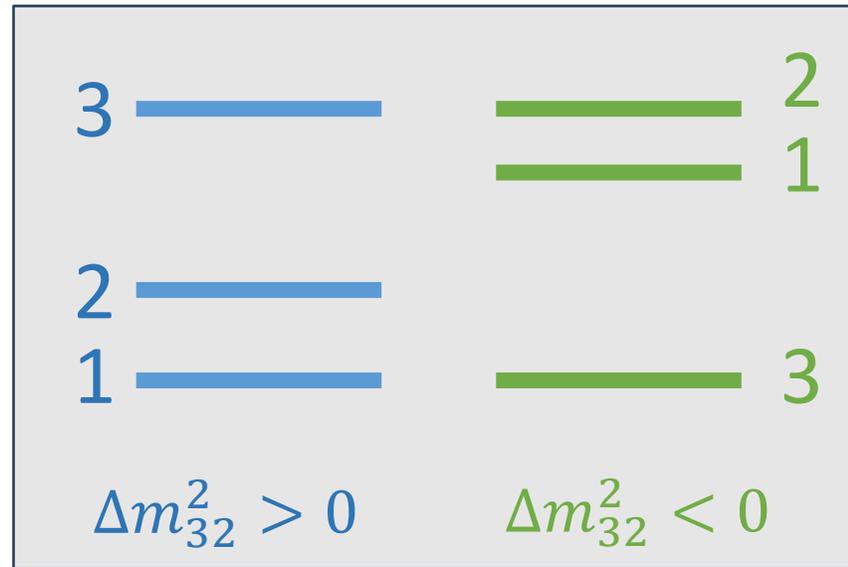
Mass Ordering

Pontecorvo–Maki–Nakagawa–Sakata matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Flavor
eigenstate

Atmospheric



Normal

Inverted

Mass Ordering

Mass Ordering

Pontecorvo–Maki–Nakagawa–Sakata matrix

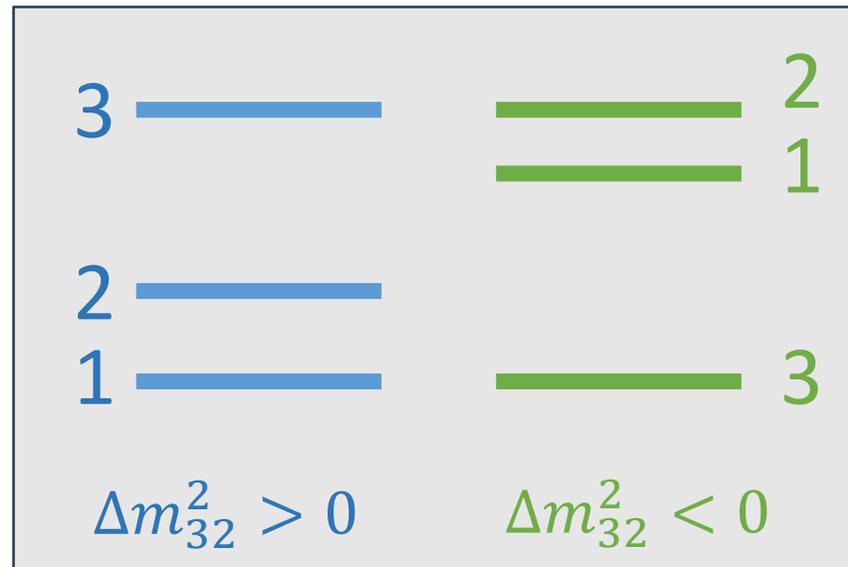
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Flavor
eigenstate

Atmospheric



Q: How do we know $\Delta m_{21}^2 > 0$?



Normal

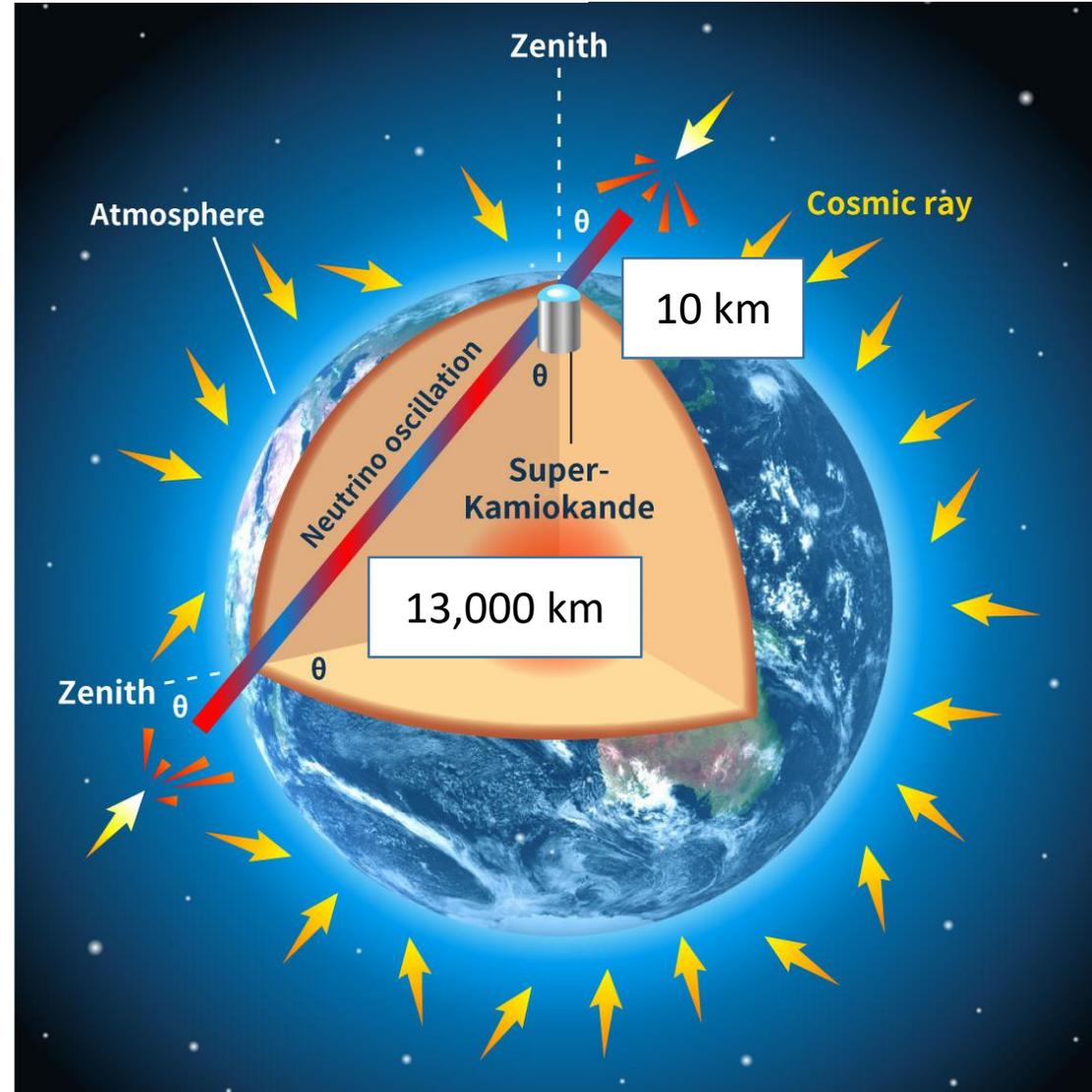
Inverted

Mass Ordering

Atmospheric Neutrino Propagation

H_V

$$H_M = H_V + U^\dagger \begin{pmatrix} \sqrt{2}G_F N_e & \\ & 0 \end{pmatrix} U$$

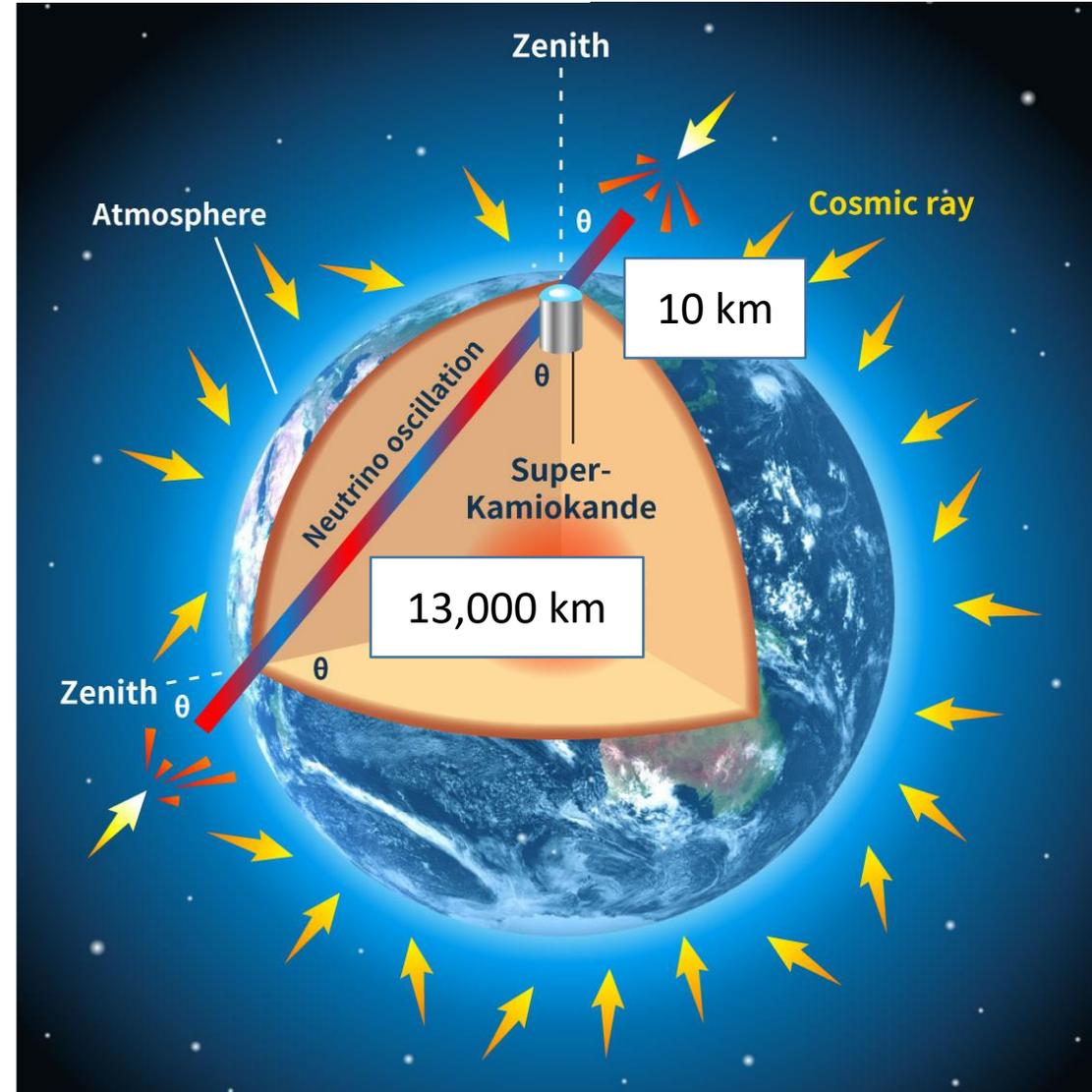


Atmospheric Neutrino Propagation

H_V

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + \left(\cos 2\theta \mp \frac{2\sqrt{2}G_F n_e E}{\Delta m^2} \right)^2}$$

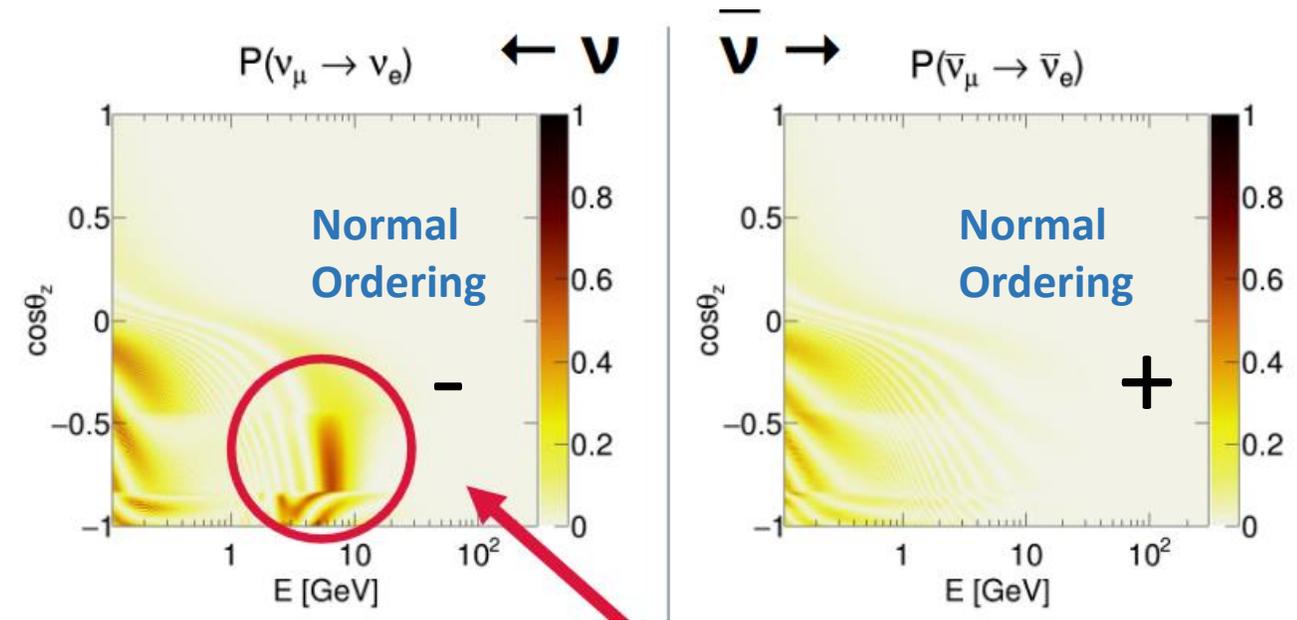
$$H_M = H_V + U^\dagger \begin{pmatrix} \sqrt{2}G_F N_e & \\ & 0 \end{pmatrix} U$$



Mass Ordering

- Assume $\Delta m^2 > 0$

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + \left(\cos 2\theta \mp \frac{2\sqrt{2}G_F n_e E}{\Delta m^2} \right)^2}$$



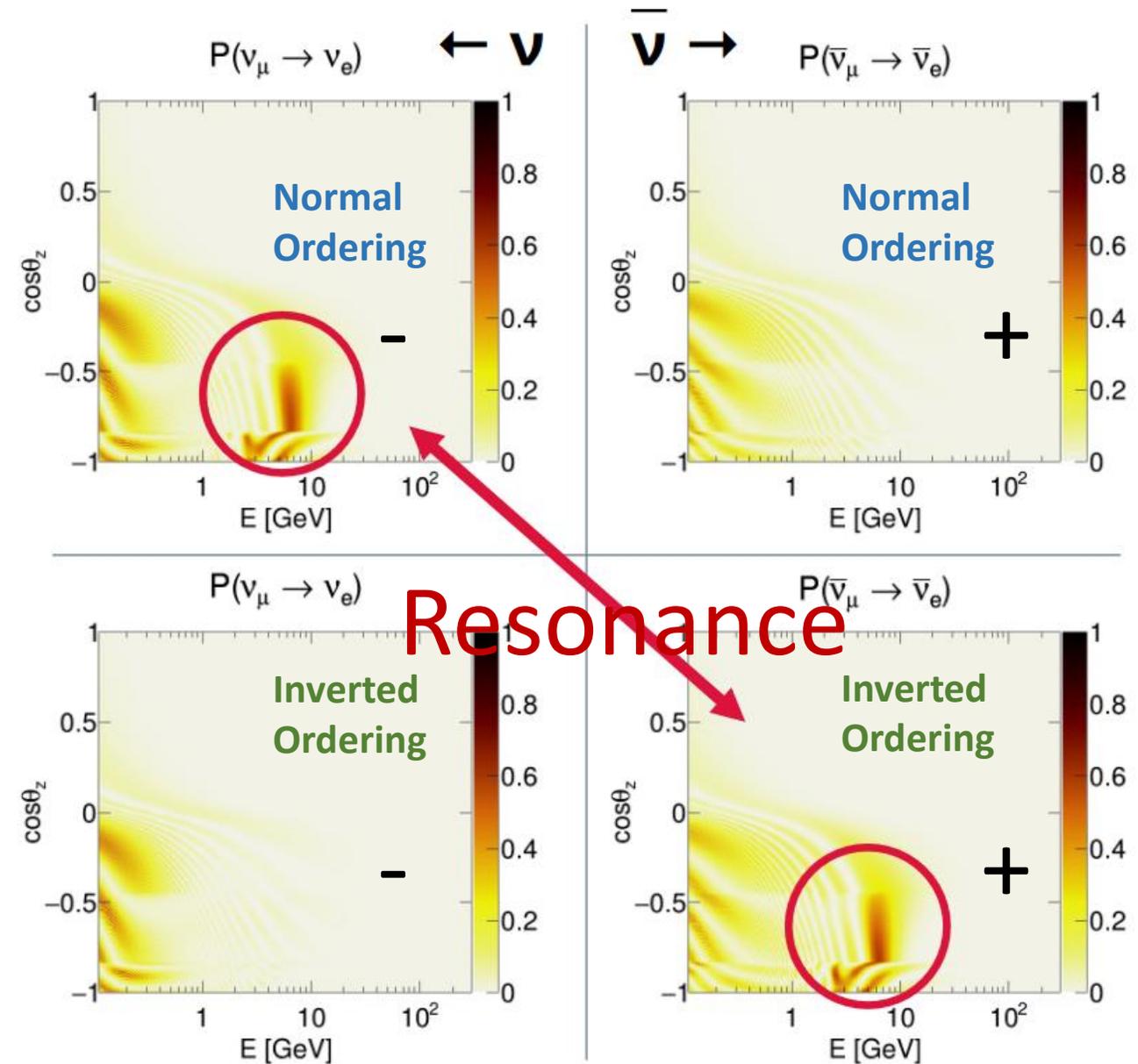
Resonance

Mass Ordering

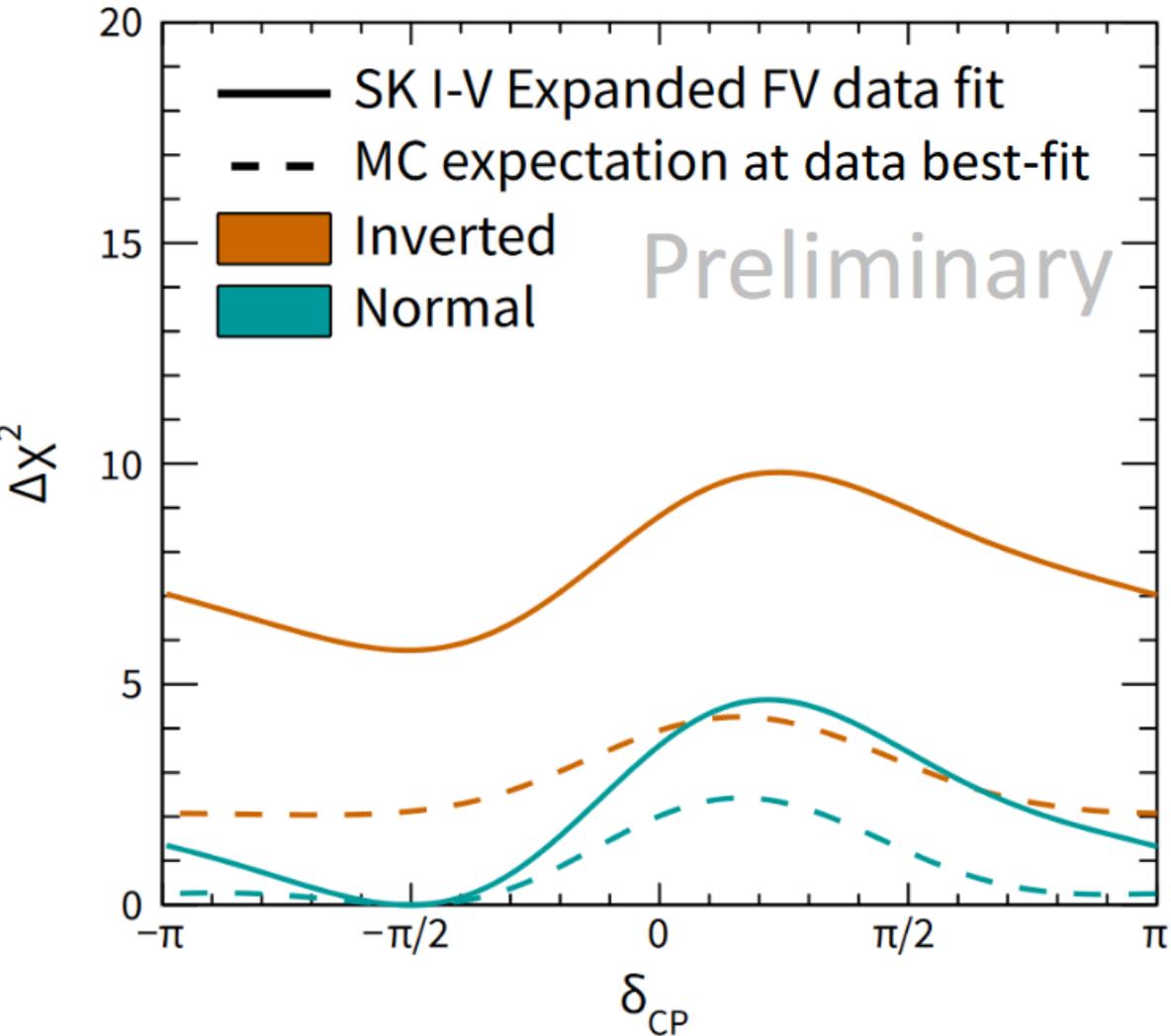
- Assume $\Delta m^2 > 0$

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + \left(\cos 2\theta \mp \frac{2\sqrt{2}G_F n_e E}{\Delta m^2} \right)^2}$$

- Assume $\Delta m^2 < 0$



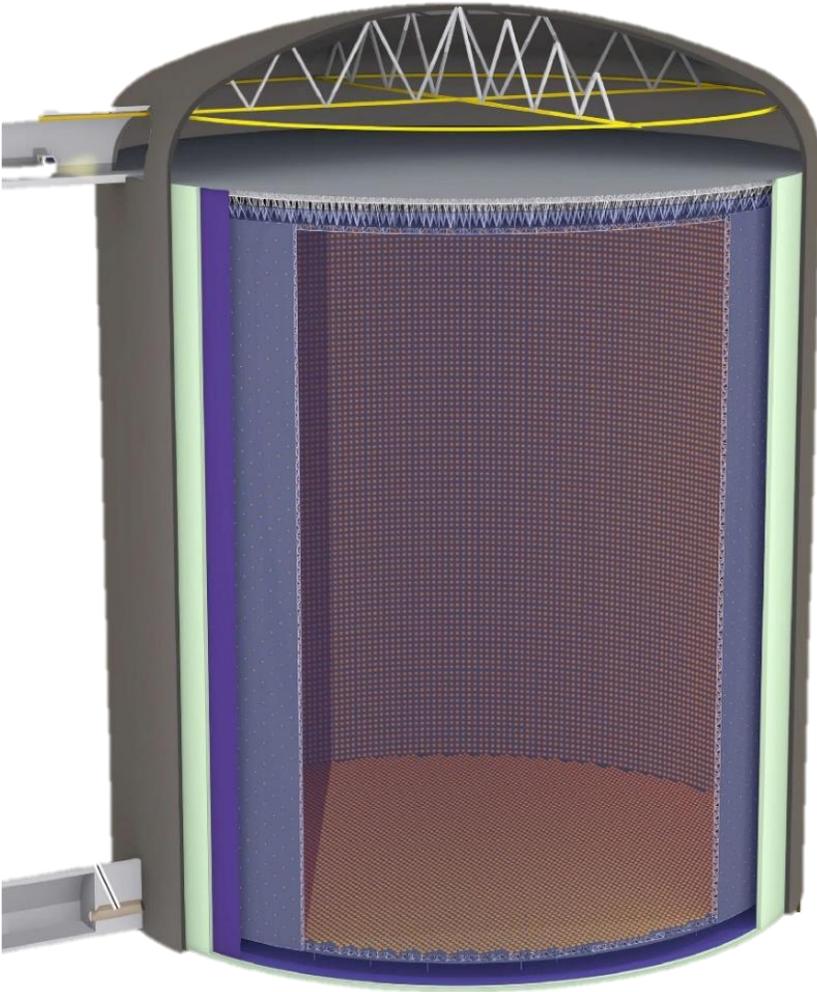
Mass Ordering Measurement



- Not yet conclusive
- Statistically constrained

Super-Kamiokande, Neutrino 2022

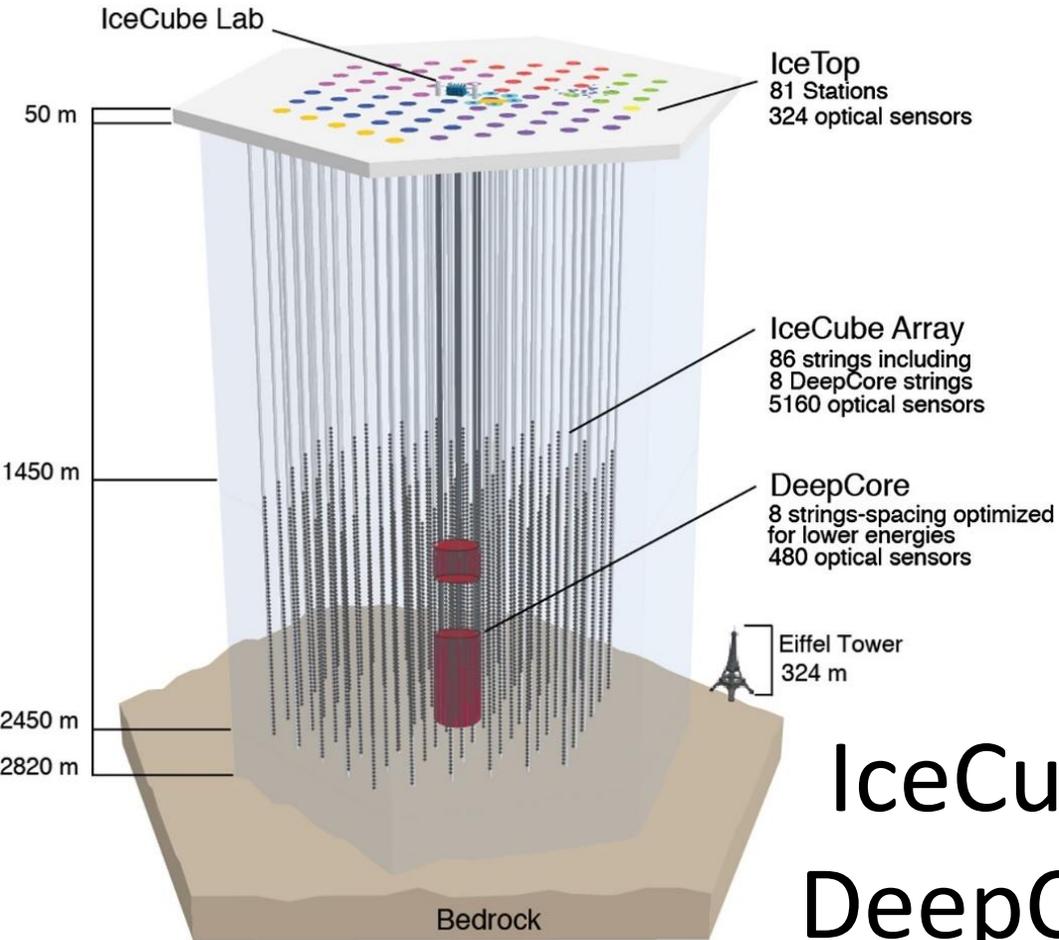
Future Upgrade: Hyper-Kamiokande



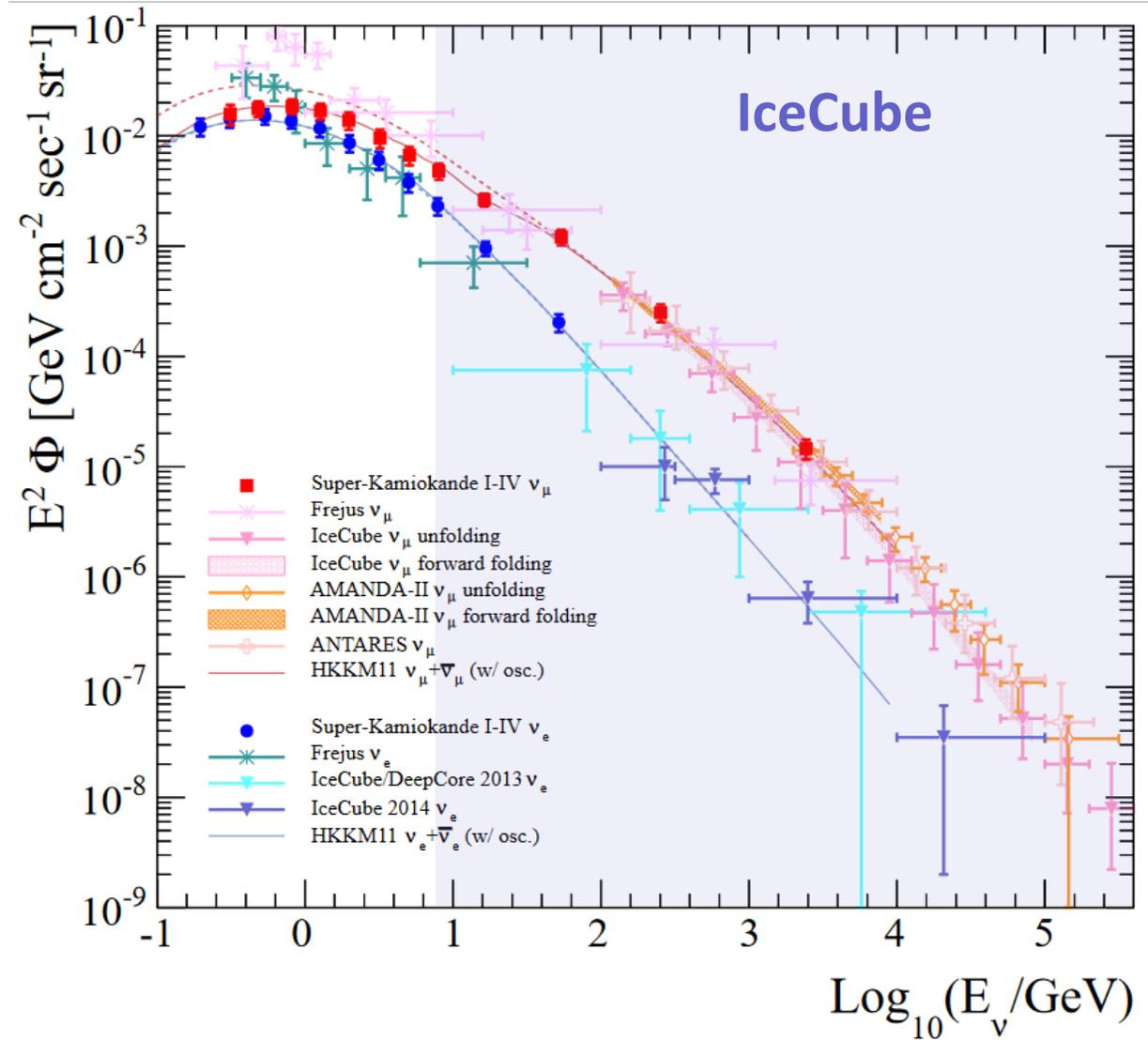
- ~~Statistically constrained~~

Water Cherenkov
190 kton

IceCube

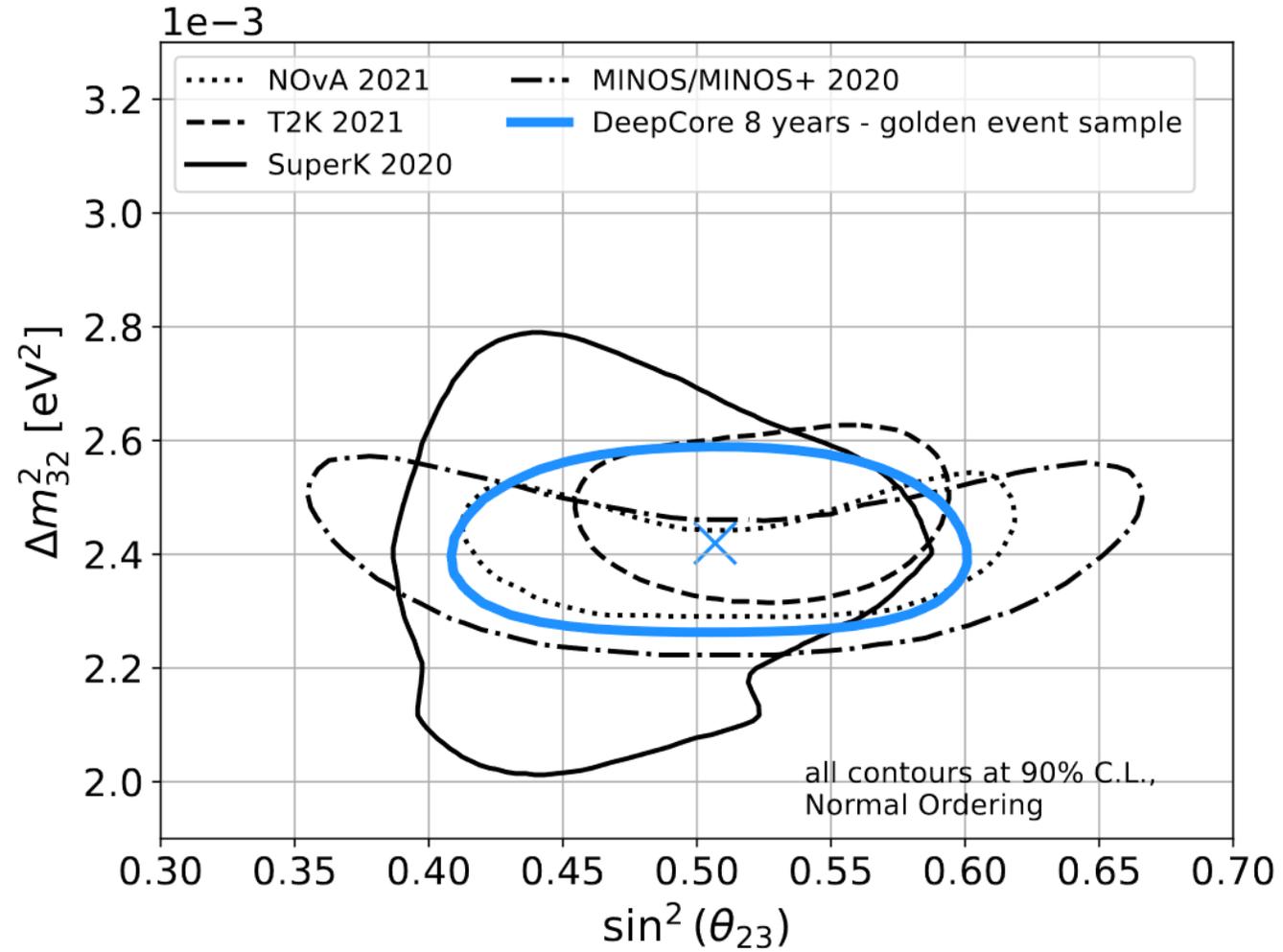


IceCube
DeepCore
 $E_{thr}: 6 \text{ GeV}$



Super-Kamiokande, Phys. Rev. D 94, 052001 (2016)

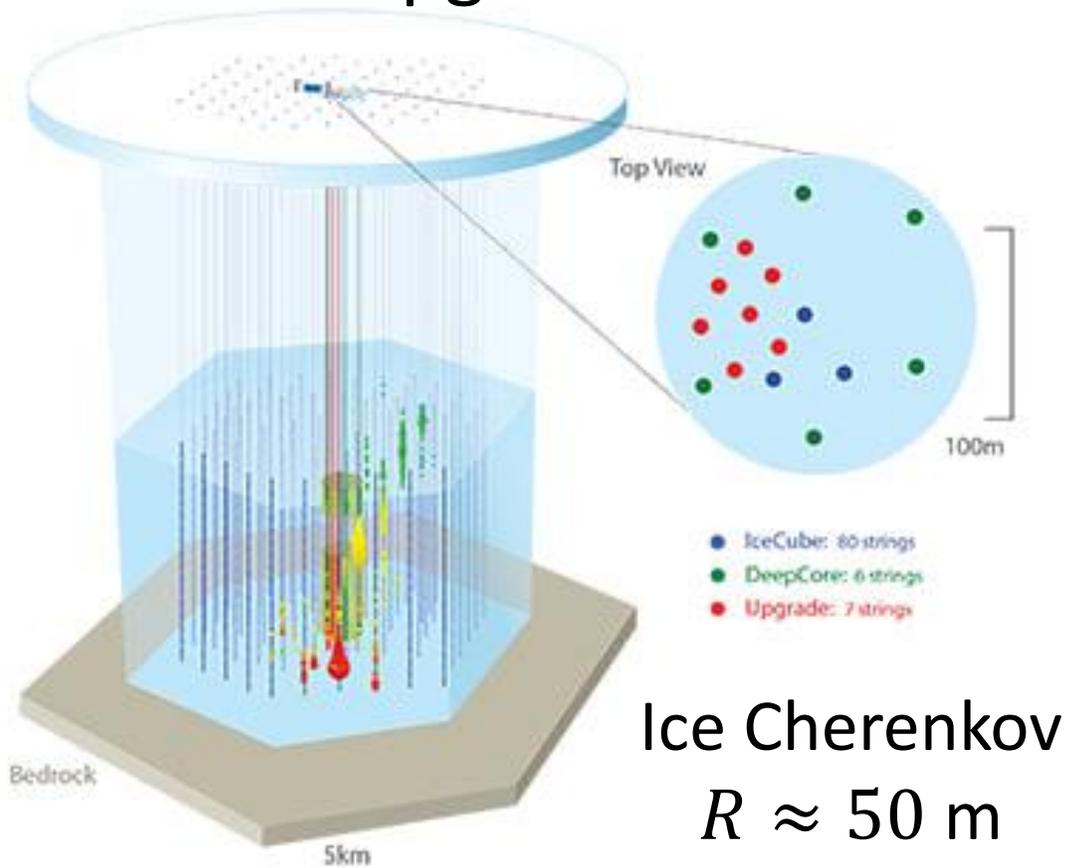
IceCube Results



arXiv: 2304.12236

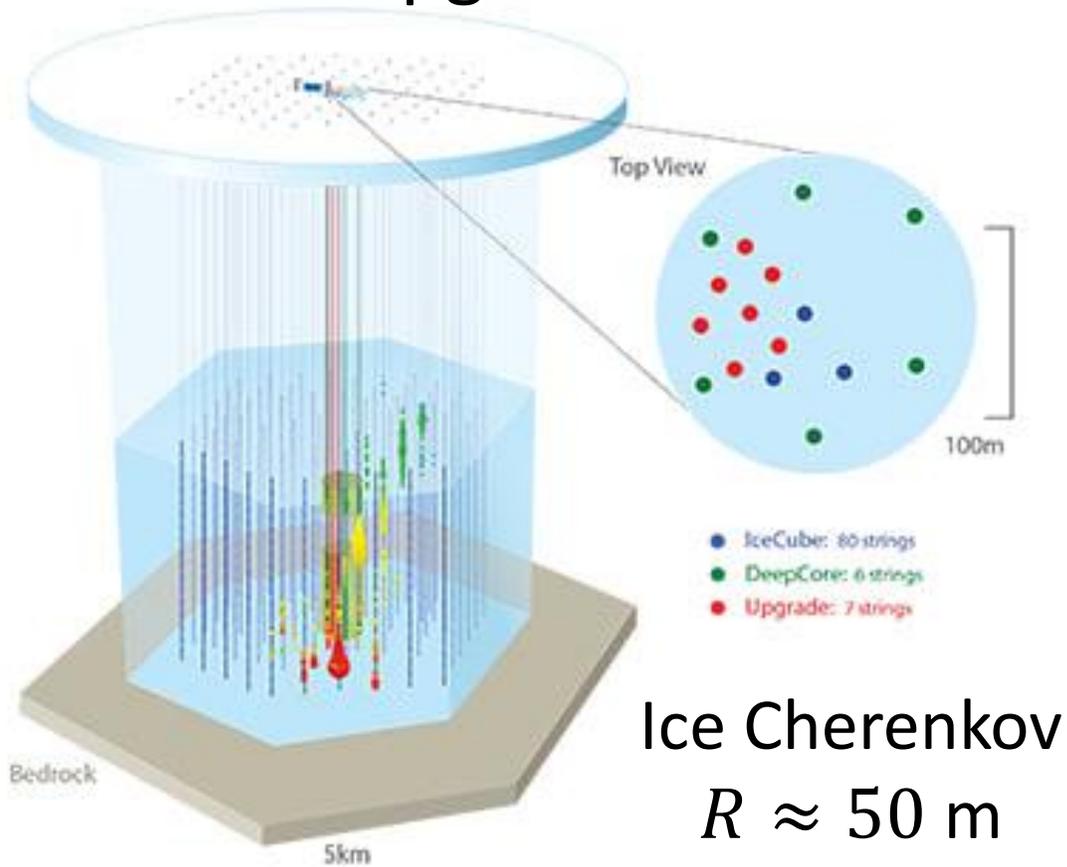
Future Detectors

IceCube-Upgrade

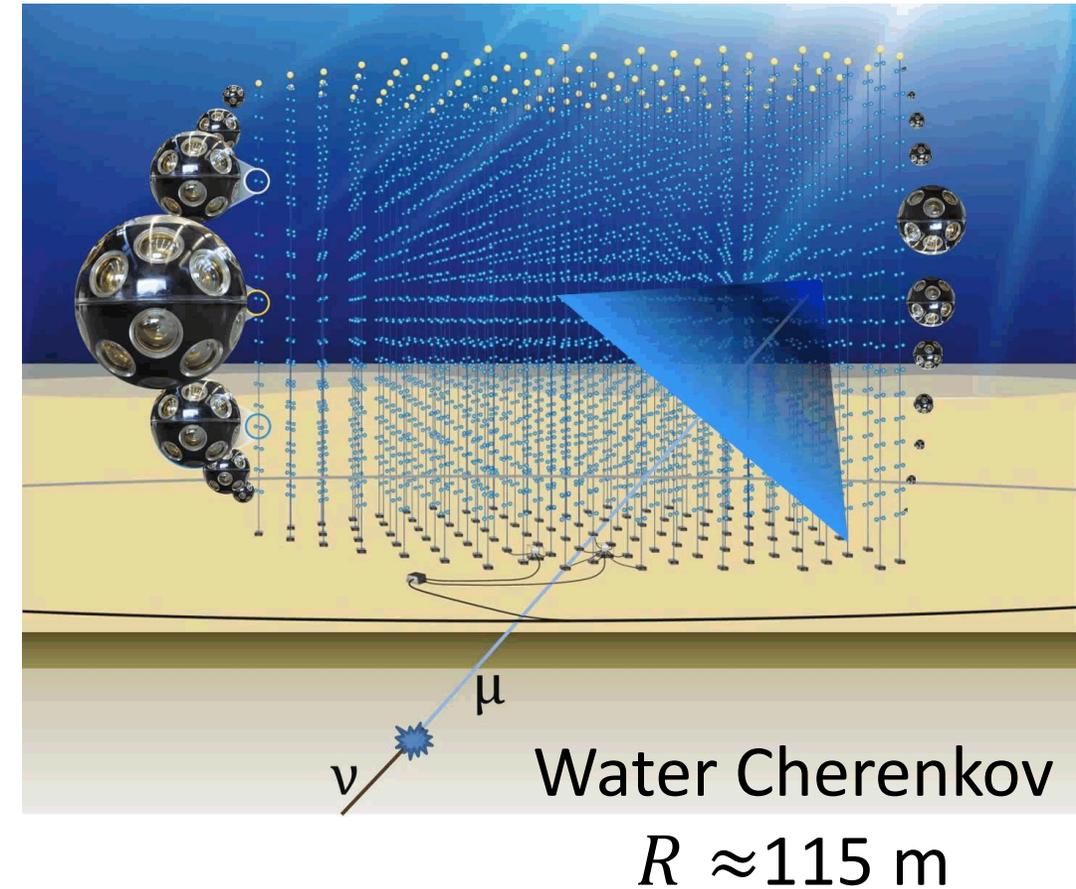


Future Detectors

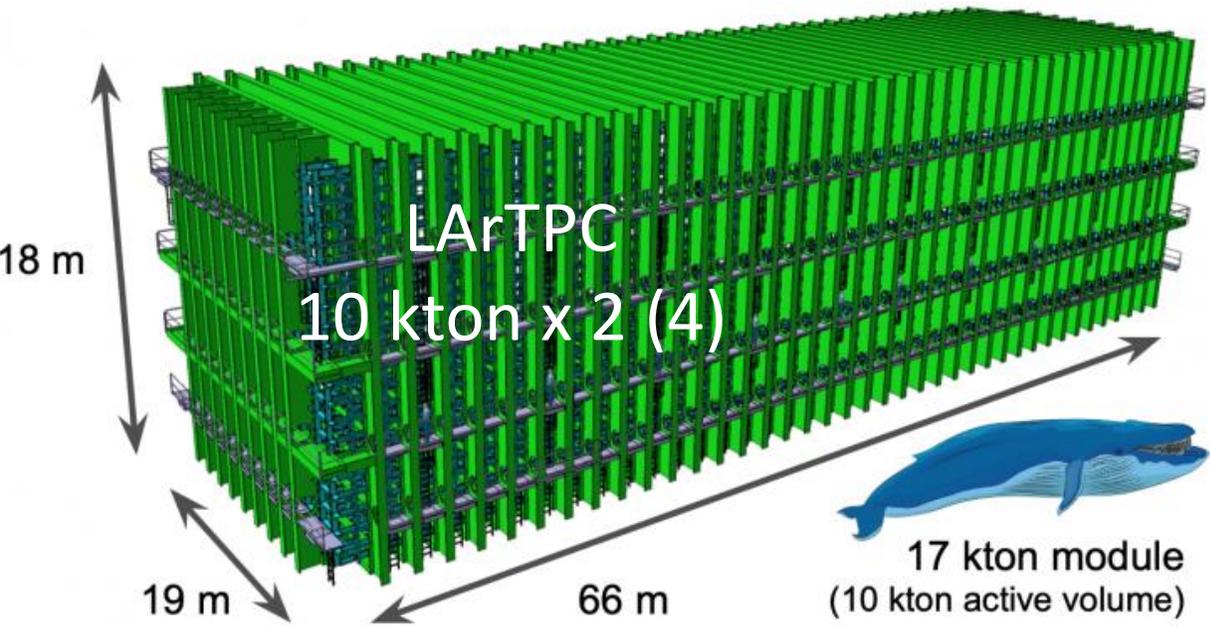
IceCube-Upgrade



KM3NeT-ORCA



Future Detectors: DUNE

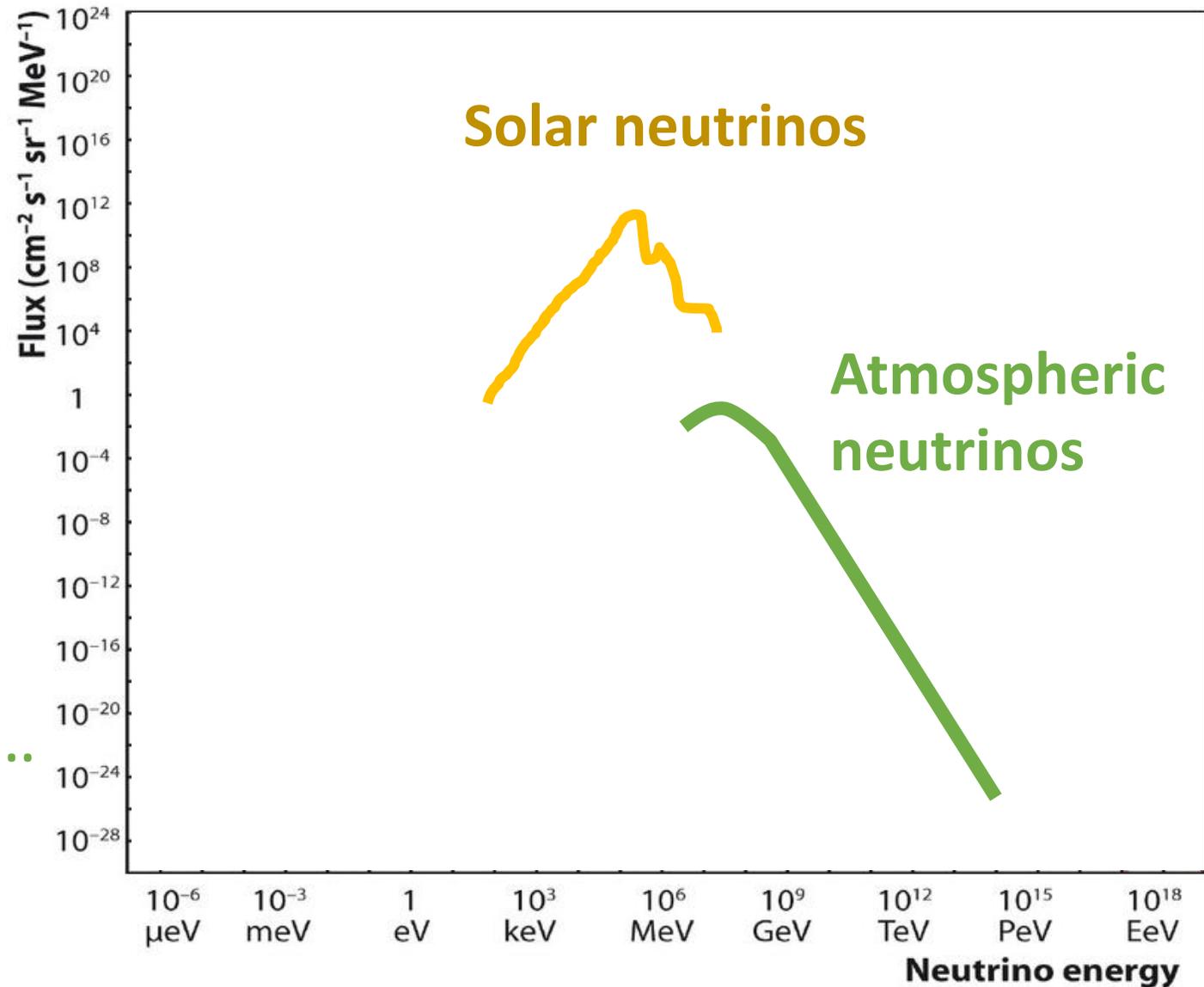


- Low threshold for hadron reconstruction
- $\nu/\bar{\nu}$ separation
- Sensitive to CP violation and mass ordering

Take-away Messages

- Pure ν_e 's
- Measure 1-2 mixing;
- Solar metallicity and more...

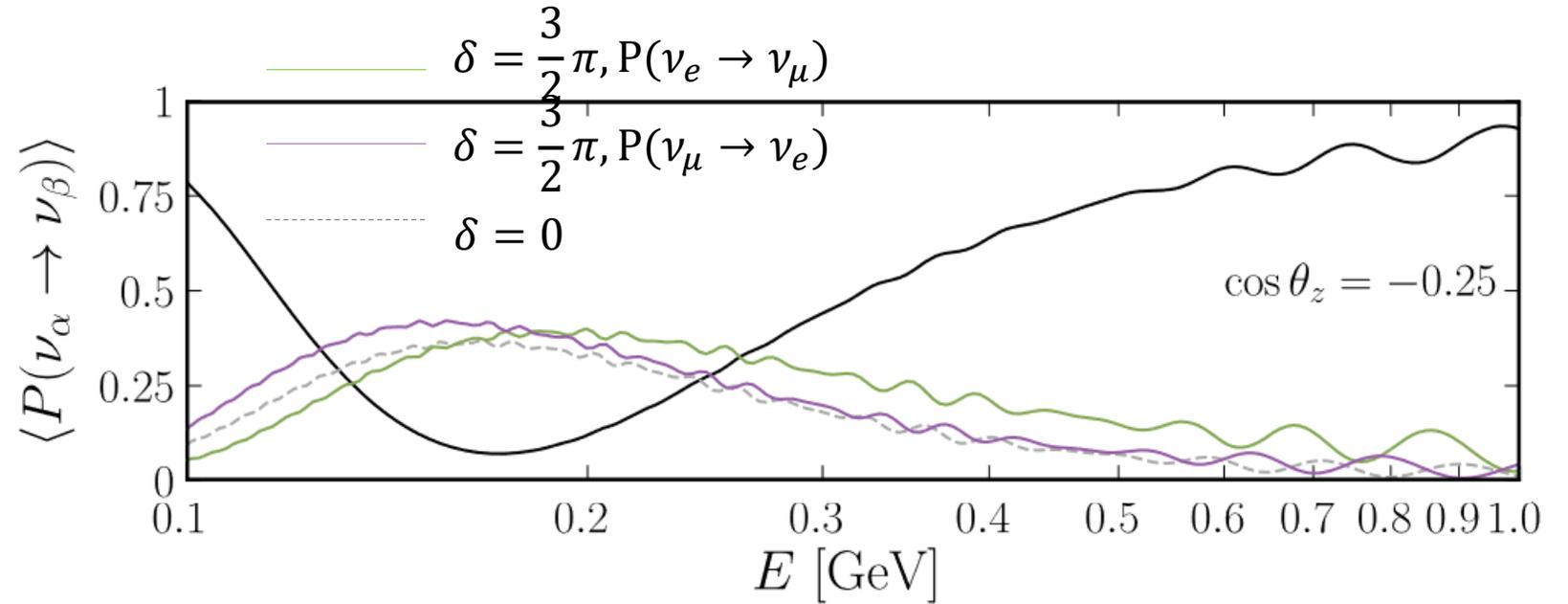
- $\nu_\mu, \nu_e, \bar{\nu}_\mu, \bar{\nu}_e$
- Measure 2-3 mixing;
- Mass ordering, CP violation, ...





Thank you!

CP Violation

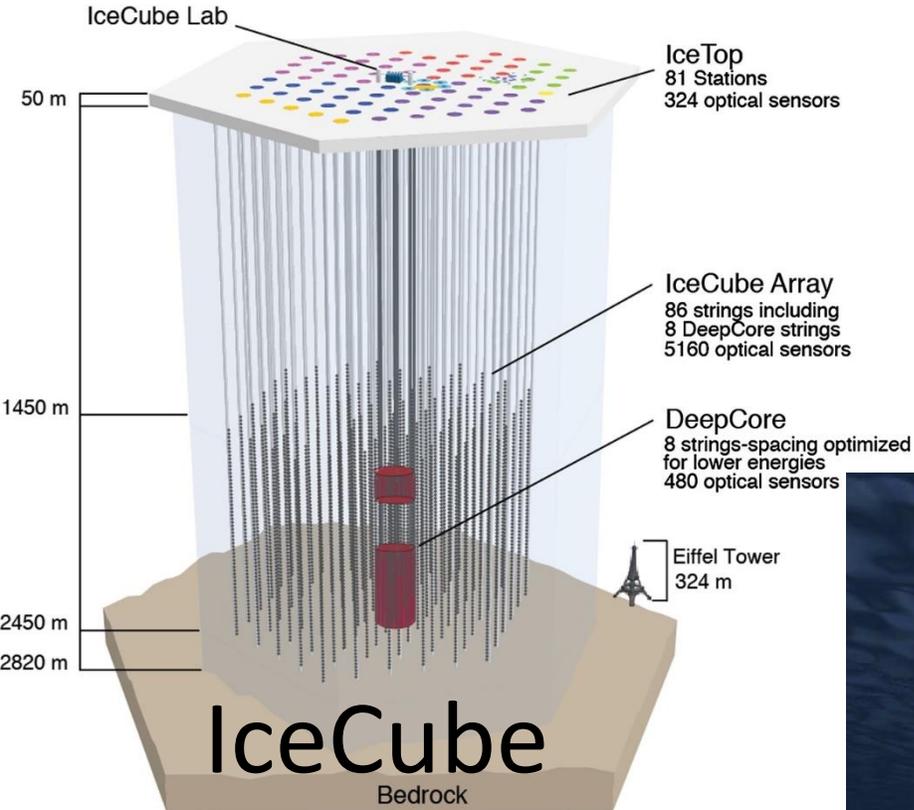


Kevin J. Kelly et al. PhysRevLett.123.081801

Signature at sub-GeV

- Requires precise prediction of neutrino flux and precise reconstruction of neutrino energy

Other Atmospheric Neutrino Observatories



DeepCore
 $E_{thr}: 6 \text{ GeV}$

